

学位論文  
核子対あたり重心系エネルギー 5.02 TeV 陽子-陽子及び鉛-鉛  
原子核衝突における中性中間子と直接光子測定

Measurement of neutral mesons and direct photons  
in pp and Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV

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関畑 大貴 (D150900)  
広島大学大学院 理学研究科 物理科学専攻  
クォーク物理学研究室  
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Ph.D Thesis  
**Measurement of neutral mesons and direct photons  
in pp and Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV**

Daiki Sekihata (D150900)  
Graduate School of Science in Hiroshima University  
Department of Physical Science  
Experimental Quark Physics Laboratory  
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## Abstract

The new state of matter, called quark-gluon plasma (QGP), created by the high-energy heavy-ion collision has been studied for more than 40 years. Partons originating from initial hard scatterings lose their energy in the hot and dense QCD medium, which results in suppression of hadron production at high transverse momentum ( $p_T$ ), compared to pp collisions at the same center-of-mass energy  $\sqrt{s_{NN}}$ . Light flavor particles are excellent probes to study the suppression in a wide  $p_T$  range with high precision. Especially, neutral mesons such as  $\pi^0$  and  $\eta$  mesons that decay into two photons can be reconstructed and identified by a fine-segmented electro-magnetic calorimeter in a wide  $p_T$  range.

In this thesis, the suppression of  $\pi^0$  and  $\eta$  mesons in Pb–Pb collisions at the highest energy  $\sqrt{s_{NN}} = 5.02$  TeV is reported. By increasing the collision energy,  $p_T$  spectra of  $\pi^0$  meson become harder than that at  $\sqrt{s_{NN}} = 2.76$  TeV in both pp and Pb–Pb collisions. Nevertheless, the suppression of  $\pi^0$  meson in Pb–Pb collisions compared to pp collisions is the same level, which is by a factor of up to 8. This indicates the larger energy-loss at the higher collision energy. Comparing light and heavy flavor hadrons, namely  $\pi^0$  and D mesons, the suppression of D mesons at low  $p_T$  is weaker than that of  $\pi^0$  meson. This is interpreted as the smaller energy-loss for charm quarks than for up, down quarks. The suppression pattern of  $\eta$  meson seems to be similar to  $K^\pm$  meson consisting of a strange quark, though uncertainties for the  $\eta$  meson measurement is large.

Direct photons that are defined as photons not originating from hadron decays are also discussed in this thesis. Direct photons are unique probes to study the space-time evolution of the QGP, since they are not involved in strong interaction and can carry information when they are produced. When focusing on direct photons,  $\pi^0$  and  $\eta$  mesons contribute as huge backgrounds. To subtract decay photon yields, the cocktail simulation where  $p_T$  spectra of neutral mesons are inputs has been performed. Direct photon spectra or upper limits at the 90% of confidence level have been extracted. Finally,  $R_{AA}$  of direct photons has been determined and is consistent with unity at high  $p_T$  which justifies the measurement. On the other hand, the excess beyond the pQCD calculation is observed at low  $p_T$  by a factor of up to 4 in central Pb–Pb collisions. This indicates thermal photon emissions from the hot and dense QCD medium. The obtained effective temperature  $T_{\text{eff}}$  is  $345 \pm 222$ (total unc.) MeV in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for centrality 0-10%. This is the first measurement and setting upper limits on direct photons in pp and Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV.

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## 1 Introduction

Our main goal in high-energy heavy-ion collisions is to understand properties, such as energy density, temperature, transport coefficient, order of the phase transition e.t.c., of the quark-gluon plasma (QGP), which is the state of deconfined quarks and gluons from hadrons. These research for the QGP will provide phenomenological knowledge of fundamental Quantum Chromo-Dynamics (QCD).

### 1.1 Quantum Chromo-Dynamics (QCD)

The Quantum Chromo-Dynamics is a fundamental non-Abelian  $SU(3)$  gauge theory to describe strong interaction. The strong interaction is mediated by gluons between elementary particles which have color charge (red, blue and green). As gluon also has color, self-interaction among gluons can be induced. On the other hand, in Quantum Electro-Dynamics (QED), photon is neutral gauge boson and mediates electric charge with coupling constant  $\alpha_{\text{QED}} = 1/137$ . Hence, photons do not interact themselves. This is a main difference between QCD and QED. One of the most important point of QCD is that the strong interaction among quarks and gluons becomes weaker at high energy (i.e. large momentum transfer  $Q^2$ ). This behavior is called “asymptotic freedom”. The strong coupling constant  $\alpha_s$  at large  $Q^2$  can be approximated as :

$$\alpha_s(Q^2) \approx \frac{12\pi}{(33 - 2N_f) \ln(Q^2/\lambda_{\text{QCD}}^2)}, \quad (1)$$

where  $N_f$  is the number of quark flavors ( $N_f \leq 6$ ),  $\lambda_{\text{QCD}}$  is called QCD scale, which is typically 200 MeV. Therefore,  $\alpha_s(Q^2)$  becomes smaller and perturbative calculation is applicable at large  $Q^2$ . The confinement can be also expressed by a following phenomenological potential:

$$V(r) = -\frac{4}{3} \frac{\alpha_s}{r} + kr, \quad (2)$$

where  $1/r$  term is dominant at small distance which is similar to Coulomb potential and  $kr$  is related to the confinement of quarks in hadrons. When one wants to separate two quarks, the potential energy  $kr$  increases and tends to produce a new  $q\bar{q}$  pair. This results in two shorter strings. Finally, extracting single quark is not possible and new colorless hadrons will be produced.

### 1.2 Quark-gluon plasma (QGP)

The confined state of quarks and gluons in hadrons can be broken at the extremely high temperature or high density of many body systems of hadrons. This leads a transition from hadronic phase to the deconfined state of partons. The deconfined state of partons is called “quark-gluon plasma (QGP)” proposed by Bjorken [1]. Numerical calculations based on the lattice QCD are performed. Step-like behavior of  $\varepsilon/T^4$  at  $T = T_C$  is clearly seen in Figure 1. This is interpreted as the transition from the hadronic phase to the QGP at the critical temperature  $T_C = 150 \sim 200$  MeV due to increase of degrees of freedom related to deconfined quarks and gluons from hadrons. In addition, recent lattice QCD calculations also predict crossover transition [2, 3].

Figure 2 shows a schematic phase diagram of QCD matter. The horizontal axis represents the net baryon density normalized to the normal nucleus, the vertical axis indicates the temperature. It is thought that the QGP has existed in the early universe at a few micro seconds after Big-Bang.

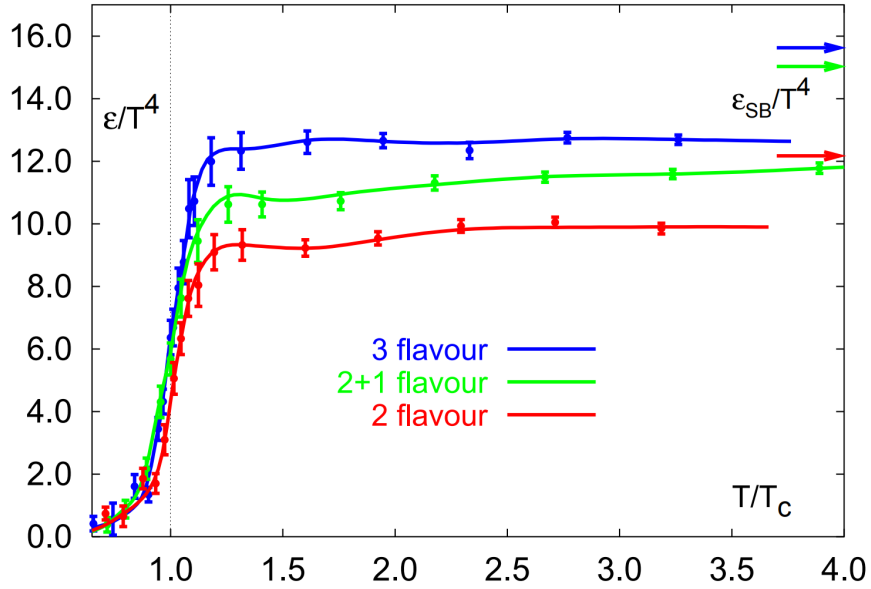


Figure 1: The energy density  $\varepsilon$  divided by 4th power of the temperature  $T^4$  predicted by lattice QCD [4].

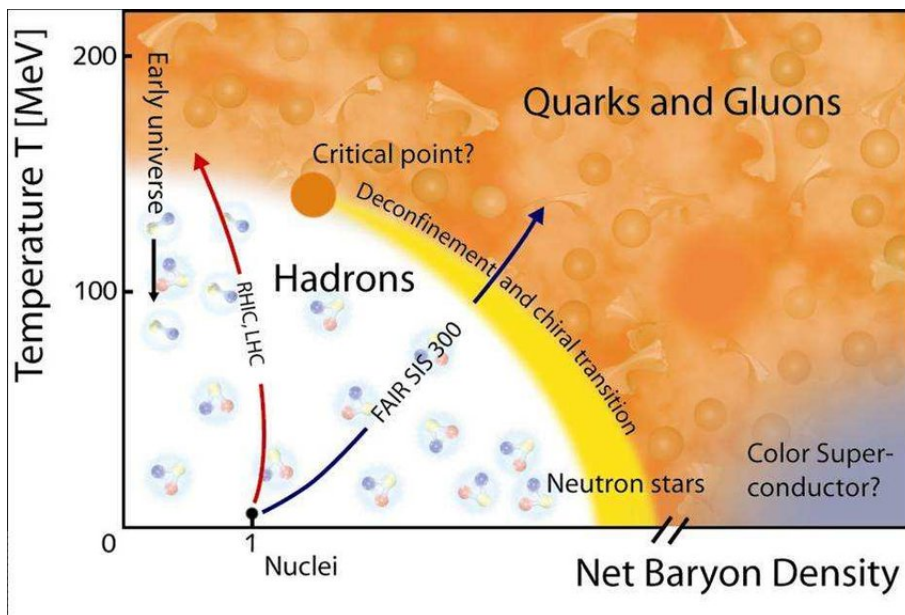


Figure 2: A schematic phase diagram of QCD matter [5].

### 1.3 High-energy heavy-ion collisions

High-energy heavy-ion collisions provide a unique opportunity to study strongly interacting matter, namely the QGP. In high-energy heavy-ion collisions, two Lorentz-contracted nuclei interact at the geometrical overlap region (Figure 3). A distance between the center of each nuclei is called “impact parameter”  $b$ . Nucleons participating the interaction are “participants” and the others are “spectators”. The impact parameter  $b$  is not directly measured, but can be simulated by the Glauber model calculation [6]. Then it provides the number of participant  $N_{\text{part}}$  and the number of binary nucleon-nucleon collisions  $N_{\text{coll}}$ .  $N_{\text{part}}$  is related to the volume of the interaction region. The number of particles produced at the later stage of collisions is roughly scaled by  $N_{\text{part}}$ . On the other hand, the number of particles produced by initial hard scatterings is basically scaled by  $N_{\text{coll}}$ .

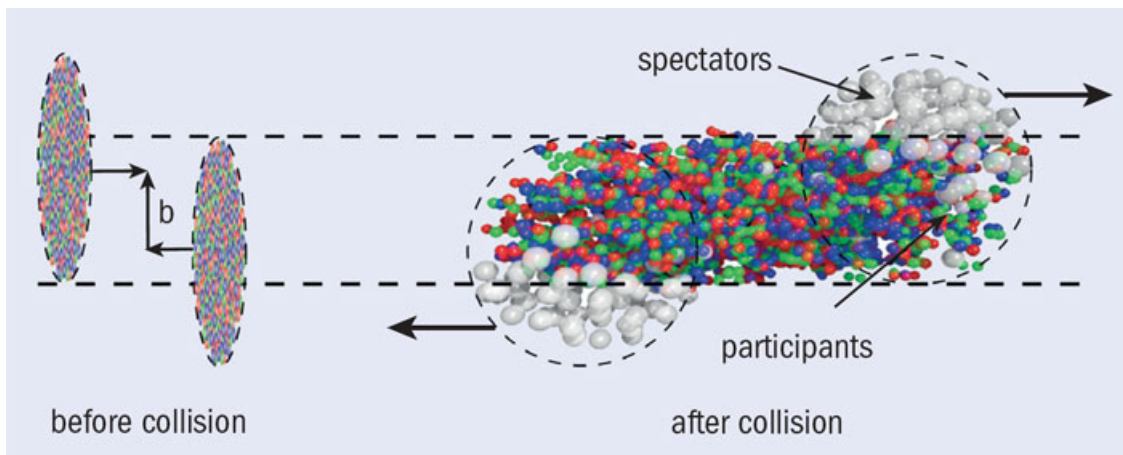


Figure 3: A schematic view of collision geometry in high-energy heavy-ion collisions [7].

As shown by Figure 4, the space-time evolution of the QCD matter created by heavy-ion collisions pass through various phases.

1. Pre-equilibrium ( $0 < t < \tau_0$ )

Two accelerated nuclei collide with each other at  $t = 0$  and high energy is released in a tiny volume. Multiple parton scatterings lead local equilibrium of the hot and dense matter.

2. QGP phase ( $\tau_0 < t < \tau_C$ )

The QGP phase is formed at  $t = \tau_0$ , if energy density is higher than a value necessary for the transition ( $\varepsilon > 1 \text{ GeV}/\text{fm}^3$ ). Its evolution can be described by hydrodynamics and the temperature becomes cooler.

3. Mixed phase between QGP and hadron gas ( $\tau_C < t < \tau_H$ )

The mixed phase consisting of quarks, gluons and hadrons can exist only if the phase transition is at first order. When the temperature reaches the transition temperature  $T_C$ , hadronization will start. Eventually, inelastic scattering of hadrons stops. This temperature is called “chemical freeze-out temperature”.

4. Hadron gas ( $\tau_H < t < \tau_F$ )

Hadronization processes finishes here, but still keep interaction as momentum exchange by elastic scatterings. At the end, elastic scattering ceases, too. This temperature is called “kinetic freeze-out temperature”. After the kinetic freeze-out, hadrons fly to our detectors.

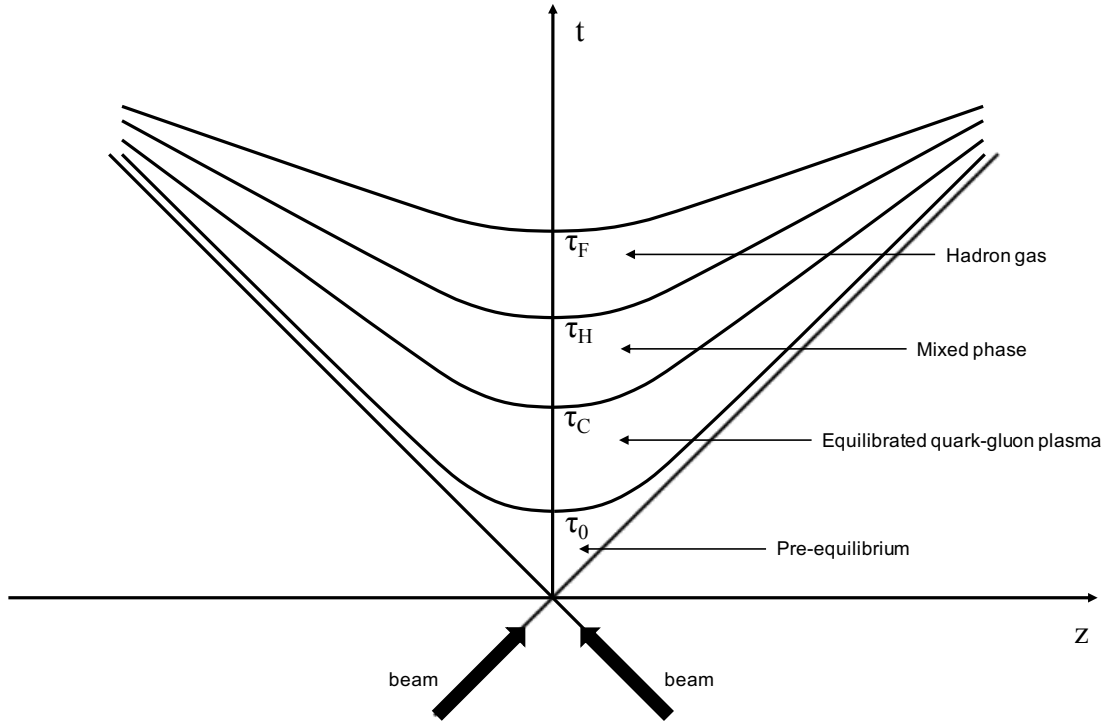


Figure 4: A schematic view of space-time evolution of the matter in high-energy heavy-ion collisions

#### 473 1.4 Suppression of high $p_T$ hadrons

474 Partons originating from initial hard scatterings lose their energy in the hot and dense medium,  
 475 which results in modification of  $p_T$  spectra of hadrons. Light flavor hadrons are excellent probes  
 476 to study the hadron suppression with high precision, because their statistics is large. It has  
 477 been reported that the suppression of hadron yields compared to those in pp collisions scaled  
 478 by  $N_{\text{coll}}$ , quantified by the nuclear modification factor  $R_{AA}$  (Eq. 4), is up to by a factor of 5  
 479 in Au–Au collisions at  $\sqrt{s_{NN}} = 0.2$  TeV at RHIC [8, 9]. It is by a factor of up to 8 in Pb–Pb  
 480 collisions at  $\sqrt{s_{NN}} = 2.76$  TeV in LHC Run1 (2009–2013) [10, 11, 12]. At the latest during  
 481 LHC Run2 (2015–2018), the LHC provided Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV, which is  
 482 the highest collision energy in the world. In this thesis, neutral meson ( $\pi^0$  and  $\eta$  mesons) are  
 483 focused on. Its advantage is that  $\pi^0$  and  $\eta$  mesons can be reconstructed via their  $2\gamma$  decays  
 484 with a fine-segmented electro-magnetic calorimeter in a wide transverse momentum ( $p_T$ ) range.  
 485 In addition, photons decayed from neutral mesons are huge backgrounds, which have to be  
 486 subtracted from inclusive photons, for the direct photons measurement described in section 1.5  
 487 later.

### 488 1.4.1 Particle production in hadron colliders at high $p_T$

489 First of all, the particle production at high  $p_T$   
 490 was measured by CERN-ISR in pp collisions  
 491 at different energies (23, 45 and 62 GeV) [14].  
 492 Figure 5 shows the production cross section of  
 493 charged hadrons in pp collisions at 23, 53,  
 494 546 and  $p\bar{p}$  collisions at  $\sqrt{s} = 1800$  GeV. The  
 495 invariant differential cross section of charged  
 496 hadrons is described by an exponential func-  
 497 tion  $\exp(-a \cdot p_T)$  at low  $p_T$  region, while a  
 498 power-law behavior  $p_T^{-n}$  is seen at high  $p_T$ .  
 499 Moreover, the power-law parameter  $n$  is lower  
 500 at higher collision energies, resulting in harder  
 501 slope of  $p_T$  spectra at high  $p_T$ .

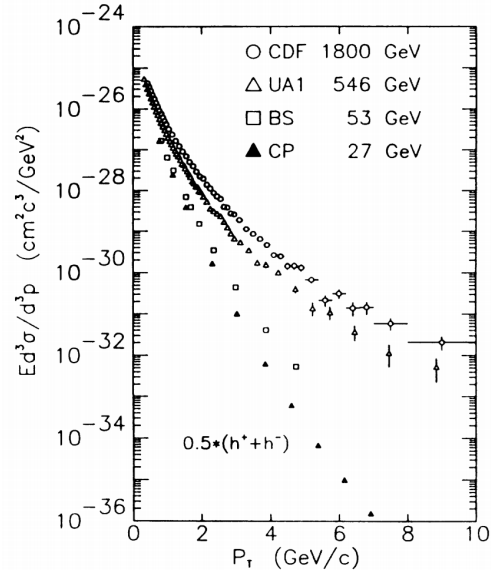


Figure 5: The production cross section of charged hadrons in pp collisions [13].

502 The hard scattering occurs in the initial stage of pp and heavy-ion collisions and can be calcu-  
 503 lated by perturbative QCD (pQCD) based on factorization theorem. Figure 6 shows a schematic  
 504 diagram of parton interaction  $a + b \rightarrow c + x$  in hadronic collisions. The production cross section  
 505 is defined as :

$$d\sigma^{pp \rightarrow h_C X} = dx_a dx_b dz_c \cdot f_a(x_a, \mu_F) \cdot f_b(x_b, \mu_F) \times d\sigma_{a+b \rightarrow c+x}(\alpha_s(\mu_R)) \times D_c(z_c, \mu_F), \quad (3)$$

506 where  $f_{a(b)}(x_{a(b)}, \mu_F)$  is called parton distribution function (PDF) which is probability to find a  
 507 parton  $a(b)$  at its momentum fraction at  $x_{a(b)}$  in a proton  $A(B)$ .

508 There,  $x_{a(b)}$  = momentum of parton  $a(b)$ /momentum of proton  $A(B)$ .  $d\sigma_{a+b \rightarrow c+x}(\alpha_s(\mu_R))$  is a  
 509 production cross section of parton  $c$  from scattering between parton  $a$  and  $b$ .  $D_c(z_c, \mu_F)$  is  
 510 fragmentation function (FF) which describes probability to hadronize into a hadron  $h_C$  from a  
 511 parton  $c$  at momentum fraction  $z_c$ , where  $z_c$  = momentum of  $h_C$ /momentum of parton  $c$ .  $\mu_F$ :  
 512 factorization scale and  $\mu_R$ : re-normalization scale are dummy parameters introduced to avoid  
 513 divergence in theoretical calculations. Usually, they are fixed to transverse momentum of the  
 514 particle ( $\mu_F = \mu_R = p_T$ ) in calculations.

### 515 1.4.2 Nuclear modification factor $R_{AA}$

516 One of ideas to observe medium-induced effects is to compare particle yields between A–A  
 517 collision and pp collisions. Due to the large number of partons in A–A collisions, particle yields  
 518 in A–A collisions is normalized by the number of binary nucleon-nucleon collisions  $N_{\text{coll}}$ . If there  
 519 are medium-induced effects in A–A collisions, particle yields in A–A collisions may be different  
 520 from  $N_{\text{coll}}$  scaling. The medium-induced effects to high  $p_T$  particles is quantified by a ratio of  
 521 particle yields in A–A collisions to that in pp collisions at the same center-of-mass energy  $\sqrt{s_{\text{NN}}}$ ,  
 522 called  $R_{AA}$ :

$$R_{AA} = \frac{d^2 N / dp_T dy|_{AA}}{T_{AA} \times d^2 \sigma / dp_T dy|_{pp}} = \frac{d^2 N / dp_T dy|_{AA}}{N_{\text{coll}} \times d^2 N / dp_T dy|_{pp}}, \quad (4)$$

523 where  $d^2 N / dp_T dy|_{AA}$  is differential particle yields in A–A collisions,  $d^2 \sigma / dp_T dy|_{pp}$  is differential  
 524 production cross section in pp collisions and  $T_{AA}$  is called nuclear overlap function which is



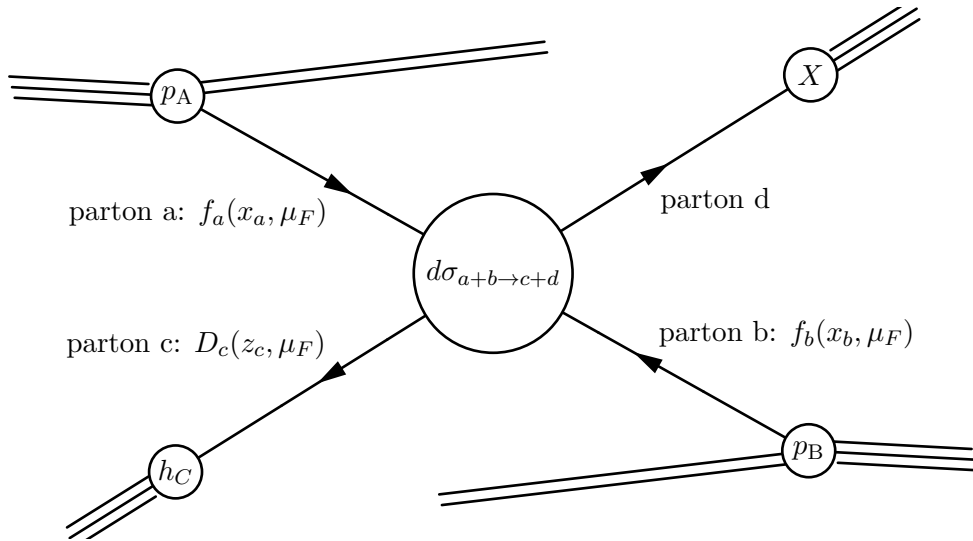


Figure 6: A schematic diagram  $a + b \rightarrow c + d$ , where hadron X represents anything else.

525 connected to the average number of inelastic collisions by  $T_{AA} = N_{\text{coll}}/\sigma_{\text{pp}}^{\text{INEL}}$ . In case of no  
 526 medium-induced effects,  $R_{AA} = 1$  at high  $p_T$ . Hence,  $R_{AA}$  is an excellent probe to see medium-  
 527 induced effects. As of 2018, it has been known that  $R_{AA} < 1$  for hadrons,  $R_{AA} = 1$  for  
 528 electro-weak bosons ( $\gamma, W^\pm/Z$ ) respectively.

### 529 1.4.3 Cold nuclear matter effects

530 In order to understand hadron suppression in A–A compared to pp ( $R_{AA} < 1$ ), it is important  
 531 to test particle productions in p–A collisions where the hot and dense QCD medium is not likely  
 532 created. Possible effects to modify particle yields are multiple soft scatterings or different parton  
 533 distribution function in a nucleus, which are generally called “cold nuclear matter effects”.

534 **Cronin effect** It was observed that the produc-  
 535 tion cross section in p–A collisions is not scaled by  
 536 mass number  $A$  of the target nucleus [15] at ISR in  
 537 1970, compared to that in pp collisions. They got  
 538 these results by incident proton beam at 200, 300  
 539 and 400 GeV to fixed Be, Ti and W targets. They  
 540 found production cross section in p–A collisions as  
 541 a function of  $p_T$  and  $A$  can be expressed by :

$$E \frac{d^3\sigma}{dp^3}(p_T, A) = E \frac{d^3\sigma}{dp^3}(p_T, 1) \times A^{\alpha(p_T)}, \quad (5)$$

542 where power  $\alpha > 1$  for  $p_T > 2$  GeV as shown by  
 543 Figure. 7. Thus, an enhancement of particle yields  
 544 in p–A collisions compared to the expectation from  
 545 pp collisions was observed. This effect is referred  
 546 as “Cronin effect” and interpreted as multiple soft  
 547 scatterings of incoming nucleons, which cause an  
 548 additional  $p_T$  broadening of particles.

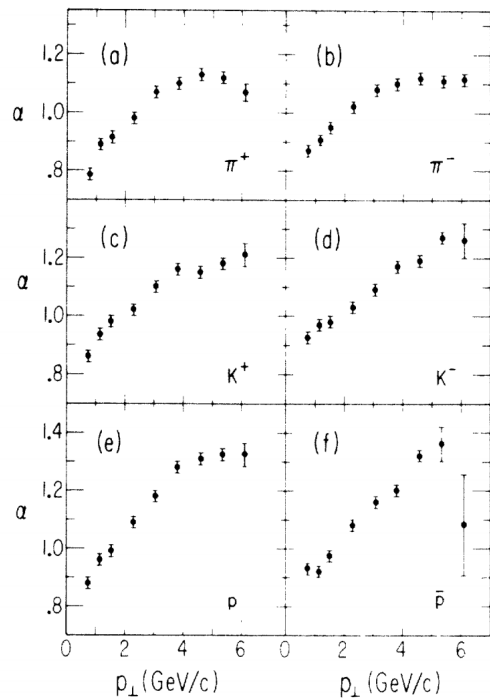


Figure 7: Power parameter  $\alpha$  vs.  $p_T$  [15].

549 **Nuclear shadowing** Another initial effect is different parton distribution function in a nucleus.  
 550 European Muon Collaboration (EMC) firstly reported that nuclear structure function in a nucleus  
 551 is different from that in a free proton by deep inelastic scattering (DIS) with  $\mu$ -Fe(d) collisions [17].  
 552 This results in different parton distribution function in a nucleus from one in a free proton. Figure 8  
 553 shows the ratio of nuclear structure function in a heavier ion to that in a Carbon ion measured by New Muon  
 554 Collaboration (NMC) [16].  
 555  $F_2^A/F_2^C < 1$  at  $x < 0.07$  refereed as “shadowing”,  
 556  $F_2^A/F_2^C > 1$  at  $0.07 < x < 0.3$  refereed as “anti-shadowing” and there is a dip at  $0.3 < x$  called  
 557 “EMC effect”. The relevant  $x$  of a parton can be estimated from transverse momentum  $p_T$  of a leading  
 558 hadron which carries the largest momentum fraction of the original scattered parton by means  
 559 of :

$$x \approx \frac{2p_T}{\sqrt{s_{NN}}} \quad (6)$$

568 At LHC energies  $\sqrt{s_{NN}} = 2.76 \sim 5.5$  TeV and leading  $p_T^h \sim O(100)$  GeV, hence  $x < 0.05$  where the shadowing effect is the most relevant.

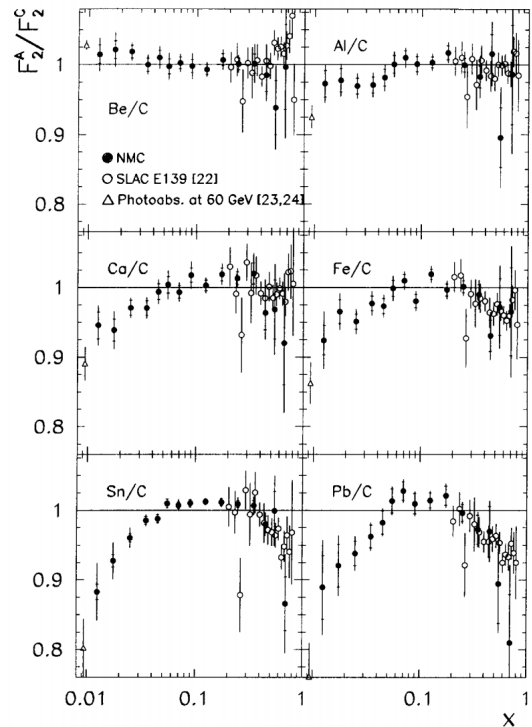


Figure 8: The ratio of nuclear structure function in heavy nuclei to one in Carbon [16].

#### 570 1.4.4 Parton energy-loss

571 One possible explanation for  $R_{AA} < 1$  is parton energy-loss in interaction with the hot and  
 572 dense QCD medium. By traversing the QCD medium, the parton loses its energy by elastic  
 573 scattering or gluon radiation. Initially, only radiative energy-loss in static QCD medium (non-  
 574 moving constituents) was assumed in theoretical models such as GLV [18, 19], DGLV [20],  
 575 BDMPS[21, 22] till  $\sim 2008$ . The radiative energy is similar to Bremsstrahlung of an electron  
 576 in an electro-magnetic field. However, these calculation gave disagreement with experimental  
 577 results. Then, one of theoretical models have included radiative energy-loss in dynamical QCD  
 578 medium (moving constituents) [23, 24]. Currently, it is considered that radiative and elastic  
 579 energy-losses are comparable in dynamical QCD medium [25, 26]. Theoretical models shown in  
 580 this thesis are described below.

581 **DREENA-C [25] and DREENA-B [26]** Descriptions are taken from [25, 26]. DREENA  
 582 stands for Dynamical Radiative and Elastic ENergy loss Approach and C denotes the constant-  
 583 temperature QCD medium and B stands for Bjorken expansion of the QCD medium. They aim  
 584 to calculate the nuclear modification factor  $R_{AA}$  and the azimuthal anisotropy  $v_2$  simultaneously  
 585 in their framework. First, let  $T$  be an averaged temperature of the medium,  $L$  be an averaged  
 586 path-length traversed by particles and  $\Delta E/E$  be fractional energy-loss. In a simple case for the  
 587 purpose of these estimations, it is assumed that

$$\Delta E/E \approx \eta TL, \quad (7)$$

588 where  $\eta$  is a proportionality factor. The nuclear modification  $R_{AA}$  is commonly estimated [27]  
589 as :

$$R_{AA} \approx \left(1 - \frac{1}{2} \frac{\Delta E}{E}\right)^{n-2}, \quad (8)$$

590 where  $n$  is the steepness of the initial momentum distribution function. Here, different path-  
591 length between in-plane ( $L_{in} = L - \Delta L$ ) and out-of-plane ( $L_{out} = L + \Delta L$ ) is introduced. For the  
592 constant-temperature QCD medium, the nuclear modification factor  $R_{AA}$  can be expressed as :

$$R_{AA} \approx \frac{1}{2}(R_{AA}^{in} + R_{AA}^{out}) \approx 1 - \xi TL, \quad (9)$$

593 The azimuthal anisotropy  $v_2$  can be :

$$v_2 \approx \frac{1}{2} \frac{R_{AA}^{in} - R_{AA}^{out}}{R_{AA}^{in} + R_{AA}^{out}} \approx \frac{\xi T \Delta L}{2} \quad (10)$$

594 For the evolving system, the average temperature along in-plane is higher than that along out-  
595 of-plane ( $T_{in} = T + \Delta T$  and  $T_{out} = T - \Delta T$ ). In this case,

$$R_{AA} \approx 1 - \xi TL, \quad (11)$$

596 and

$$v_2 \approx \frac{\xi T \Delta L - \xi \Delta T L}{2} \quad (12)$$

597 Therefore, DREENA-B and -C predict the similar  $R_{AA}$ , while the smaller  $v_2$  is predicted by  
598 DREENA-B. Only  $R_{AA}$  is compared to experimental data in this thesis.

## 599 1.5 Direct photons production

600 The direct photon is a unique tool to study space-time evolution of the hot and dense matter.  
601 Direct photons are defined as photons not originating from hadron decays, for example  $\pi^0 \rightarrow \gamma\gamma$ ,  
602  $\eta \rightarrow \gamma\gamma$  and so on. Because they are not involved in the strong interaction, they carry undistorted  
603 information at the time of their productions. Moreover, direct photons are divided into two  
604 sources. One is ‘‘thermal photon’’ originating from the thermal radiation from the hot and dense  
605 medium. An averaged temperature  $T_{eff}$  of locally equilibrated medium over the all space-time  
606 evolution can be measured by the  $p_T$  spectrum of thermal photons, assuming the Boltzmann  
607 distribution  $A \times \exp(-p_T/T_{eff})$ . The previous measurement by PHENIX at RHIC reported  $T_{eff} =$   
608  $221 \pm 19(\text{stat.}) \pm 19(\text{syst.})$  MeV [28, 29] via virtual photons and  $T_{eff} = 239 \pm 25(\text{stat.}) \pm 7(\text{syst.})$   
609 MeV [30] via real photons in 0-20 % central Au–Au collisions at  $\sqrt{s_{NN}} = 0.2$  TeV. In ALICE,  
610  $T_{eff} = 294 \pm 12(\text{stat.}) \pm 47(\text{syst.})$  MeV [31] in 0-20 % central Pb–Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV.  
611 The other one is ‘‘prompt photon’’ produced by initial hard scatterings between partons. The  
612 prompt photon is a powerful probe to test pQCD calculations. Thermal photons are dominant  
613 at low  $p_T$  ( $1 < p_T < 3$ ) regime, while prompt photons exhibit at high  $p_T$ . Figure 9 illustrates  
614 Feynman diagrams for direct photon productions. Thermal photons are also emitted from a hot  
615 hadron gas (HHG), which is the last stage of collisions. Main constituents of the hot hadron gas  
616 are pions and  $\rho$  mesons. They produce photon as  $\pi^\pm \rho \rightarrow \pi^\pm \gamma$ ,  $\pi^+ \pi^- \rightarrow \rho \gamma$  and  $\rho \rightarrow \pi^+ \pi^- \gamma$ .

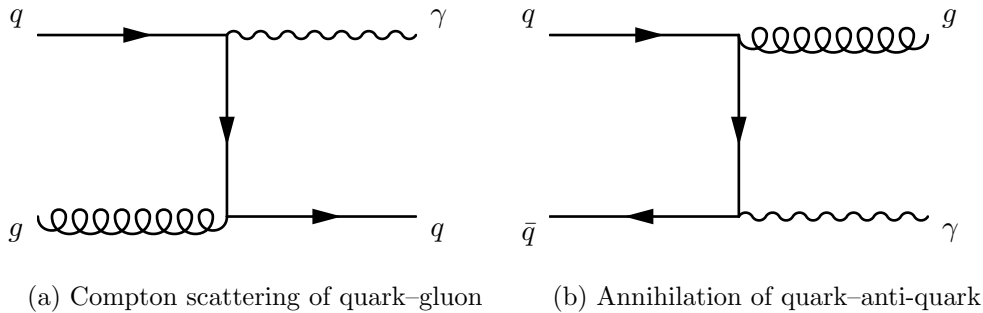


Figure 9: Feynman diagrams for direct photon productions

### 617 1.5.1 Pioneers of the direct photon measurement

#### 618 WA80

619 The first attempt to measure thermal photons was performed by the WA80 (West Area) col-  
 620 laboration [32, 33]. WA80 is a fixed-target experiment at the SPS in CERN colliding  $^{16}\text{O}$  and  
 621  $^{32}\text{S}$  beam at 200A GeV with Au. They reported upper limits on the direct photon yield at the  
 622 90% confidence level in central  $^{32}\text{S}$ -Au collisions by employing a statistical subtraction method,  
 623 as shown by Figure 10b. It is a technique to subtract decay photon yields simulated by known  
 624 sources (e.g.  $\pi^0 \rightarrow \gamma\gamma$ ,  $\eta \rightarrow \gamma\gamma$  e.t.c.) from inclusive photon yields. The dotted curve is the  
 625 calculated thermal photon production from a QGP by reference [34]. The solid curve is the ex-  
 626 pected thermal photon production from a hot hadron gas by reference [34]. The dashed curve is  
 627 also thermal emissions from a hot hadron gas taken from reference [35]. This was the important  
 628 step, as hadron gas scenarios were excluded by their upper limits.

#### 629 WA98

630 WA98 [36, 37] is also a fixed-target experiment upgraded from WA80. The improvement was  
 631 a lead glass calorimeter which has excellent energy resolution. The WA98 collaboration has  
 632 measured direct photon yields in central 158A GeV Pb-Pb collisions for the first time. They  
 633 used the same statistical subtraction method explained above. Figure 11a shows excess of direct  
 634 photons beyond decay photons from known sources. The upper (lower) panel is for peripheral  
 635 (central) collisions. If the ratio is greater than unity beyond statistical (bar at each point)  
 636 and systematic (shaded band around unity) uncertainties, there are direct photons. Figure 11b  
 637 shows invariant yields of direct photons in central 158A GeV Pb-Pb collisions. Clear direct  
 638 photon signals were observed at  $p_T > 1.5 \text{ GeV}c$ . Downward arrows indicate upper limits at 90%  
 639 confidence level.

### 640 1.5.2 Direct photon puzzle

641 The PHENIX collaboration at RHIC reported not only the invariant yield [30], but also the  
 642 azimuthal anisotropy  $v_2 = \langle \cos(2\Delta\varphi) \rangle$  of direct photons [38] at low  $p_T$  as shown by Figure 12.  
 643 It was surprisingly a big discovery of the large  $v_2$  of direct photons. The observed large  $v_2$   
 644 together with the large direct photon yield contradicts our interpretations. The large direct  
 645 photon yield are produced at the very early stage, when the temperature of the medium is the  
 646 highest where the collective flow of the medium is small. Contrary to this, the large  $v_2$  suggests  
 647 that photons are produced at the very late stage of the collision, when the collective flow of the  
 648 system is fully developed where the temperature and the corresponding thermal emission rate is

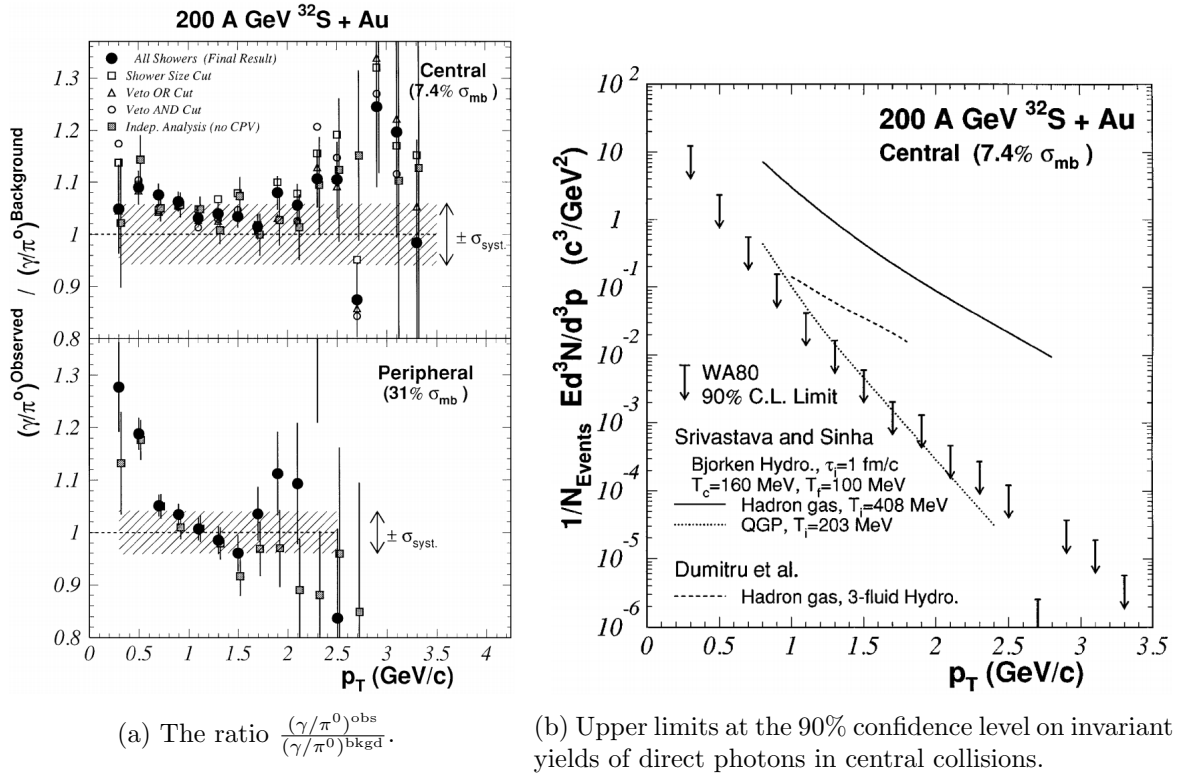


Figure 10: Results from WA80 [33].

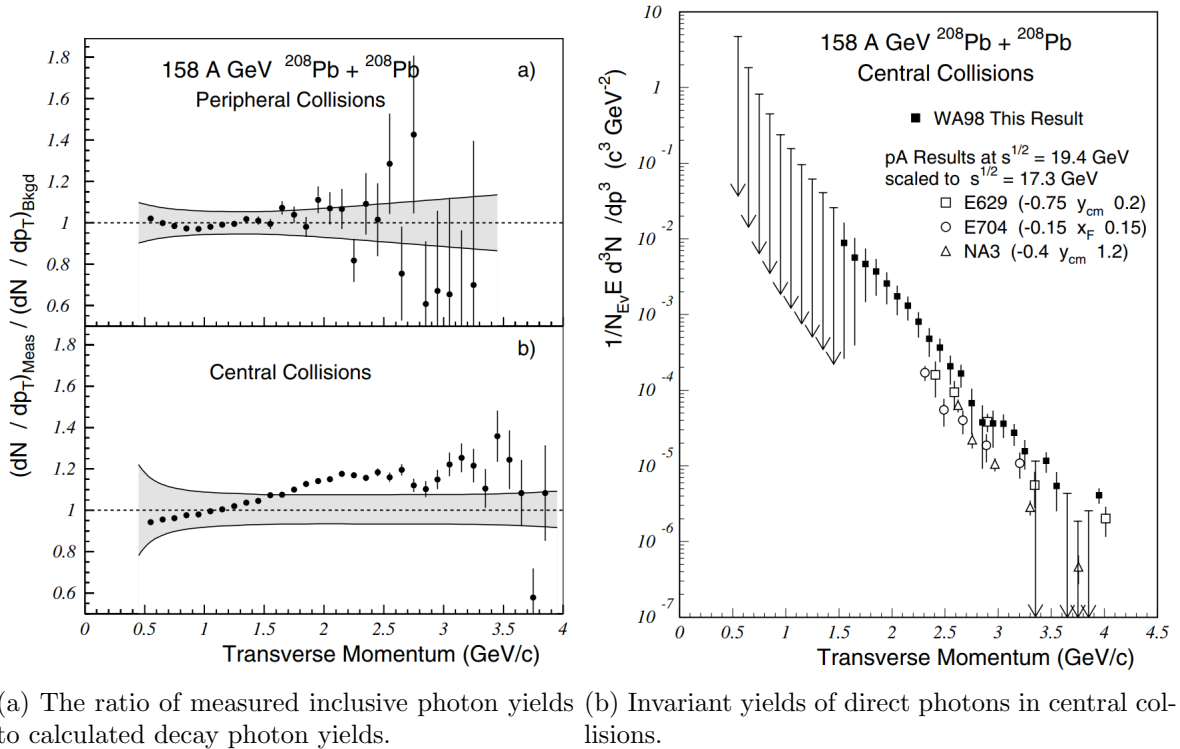


Figure 11: Results from WA98 [37].

649 small. Hence, there is difficulty in theoretical models to describe the large yield and the large  
 650  $v_2$  for direct photons at the same time. This is called “direct photon puzzle”, which is not solved  
 651 yet as of now. On the other hand, due to the large uncertainty, there is not direct photon puzzle  
 at the LHC energy (Figure 13).

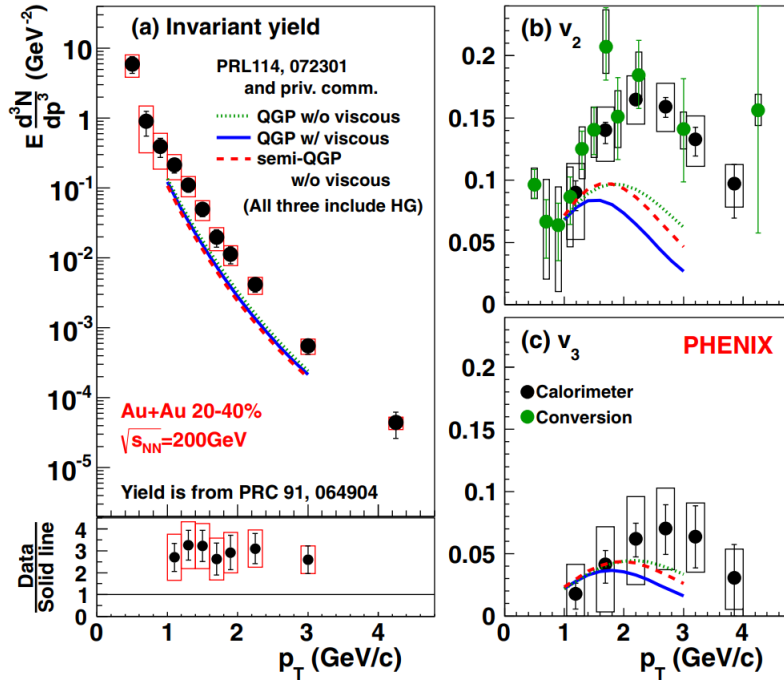


Figure 12: Direct photon yields and flow in 20-40 % Au–Au collisions at  $\sqrt{s_{NN}} = 0.2$  TeV with PHENIX [30, 38].

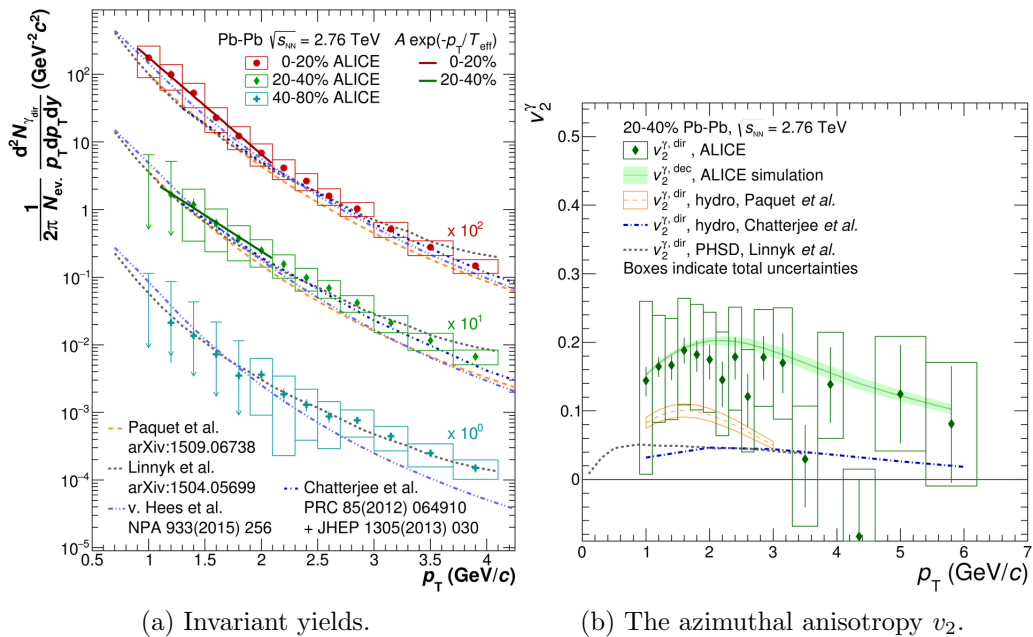


Figure 13: Direct photon yields and  $v_2$  in 20-40% Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV with ALICE [31, 39].

## 653 1.6 Organization of this thesis

654 Neutral mesons ( $\pi^0$ ,  $\eta$ ) and direct photon  $\gamma^{\text{dir}}$  production in pp and Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV in ALICE with the PHOS detector are described. This thesis is organized by  
655 following. The LHC and ALICE detectors are introduced in Chapter 2. Data sets and its  
656 quality assurance for this thesis are written in Chapter 3. Chapter 4 introduces analysis method  
657 for neutral mesons measurements. Systematic uncertainties of neutral mesons measurements are  
658 summarized in Chapter 5. Results of neutral mesons measurements are discussed in Chapter 6.  
659 After that, analysis method for direct photons are given in Chapter 7. Systematic uncertainties  
660 of inclusive and direct photons measurements are summarized in Chapter 8. Results of photons  
661 measurements are discussed in Chapter 9. Finally, the conclusion of this thesis is in Chapter 10.  
662

## 2 The LHC and the ALICE apparatus

This section is aimed at basic informations about the LHC accelerator at CERN and the ALICE detectors which are relevant to this thesis.

### 2.1 The Large Hadron Collider (LHC)

Descriptions about the LHC are taken from these references [40, 41, 42]. The Large Hadron Collider (LHC) is located at CERN across the border between France and Switzerland. The LHC underground tunnel was previously hosted by the Large Electron Positron (LEP) collider. It is the most powerful particle accelerator in the world, whose circumference length is 27 km. The LHC can collide protons at a center-of-mass energy up to 14 TeV and Pb ions up to 5.5 TeV per nucleon.

First, protons are produced from Hydrogen gas by stripping electrons in an electric field. They are accelerated through LINear ACcelerator 2 (LINAC2) up to 50 MeV and injected to a booster for Proton Synchrotron (PS). At the booster for PS, they are accelerated up to 1.4 GeV. PS accelerates proton beams up to 25 GeV, then sends them to Super Proton Synchrotron (SPS) where they are further accelerated up to 450 GeV. Finally, proton beams are delivered to the LHC ring and accelerated up to 6500. The designed maximum energy is 7000 GeV per beam, but it is operated at 6500 GeV during Run2 which means center-of-mass energy is at 13 TeV. Lead (Pb) ions are produced by heating solid  $^{208}\text{Pb}$  to make a vapour [43]. Ion beams are accelerated up to 4.2 MeV per nucleon by LINear ACcelerator 3 (LINAC3). Low Energy Ion Ring (LEIR) takes them from LINAC3 and accelerates to 72 MeV/n. The rest of path is the same as proton beams, but beam energy is 5.9 GeV/n at the PS, 177 GeV/n at the SPS, 2510 GeV/n at the LHC.

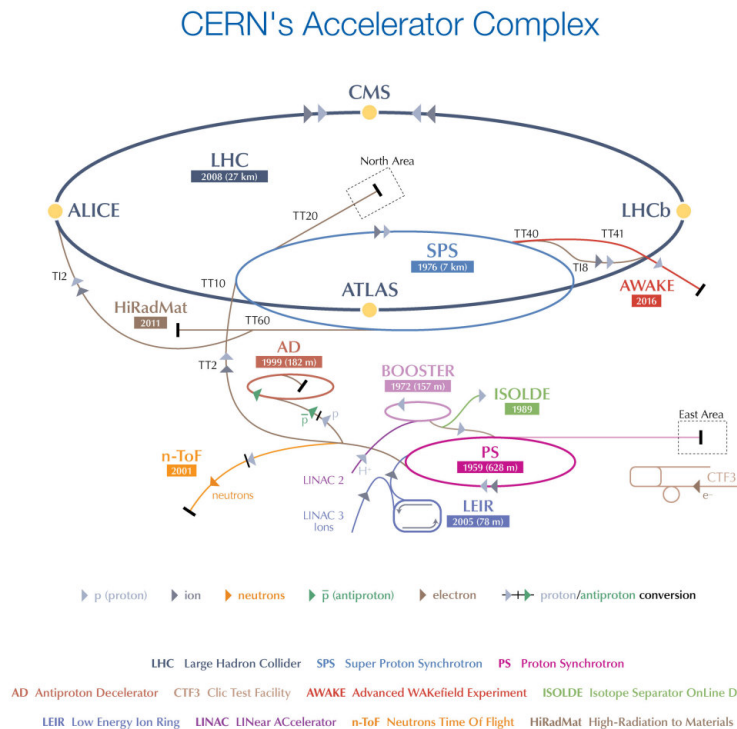


Figure 14: CERN accelerator complex [44].



## 2.2 ALICE apparatus

Detectors descriptions are taken from these references [45, 46].

### 2.2.1 Overview of ALICE apparatus

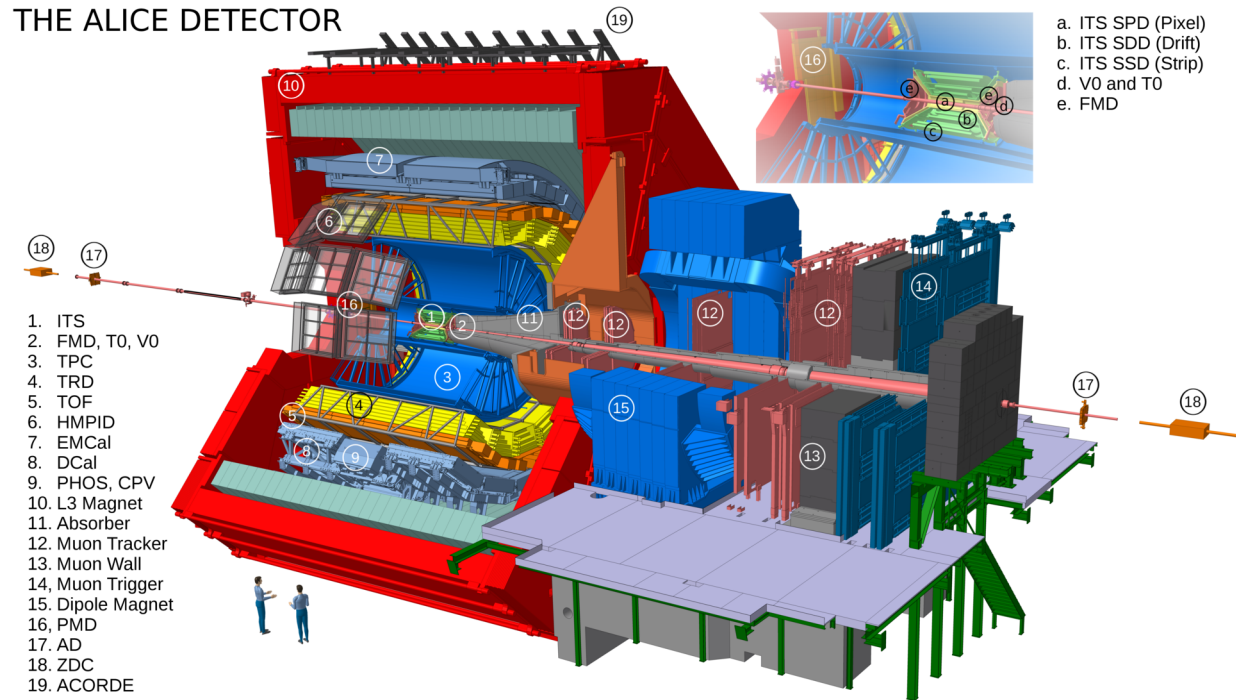


Figure 15: Overview of ALICE detectors in Run2

From the inner side of the central barrel, Inner Tracking System (ITS) which is six layers of silicon tracker and Time Projection Chamber (TPC) which also provides particle identification (PID) by ionization energy loss  $dE/dx$  are installed. They are central tracking systems to measure momenta of charged particles under a solenoid magnet  $B = 0.5$  T in ALICE. Two type of electro-magnetic calorimeters (Photon Spectrometer (PHOS) and EMCal/DCal) are located from 4.6/4.4 m from a interaction point to measure photon and electron energy and its hit position. In addition to them, there are several PID detectors such as Time of Flight (TOF), High Momentum Particle Identification Detector (HMPID), Transition Radiation Detector (TRD) at mid-rapidity. Trigger detectors (VZERO, T0) are installed to study event property (e.g. event plane and multiplicity) at forward and backward rapidity. Zero Degree Calorimeter (ZDC) at forward and backward rapidity is used to reject events induced by beam-gas interactions. Muon tracker and trigger are installed at only forward rapidity under a dipole magnet  $B = 0.7$  T. Hereafter, V0A(C) denotes VZERO detector at A(C)-side, same for T0. In ALICE, A-side is for  $\eta > 0$  and C-side is for  $\eta < 0$ .

### 2.2.2 Basic kinematic variables in ALICE coordinate

The coordinate system in ALICE for emitted particles from the interaction point (IP) is right-handed Cartesian coordinate system  $(x, y, z)$ . The point  $(0, 0, 0)$  is the center of ALICE detectors. The beam axis is in parallel to the  $z$ -axis and the  $x$ - $y$  plane is transverse to the beam( $z$ -) axis. The positive direction of  $x$ -axis is defined as the direction from the IP to the center of the LHC ring. The positive direction of  $y$ -axis is upward. More often, a spherical coordinate system

708  $(r, \theta, \varphi)$  is used. The azimuthal angle around the beam( $z$ -) axis  $\varphi = \arctan(y/x)$ , the polar angle  
 709 from beam( $z$ -) axis  $\theta = \arctan(\sqrt{x^2 + y^2}/z)$ , and the distance from the IP  $r = \sqrt{x^2 + y^2 + z^2}$ .  
 710 The azimuthal angle  $\varphi$  in the transverse plane starts from  $\varphi = 0$  pointing to  $x = 0$ , the center  
 711 of the LHC ring. Rapidity  $y$  of a particle is defined as :

$$y = \frac{1}{2} \ln \left( \frac{E + p_z}{E - p_z} \right),$$

712 where  $E$  is energy of the particle,  $p_z$  is momentum along the  $z$ -axis. Pseudo-rapidity  $\eta$ , the  
 713 relativistic limit of rapidity  $y$ , is also used to point the particle position.

$$\eta = -\ln \left( \tan \left( \frac{\theta}{2} \right) \right)$$

714 Furthermore, to be Lorentz-invariant in high-energy particle physics, transverse momentum  $p_T$   
 715 which is momentum along the transverse plane is defined as :

$$p_T = p \sin \theta = \sqrt{p_x^2 + p_y^2}$$

716 Especially,  $p_T$  is important variable, as it is given by collisions.

717 The distance in  $\eta - \varphi$  plane  $\Delta R$  is used for jet reconstruction and particle isolation as :

$$\Delta R = \sqrt{\Delta \eta^2 + \Delta \varphi^2}$$

$$\Delta \eta = \eta_i - \eta_j$$

$$\Delta \varphi = \varphi_i - \varphi_j,$$

718 where  $\eta_{i(j)}$ ,  $\varphi_{i(j)}$  represent the position of particle  $i(j)$ .

719 **2.2.3 Trigger detectors**

720 **VZERO** The VZERO detector [47] consisting of  $32 \times 2$  plastic scintillators covers  $-3.7 <$   
 721  $\eta < -1.7$  V0C and  $2.8 < \eta < 5.1$  V0A. This detector provides minimum-bias (MB) triggers  
 722 V0OR/V0AND. V0OR (INT5) requires at least one hit on either V0A or V0C. V0AND (INT7)  
 723 requires at least one hit on each V0A and V0C. The VZERO detector also measures event  
 multiplicity and event plane in Pb-Pb collisions.

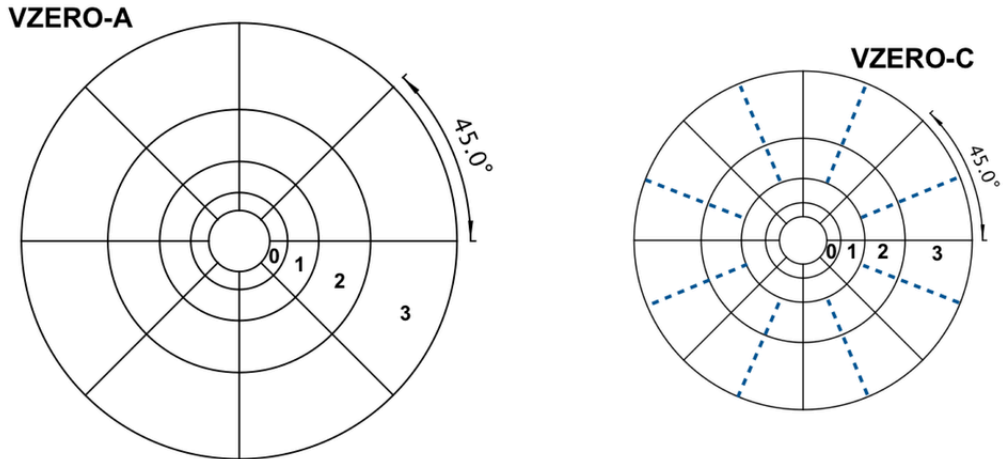


Figure 16: Sketches of V0A and V0C arrays [48].

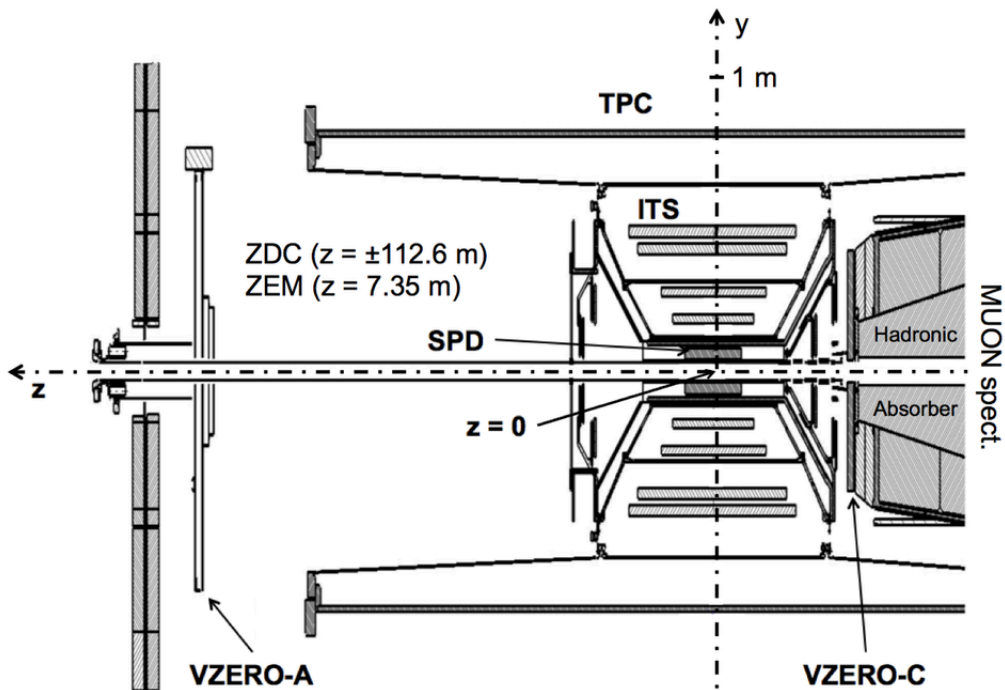


Figure 17: Position of VZERO (A-C) arrays and ITS around the beam pipe [48].

724

725 It also rejects beam-gas interactions by collision timing. As shown by Figure.19, three event  
 726 classes are observed: collisions at (8.3 ns,14.3 ns), beam-gas interactions at (-14.3 ns,-8.3 ns)  
 727 and (14.3 ns,8.3 ns).

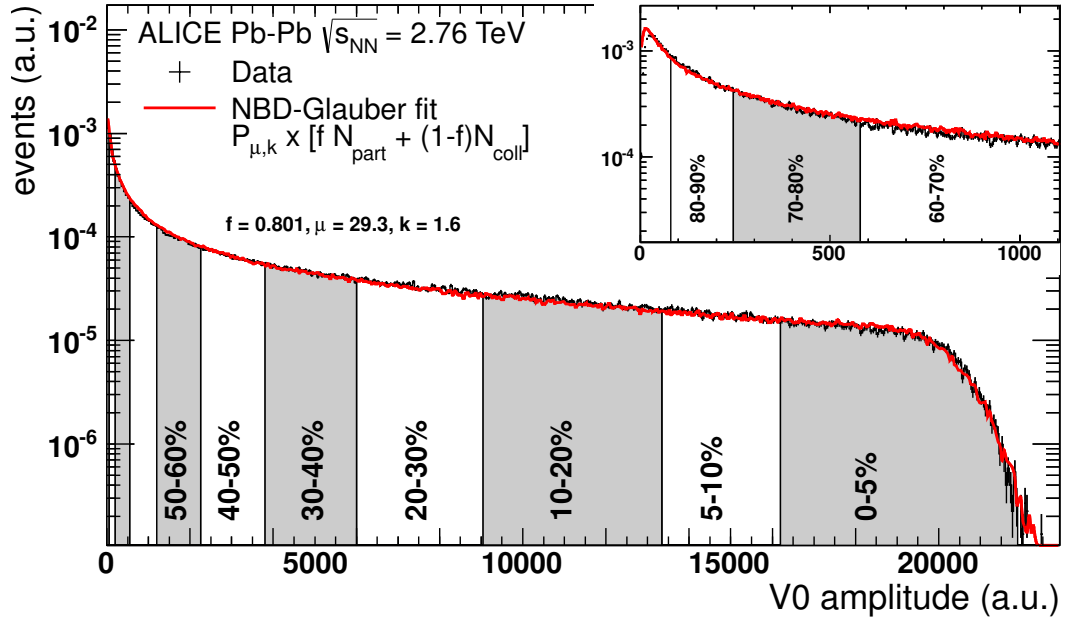


Figure 18: V0 (V0A + V0C) amplitude distribution [46].

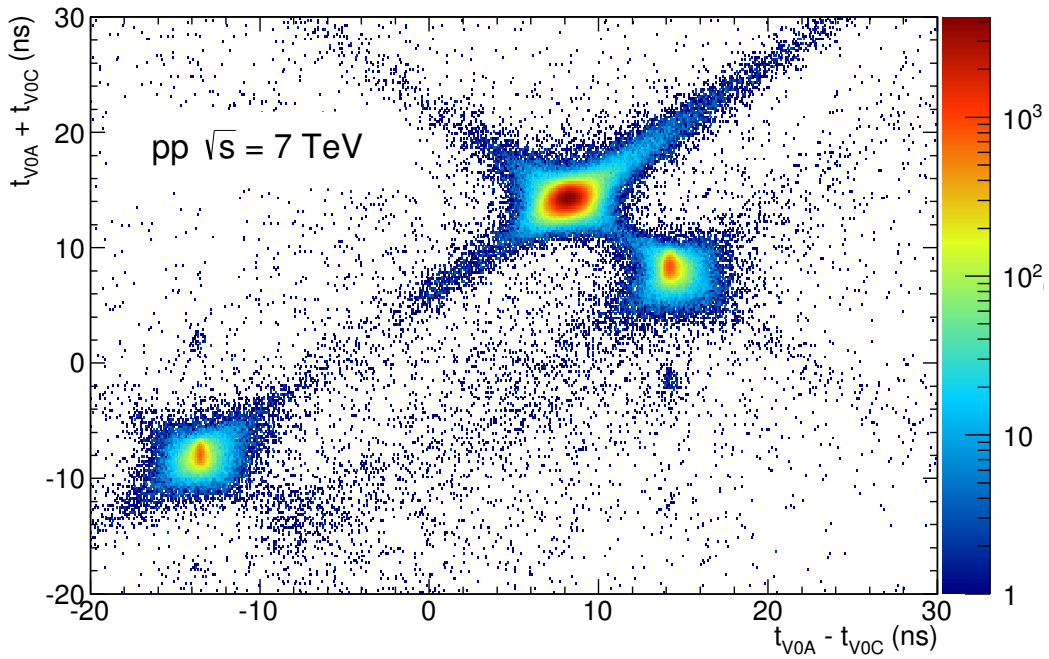


Figure 19: Correlation between the sum and the difference of hit timing of V0A and V0C [46].

728 **T0** The T0 detector [47], quartz Cherenkov detector, measures collision timing and the position  
 729 of the interaction along the beam line precisely. It also delivers luminosity at IP2 to LHC  
 730 operators. The acceptance of the T0 detector is  $-3.3 < \eta < -3.0$  for T0C and  $4.6 < \eta < 4.9$  for  
 T0A.

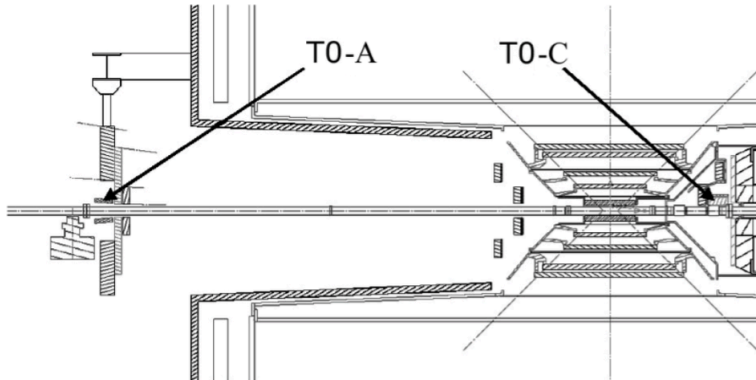


Figure 20: Positions of T0A and T0C [49].

731

#### 732 2.2.4 Central Tracking System

733 **Inner Tracking System (ITS)** The ITS  
 734 detector [51] is inner-most silicon tracker to  
 735 reconstruct a primary vertex of a collision and  
 736 momenta of charged particles. The coverage  
 737 of the ITS is  $|\eta| < 0.9$  and  $2\pi$  in azimuth. It  
 738 consists of three different types that are Sil-  
 739 icon Pixel Detector (SPD), Silicon Strip De-  
 740 tector (SSD) and Silicon Drift Detector (SDD)  
 741 from inner to outer layer. Each of them has  
 742 two layers. SSD and SDD also provide ioniza-  
 743 tion energy loss  $dE/dx$  for PID at low trans-  
 744 verse momentum.

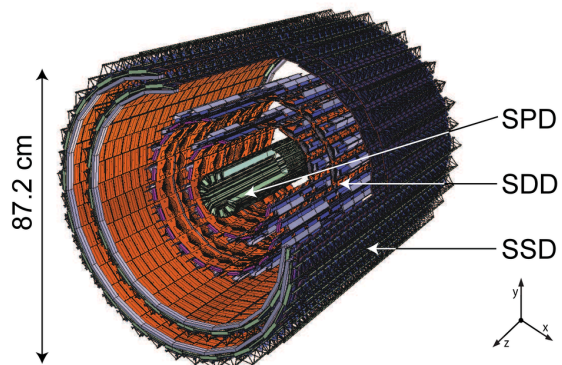


Figure 21: The layout of ITS [50].

745 **Time Projection Chamber (TPC)** TPC [54]  
 746 is the main tracking detector which mea-  
 747 sures momenta of charged particles and ion-  
 748 ization energy loss  $dE/dx$  for PID in AL-  
 749 ICE. Advantages of TPC are great spatial res-  
 750 olution under high multiplicity environment  
 751  $N_{ch} \sim O(10^3)$  produced by Pb–Pb collisions  
 752 and strong PID performance. The coverage  
 753 is  $|\eta| < 0.9$ ,  $2\pi$  in azimuth and its radius is  
 754 between 85 and 250 cm around the beam axis.

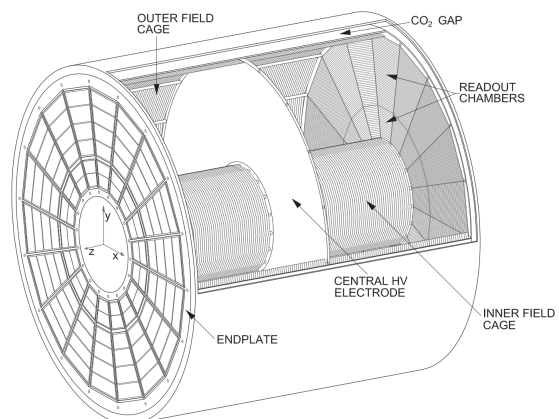


Figure 23: The layout of TPC [52, 53].

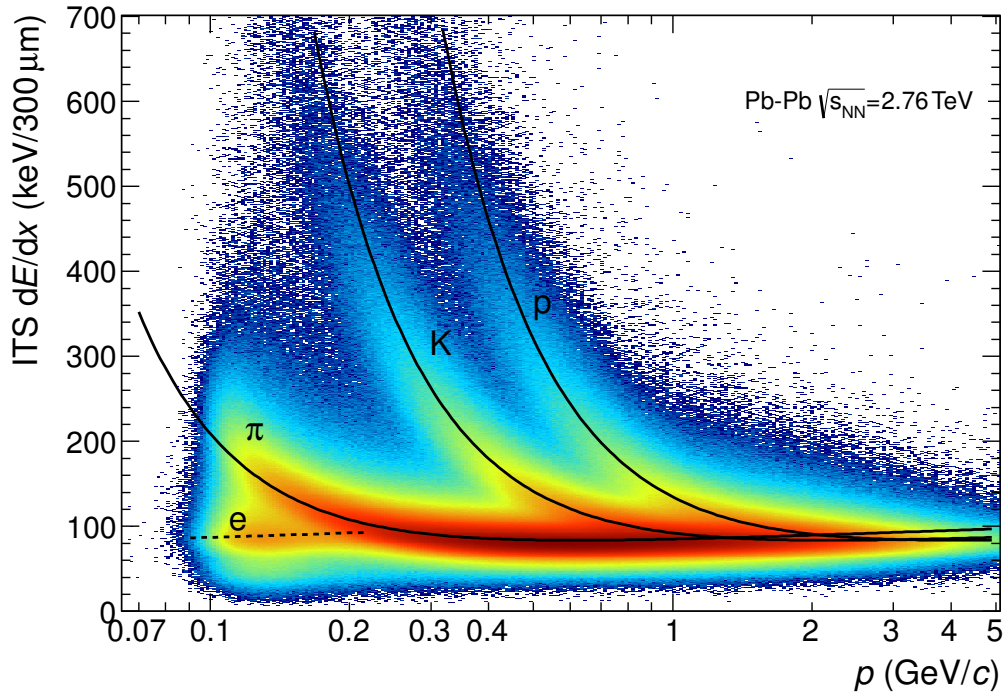


Figure 22:  $dE/dx$  measured in ITS standalone as a function momentum of charged particle [46].

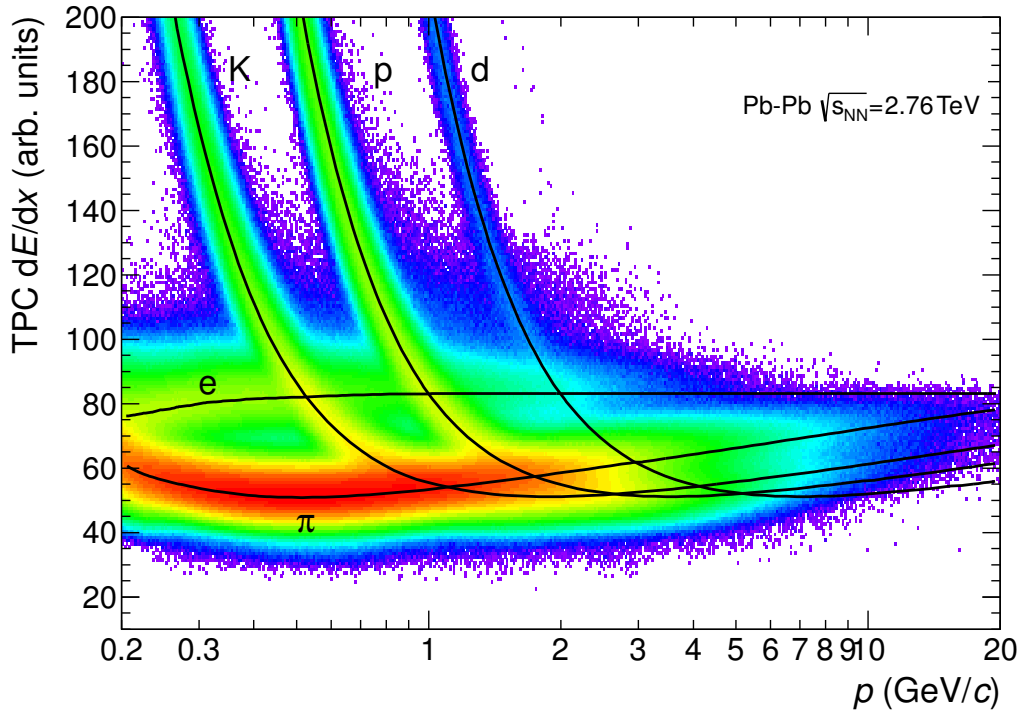


Figure 24:  $dE/dx$  measured in TPC as a function momentum of charged particle [46].

755 **2.2.5 Electro-magnetic calorimeters**

756 **Photon Spectrometer (PHOS)** PHOS [55, 45] is the main detector in this thesis. PHOS is  
 757 a homogeneous electro-magnetic calorimeter located from 4.6 m from the interaction point. It  
 758 consists of fine-segmented 12,544 PbWO<sub>4</sub> crystals readout by Avalanche Photo Diode (APD)s,  
 759 operated at -25 degrees Celcius. A Moliere radius of the PbWO<sub>4</sub> crystal is 2.2 cm which allows us  
 760 to distinguish two photons decayed from  $\pi^0$  at high  $p_T$  with a small opening angle. A radiation  
 761 length  $X_0$  is 0.89 cm and a density is 8.29 g/cm<sup>3</sup> for the PbWO<sub>4</sub> crystal. Volume of one crystal  
 762 is  $2.2 \times 2.2 \times 18$  cm<sup>3</sup>, which corresponds to 20  $X_0$ . The acceptance of the PHOS detector is  
 763  $|\eta| < 0.12$ ,  $250^\circ < \varphi < 320^\circ$ ,  $\Delta\varphi = 20^\circ$  for one module. The energy resolution as a function of  
 764 energy  $E$  in GeV is [56] :

$$\frac{\sigma_E}{E} (\%) = \sqrt{\left(\frac{0.013}{E}\right)^2 + \left(\frac{0.036}{\sqrt{E}}\right)^2 + (0.0112)^2}$$

765 The position resolution as a function of energy  $E$  in GeV is [55] :

$$\sigma_{x,z} (\text{mm}) = \sqrt{\left(\frac{3.26}{\sqrt{E}}\right)^2 + 0.44^2}$$

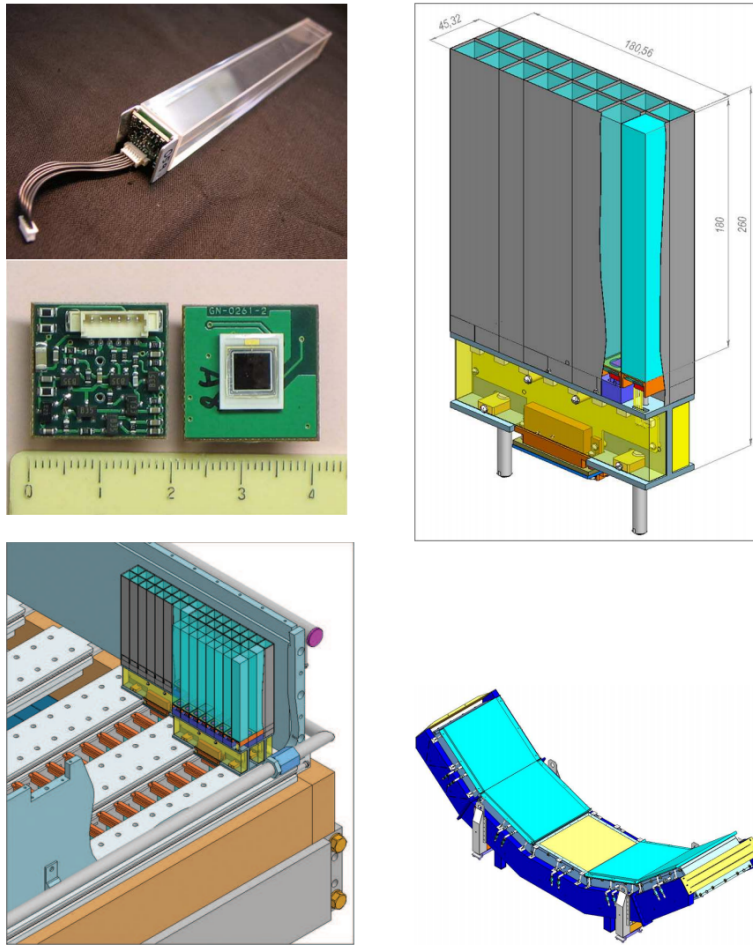


Figure 25: Elements of the PHOS detector.

767 PHOS is constructed as shown by Figure 25. The  $\text{PbWO}_4$  crystal readout by the APD for  
768 one element on top left, one strip unit has  $8 \times 2$  elements on to right. One module consists of  
769  $64 \times 56 = 3584$  elements on bottom left. Finally, there are three and a half modules are installed  
770 in ALICE. (A half module have been installed since 2015.) The PHOS detector provides Level-  
771 0 and Level-1 triggers to select events containing high energy deposition in the area of  $4 \times 4$   
772 cells on PHOS. Energy thresholds of triggers are configurable and were set to 4 GeV (L0) in  
773 pp collisions at  $\sqrt{s} = 5.02$  TeV (2017) and 8 GeV (L1 High), 4 GeV (L1 Midium) in Pb–Pb  
774 collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV (2015). The latency of the L0 and the L1 trigger is 1.2 and 7  $\mu\text{s}$   
775 respectively [57].

### 776 2.2.6 Other detectors

777 ALICE detectors that are not relevant to this thesis (ACORDE, AD, CPV, EMCal, FMD,  
778 HMPID, MCH, MTR, PMD, TOF, TRD, ZDC) are explained in [45, 46].



### 3 Data sets

The detailed event selection, cluster selection on PHOS and quality of data are described in this section.

#### 3.1 Data sets in pp collisions at $\sqrt{s} = 5.02$ TeV

Minimum-bias events and PHOS triggered events have been analyzed in this these. The integrated luminosity used in this analysis is  $19 \text{ nb}^{-1}$  for Minimum-bias and  $550 \text{ nb}^{-1}$  for PHOS L0 triggered events respectively.

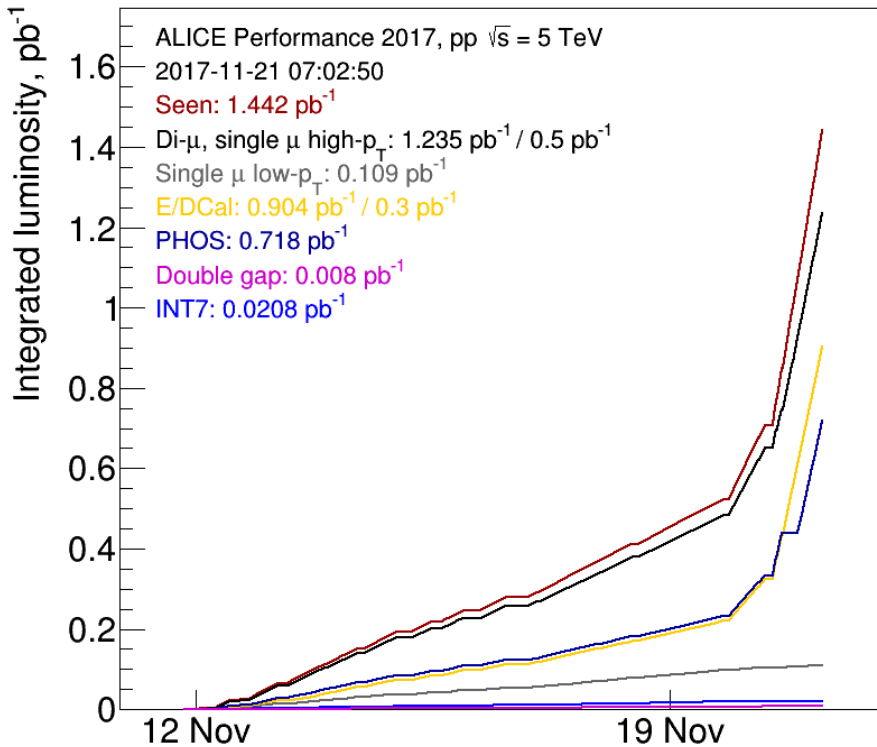


Figure 26: The integrated luminosity in pp collisions at  $\sqrt{s} = 5.02$  TeV taken in 2017.

#### Run lists

##### LHC17p

282343, 282342, 282341, 282340, 282314, 282313, 282312, 282309, 282307, 282306, 282305, 282304, 282303, 282302, 282247, 282230, 282229, 282227, 282224, 282206, 282189, 282147, 282146, 282127, 282126, 282125, 282123, 282122, 282120, 282119, 282118, 282099, 282098, 282078, 282051, 282050, 282031, 282030, 282025, 282021, 282016, 282008.

##### LHC17q

282441, 282440, 282439, 282437, 282399, 282398, 282393, 282392, 282391, 282367, 282366, 282365.

In LHC17q, MB events were recorded in only 282367, 282366, 282365.

#### Monte-Carlo simulation samples

LHC17l3b PYTHIA8 for LHC17p-q ( $\sim 200$  M events)

LHC17j3[a,b,c][1,2] single particle simulation ( $\pi^0$ ,  $\eta$ ,  $\gamma$ ) for LHC17pq (main efficiency for correction in LHC17pq)

**Event selection**

physics selection (reject beam-gas interactions)  
the number of charged track associated with the primary vertex  $> 0$   
pileup rejection by SPD  
 $|Z_{\text{vtx}}| < 10$  cm

**Minimal cluster selection**

$E_{\text{cluster}} > 0.2$  GeV (to extract photon signal as much as possible at low energy)  
 $M02 > 0.1$  cm for only  $E > 1$  GeV (to extract photon signal as much as possible at low energy)  
 $M20 > 0.1$  cm for only  $E > 2$  GeV (to extract photon signal as much as possible at low energy)  
 $M20 < 2.0$  cm (to remove clusters whose size is too large)  
 $|\text{TOF}| < 12.5$  ns in real data (to remove photons from other bunch crossings)

The total number of events after these event selection is about 975 M MB events and 1.0 M PHOS triggered events. A cluster means “a group of cells”. Photons interact with  $\text{PbWO}_4$  crystals and generate electro-magnetic showers, depositing energy in a group of cells around the impact point of each photon. This group of cells is defined as a cluster. The sum of amplitudes measured in each cell in the cluster is proportional to the initial photon energy. The center of gravity in cell coordinates weighted by the cell energy logarithmically defines the hit position. Second moments ( $M20$ ,  $M02$ ) of the cluster is used to discriminate electro-magnetic or hadronic showers [58, 59].

**3.1.1 Quality assessment of MB data**

The minimum-bias (MB) trigger configuration was V0AND (INT7 in Figure.26) in this data taking period. As a first check of PHOS data, an average cluster energy and an average number of hits are plotted. The average values are stable in all runs.  $\pi^0$  peak parameters are plotted run-by-run to verify that PHOS was stable in this period. As a result, M1,2,3 are all stable. Especially,  $\pi^0$  peak could not be seen well on M4, because M4 has limited detector acceptance. A peak position in M1,2,3 are consistent within statistical error bar. There are poor statistics in some runs where  $\pi^0$  peak is not so clear. M4 was excluded from the beginning because a systematic uncertainty of material budget is large in front of M4 due to TOF + TRD, which is not suitable for the precise photon measurement.

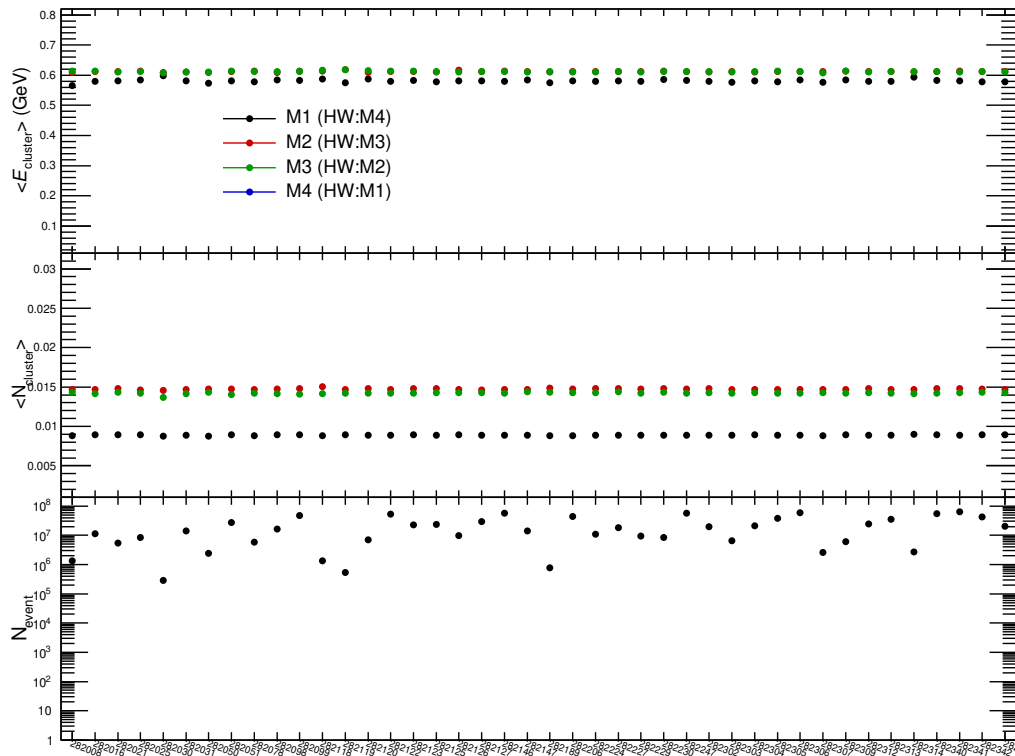


Figure 27: The average cluster energy and number of hits in each run on PHOS in LHC17p pass1.

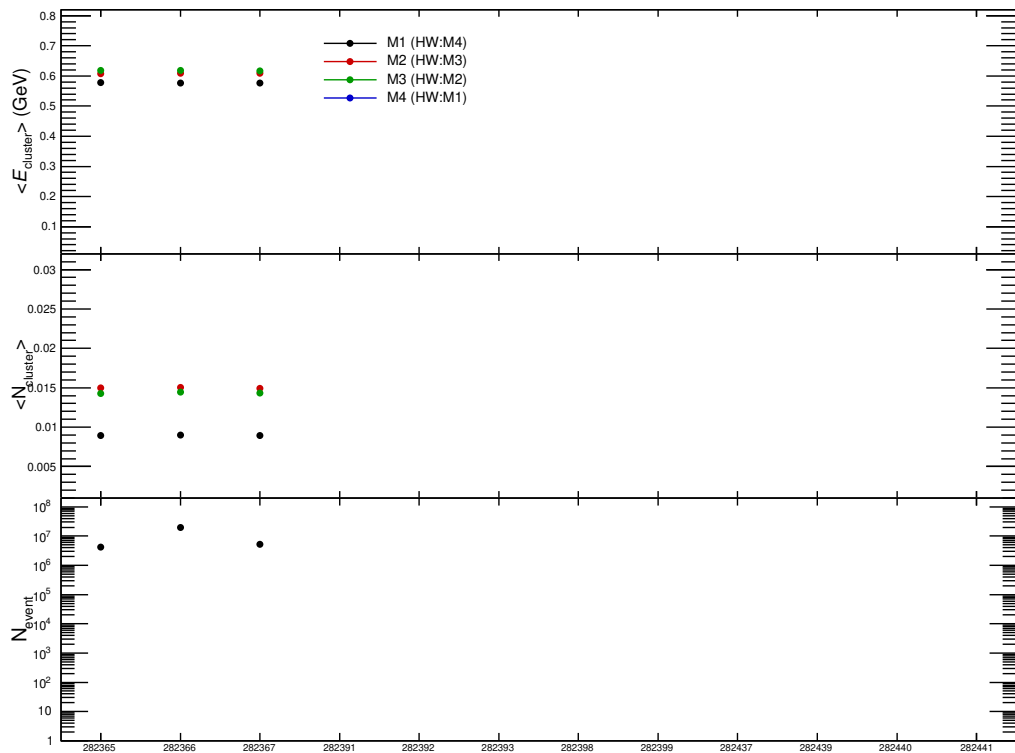
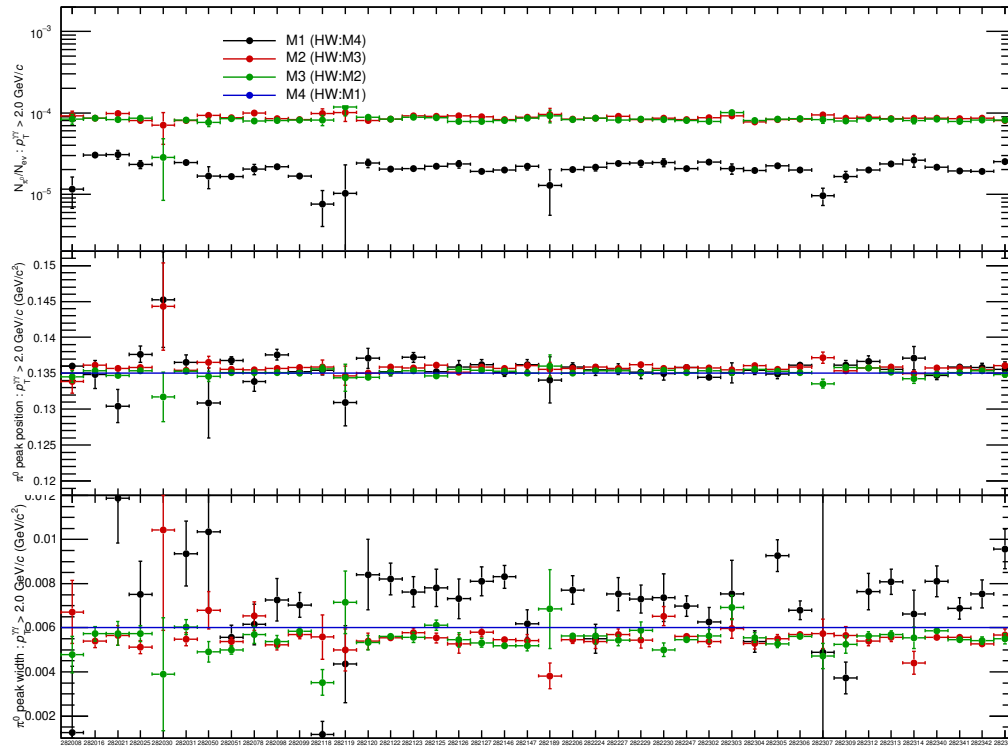
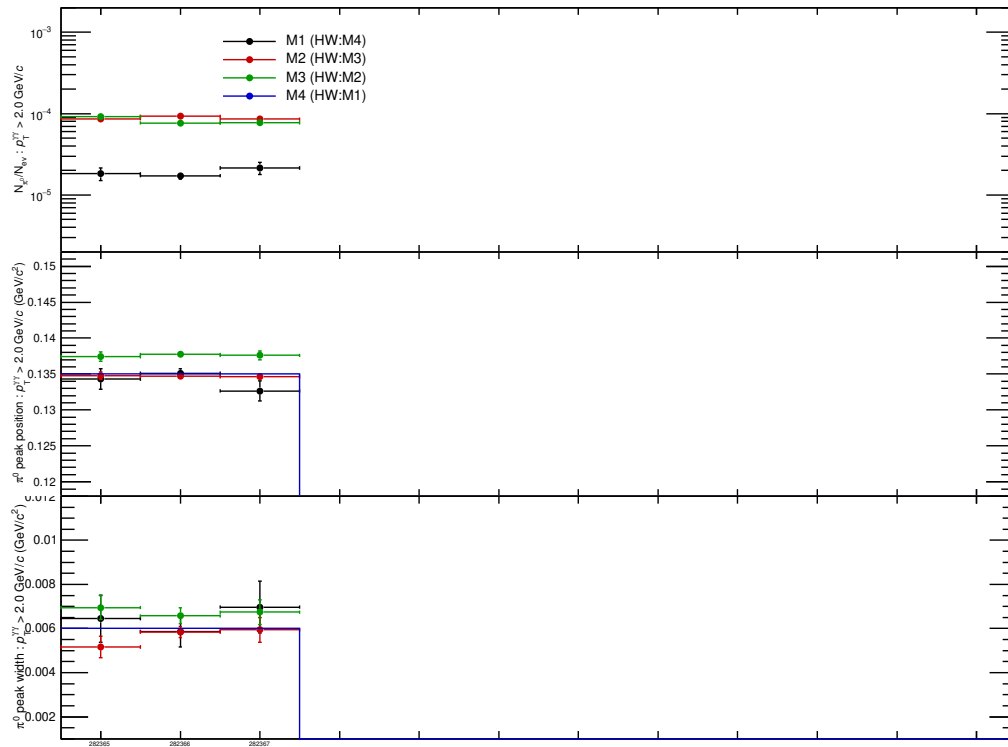
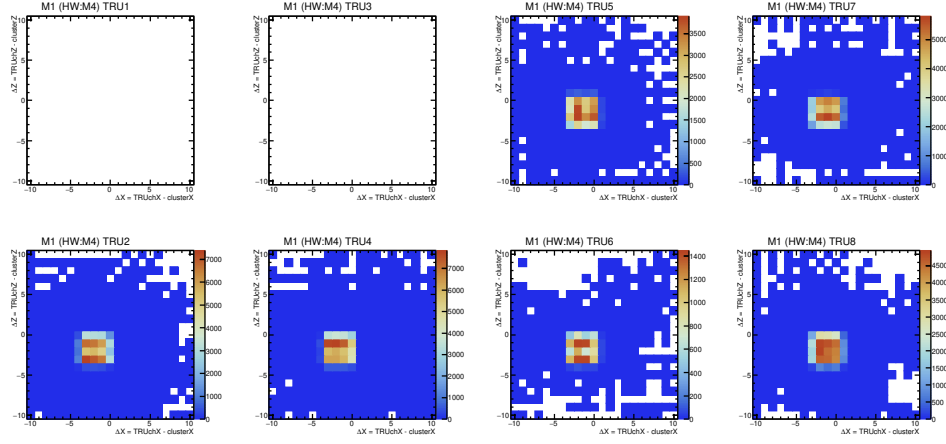


Figure 28: The average cluster energy and number of hits in each run on PHOS in LHC17q pass1.

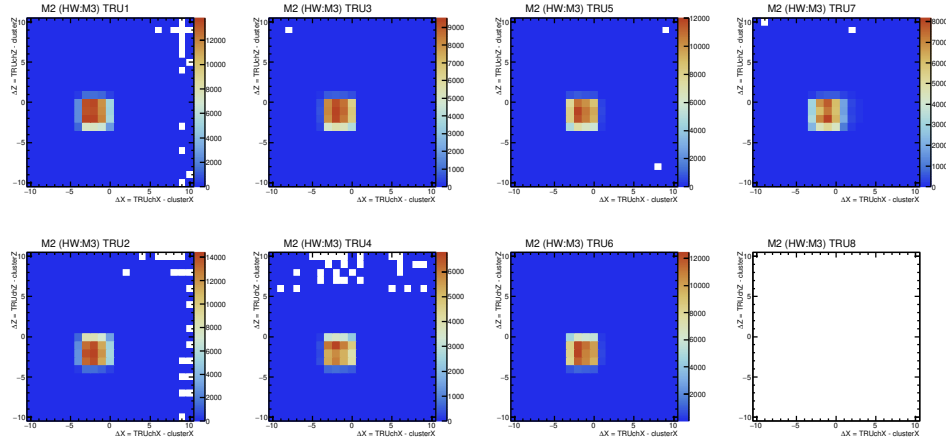
Figure 29:  $\pi^0$  yield, peak position and sigma in each run in LHC17p pass1.Figure 30:  $\pi^0$  yield, peak position and sigma in each run in LHC17q pass1.

### 834 3.1.2 Quality assessment of PHOS triggered data

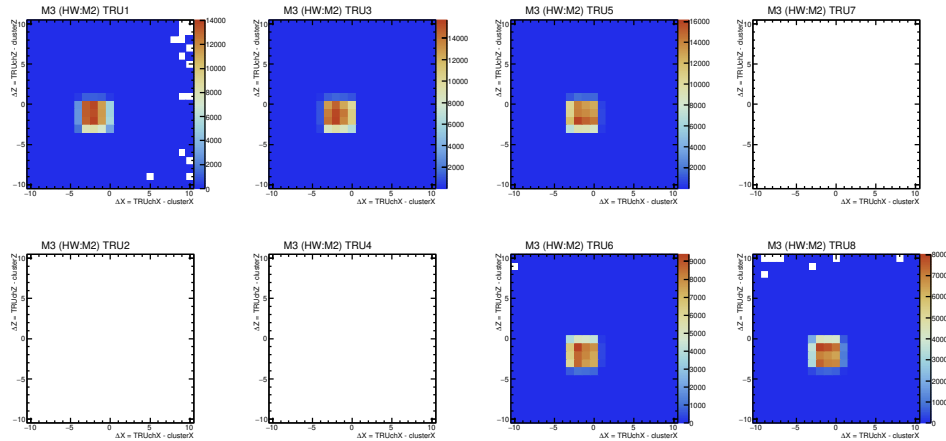
835 In addition to minimal event selection described above, at least one high energy hit on PHOS  
836 is required for the PHOS trigger. Additional quality assessments were performed in case of  
837 PHOS triggered data. PHOS L0 trigger decision is taken by each TRU by the sliding window  
838 algorithm. If analogue sum of  $2 \times 2$  FastORs ( $= 4 \times 4$  cells) is greater than the threshold,  
839 PHOS L0 trigger fires. On the other hand, PHOS L1 trigger decision is taken by STU. STU  
840 stands for Summary Trigger Unit and it is new trigger device since Run2. STU summarizes all  
841 TRU information and scan them by the same sliding window algorithm beyond TRU borders.  
842 Thanks to STU, PHOS L1 trigger can detect high energy hits between borders of TRUs, while  
843 L0 can not. At first, one has to check distance between a fired TRU channel and cluster hit  
844 positions in X and Z coordinate respectively. Since TRU stores cell indices at the bottom-left of  
845 fired channels, a typical distance is expected to be  $[-3,0]$  in X and  $[-3,0]$  in Z. Figure 31 proves  
846 that the typical distance is  $[-3,0]$  in X and  $[-3,0]$  in Z. Based on this fact, a matching criterion  
847 between a fired TRU channel and a cluster is set to  $[-3,0]$  in X and  $[-3,0]$  in Z respectively. The  
848 dead TRUs are in white (Figure 31,32). PHOS triggered events must contain at least one cluster  
849 which matches the fired TRU channel decided by the criterion based on the distance between  
850 fired TRU channels and clusters. Fig.32 shows energy distribution in PHOS L0 triggered events.  
851 The matching efficiency is close to 100% above the trigger threshold at 4 GeV in pp collisions  
852 at  $\sqrt{s} = 5.02$  TeV (LHC17pq). The rejection factor of the PHOS L0 trigger in pp collisions at  
853  $\sqrt{s} = 5.02$  TeV is stable at 30.6 k as shown by Figure 33.



(a) The distance between fired TRU channels and cluster position on M1 in LHC17pq.

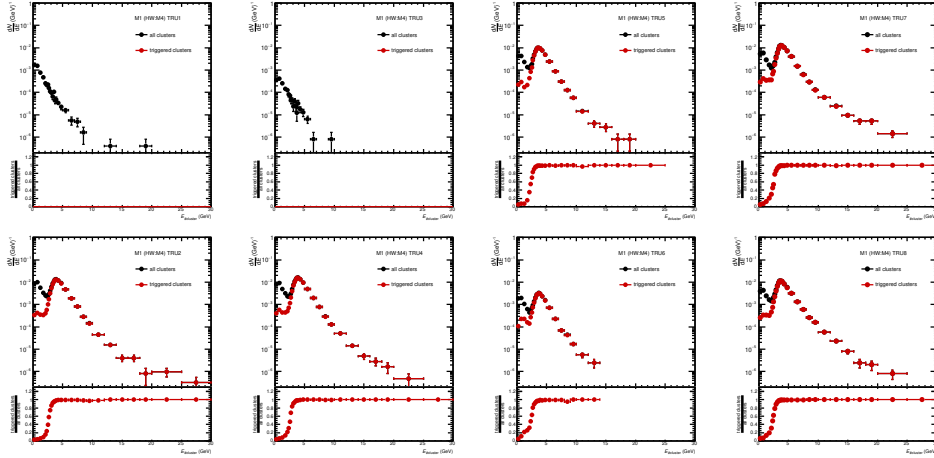


(b) The distance between fired TRU channels and cluster position on M2 in LHC17pq.

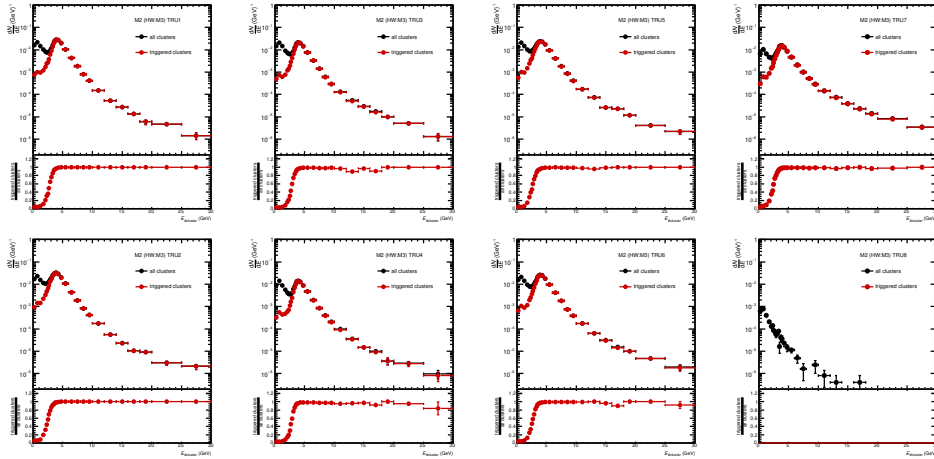


(c) The distance between fired TRU channels and cluster position on M3 in LHC17pq.

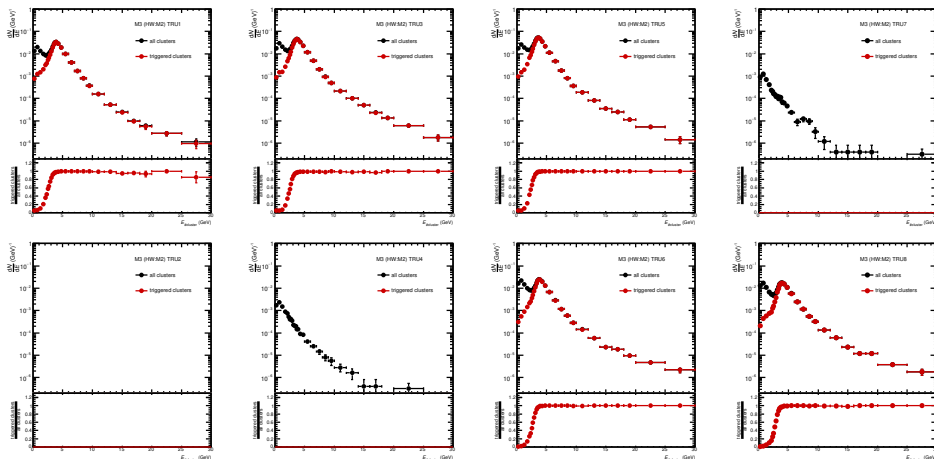
Figure 31: The distance between fired TRU channels and cluster position in different module for  $E_{\text{cluster}} > 4$  GeV in LHC17pq.



(a) Energy distribution on M1 in LHC17pq.



(b) Energy distribution on M2 in LHC17pq.



(c) Energy distribution on M3 in LHC17pq.

Figure 32: Energy distribution of all clusters and triggered clusters and ratios in LHC17pq.

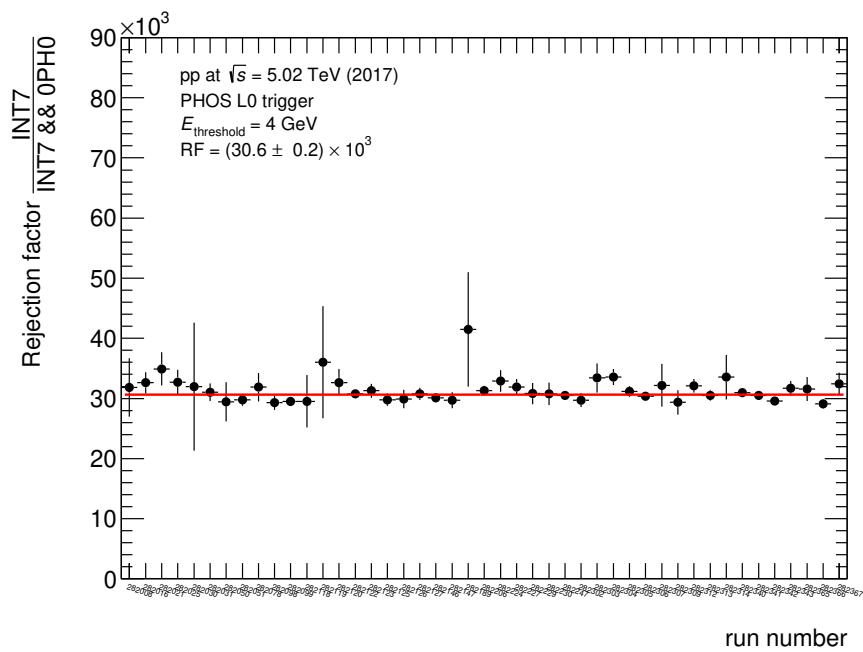
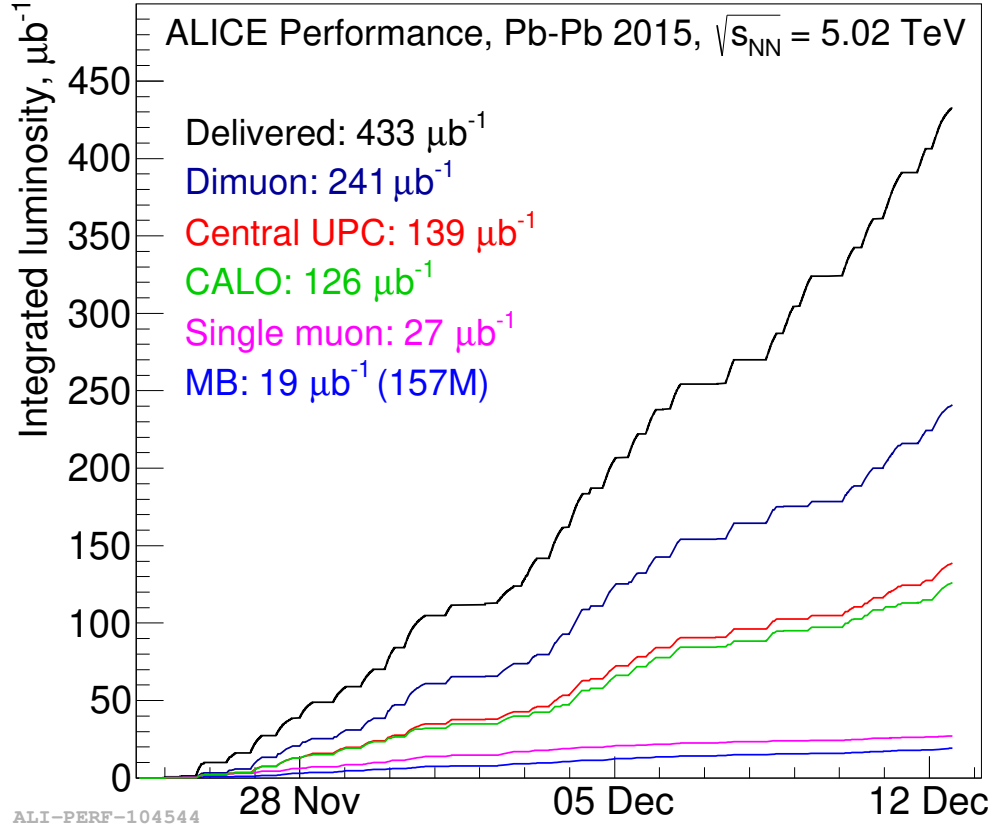


Figure 33: The rejection factor of PHOS L0 trigger (run-by-run) in pp collisions at  $\sqrt{s} = 5.02$  TeV



854 **3.2 Data sets in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV**855 The integrated luminosity used in this analysis is  $12 \mu\text{b}^{-1}$  for Minimum-bias and  $70 \mu\text{b}^{-1}$  for PHOS L1 triggered events respectively.Figure 34: The integrated luminosity in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV taken in 2015.

856

857 **Run lists**858 **LHC15o**

859 pass1

860 246982, 246980, 246937, 246930, 246928, 246867, 246865, 246855, 246851, 246847, 246846,  
 861 246845, 246844, 246810, 246809, 246808, 246807, 246805, 246804, 246766, 246765, 246763,  
 862 246760, 246759, 246758, 246757, 246751, 246750, 246676, 246675, 246495, 246493, 246488,  
 863 246487, 246434, 246431, 246428, 246424, 246275, 246271, 246225, 246222, 246217, 246185,  
 864 246182, 246181, 246180, 246178, 246153, 246152, 246151, 246148, 246115, 246113, 246089,  
 865 246087, 246049, 246048, 246042, 246037, 246036, 246012, 246003, 246001, 245963, 245954,  
 866 245952, 245949, 245923, 245831, 245829, 245705, 245702, 245700, 245692, 245683.

867 pass1\_pidfix

868 245545, 245544, 245543, 245542, 245540, 245535, 245507, 245505, 245504, 245501, 245497,  
 869 245496, 245454, 245453, 245452, 245450, 245446, 245441, 245439, 245410, 245409, 245407,  
 870 245401, 245397, 245396, 245353, 245349, 245347, 245346, 245345, 245343, 245259, 245233,  
 871 245232, 245231, 245152, 245151, 245146, 245145

872 low\_IR pass5

873 246392, 246391, 246390, 245068, 245066, 245064, 244983, 244982, 244980, 244975, 244918

874

**Monte-Carlo simulation samples**

LHC16g1[a,b,c] HIJING for LHC15o ( $\sim 10$  M events)  
 LHC17i7[a,b,c][1,2] single particle simulation ( $\pi^0$ ,  $\eta$ ,  $\gamma$ ) for LHC15o (main efficiency for correction in LHC15o)

**Event selection**

physics selection (reject beam-gas interactions)  
 the number of charged track associated with the primary vertex  $> 0$   
 pileup rejection by SPD  
 $|Z_{\text{vtx}}| < 10$  cm  
 centrality estimator : V0 multiplicity (V0M)

**Minimal cluster selection**

$E_{\text{cluster}} > 0.2$  GeV (to extract photon signal as much as possible at low energy)  
 $M02 > 0.1$  cm for only  $E > 1$  GeV (to extract photon signal as much as possible at low energy)  
 $M20 > 0.1$  cm for only  $E > 2$  GeV (to extract photon signal as much as possible at low energy)  
 $M20 < 2.0$  cm (to remove too large size cluster)  
 $|\text{TOF}| < 50.0$  ns in real data (to remove photons from other bunch crossings)

**3.2.1 Quality assessment of MB data**

The minimum-bias (MB) trigger configuration was V0AND (MB in Figure.34) in this data taking period. As a first check of PHOS data, an average cluster energy and an average number of hits are plotted here. Average values stay stable in all runs.  $\pi^0$  peak parameters are plotted (Figure.38, Figure.39 and Figure.40) run-by-run to verify that PHOS was stable in this period. As a result, M1,2,3 are all stable. Especially,  $\pi^0$  peak could not be seen well on M4, because M4 has limited detector acceptance. A peak position in M1,2,3 are consistent within statistical error bar. There are poor statistics in some runs where  $\pi^0$  peak is not so clear. Note that M4 was excluded from analyses in Pb–Pb, too.

**3.2.2 Quality assessment of PHOS triggered data**

In this data taking period (LHC15o), 2 different L1 triggers that are high (L1H) and medium (L1M) threshold triggers were active. As it has been known that PHOS L1 triggers on M3 did not work because of poor matching efficiency between trigger units and readout units from the beginning of analyses in this data taking period, Since STU stores cell indices at the top-left of fired channels, a typical distance is expected to be  $[-3,0]$  in X and  $[-1,2]$  in Z. Based on Figure 41 and 42, a matching criterion between a fired TRU channel and a cluster is set to  $[-3,0]$  in X and  $[-3,0]$  in Z for module 1 and  $[-3,0]$  in X and  $[-1,2]$  in Z for module 2. M3 is excluded from trigger analyses in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV. The matching efficiency is close to 100% above the trigger thresholds at 4 GeV for medium (L1M) and 8 GeV for high (L1H) in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV (LHC15o). The rejection factor of PHOS L1 triggers in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV is stable at 9.66 k for L1H and 0.835 k for L1M as shown by Figure 45. According to Figure 45a, runs 245233, 245439 and 246391 have small rejection, which means the L1H trigger have fired too often. Thus, these 3 runs were excluded from PHOS L1 trigger analyses.

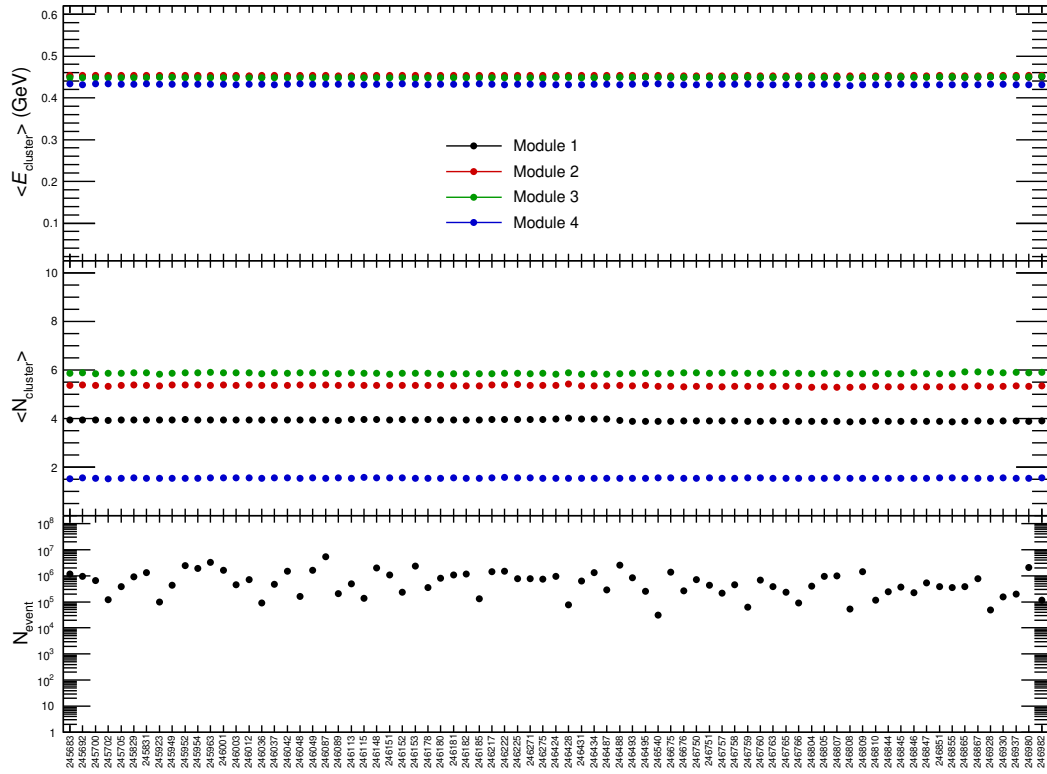


Figure 35: The average cluster energy and number of hits in each run on PHOS in LHC15o pass1.

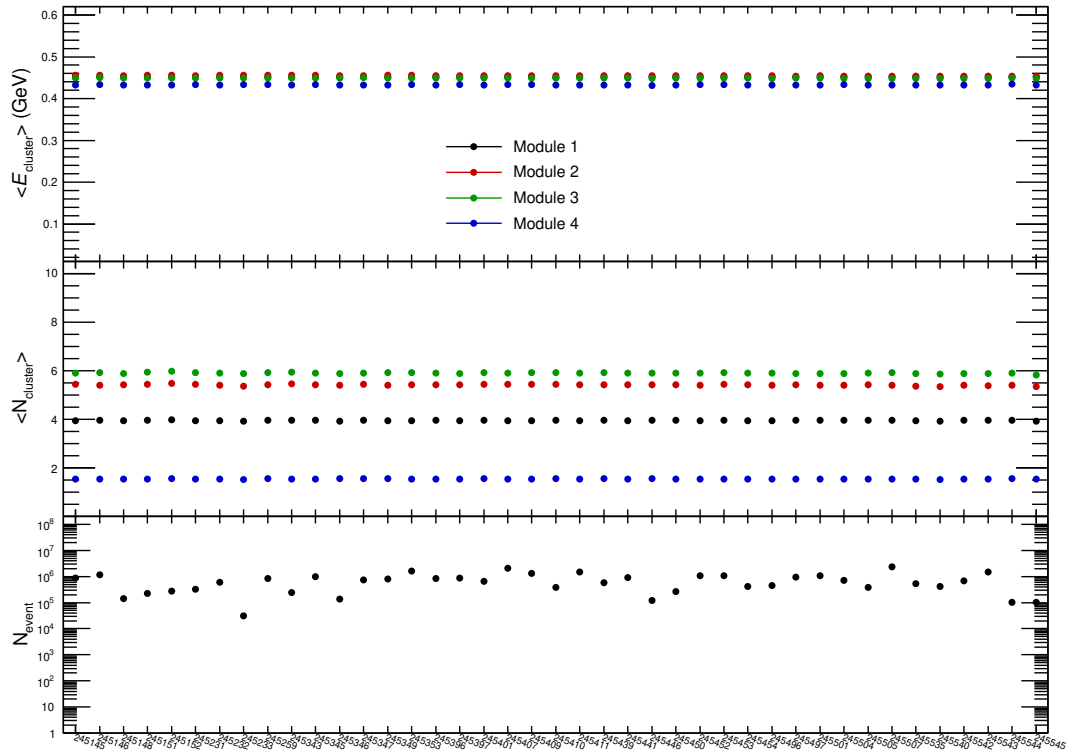


Figure 36: The average cluster energy and number of hits in each run on PHOS in LHC15o pass1\_pidfix.

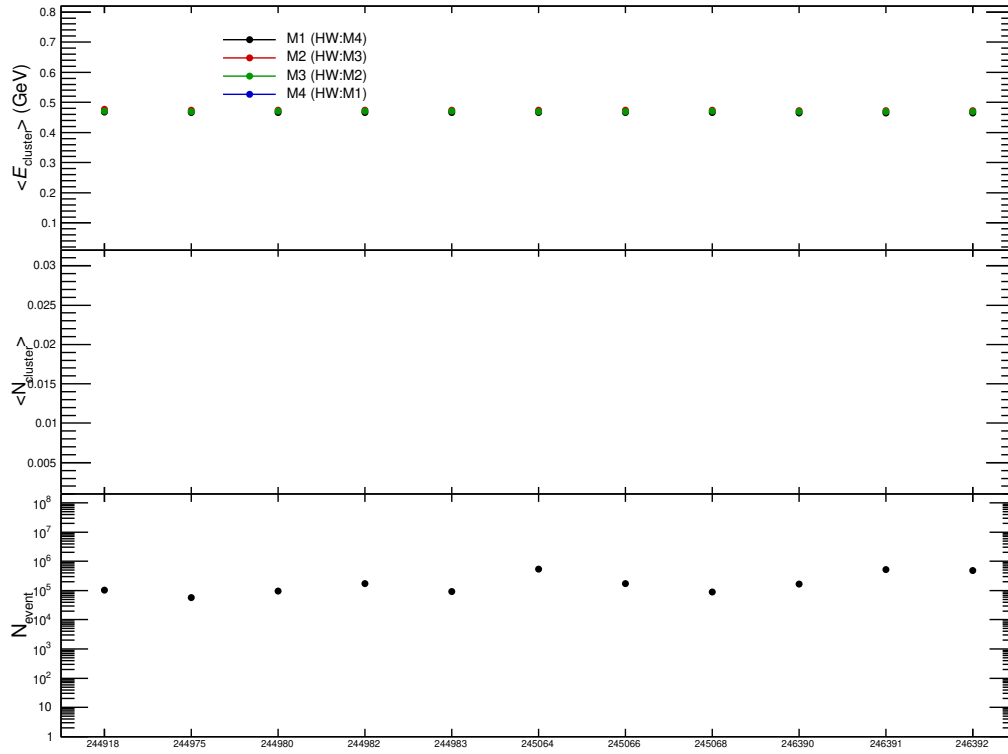


Figure 37: The average cluster energy and number of hits in each run on PHOS in LHC150 lowIR pass5.

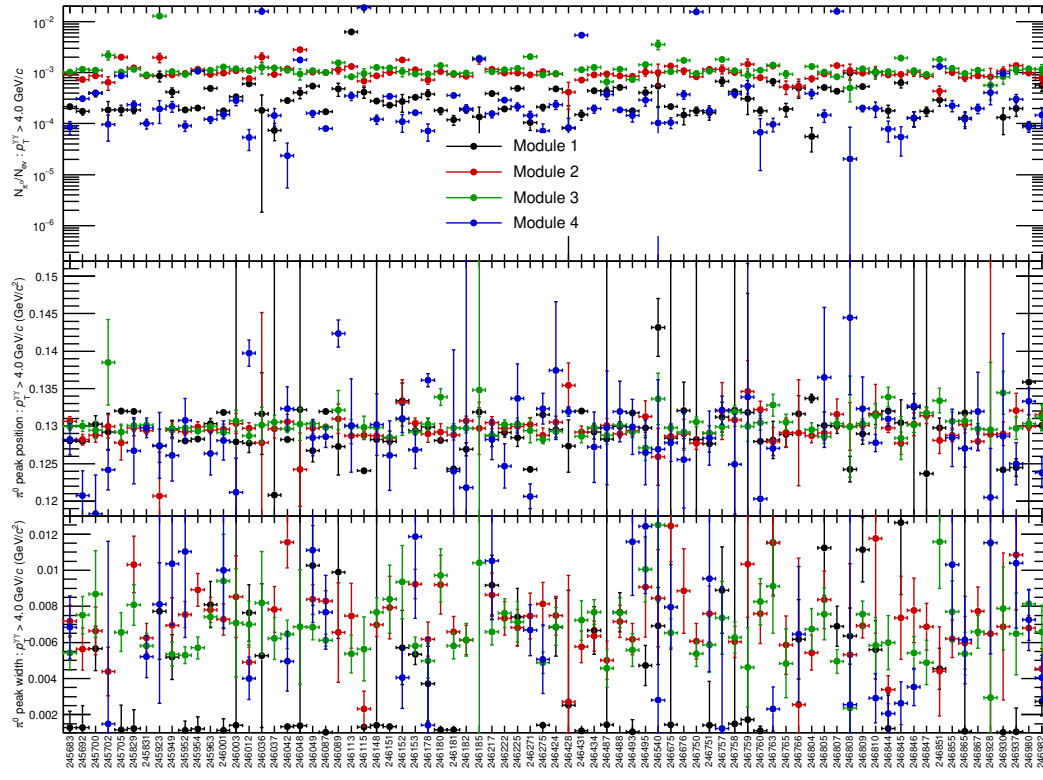


Figure 38:  $\pi^0$  yield, peak position and sigma in each run in LHC150 pass1.

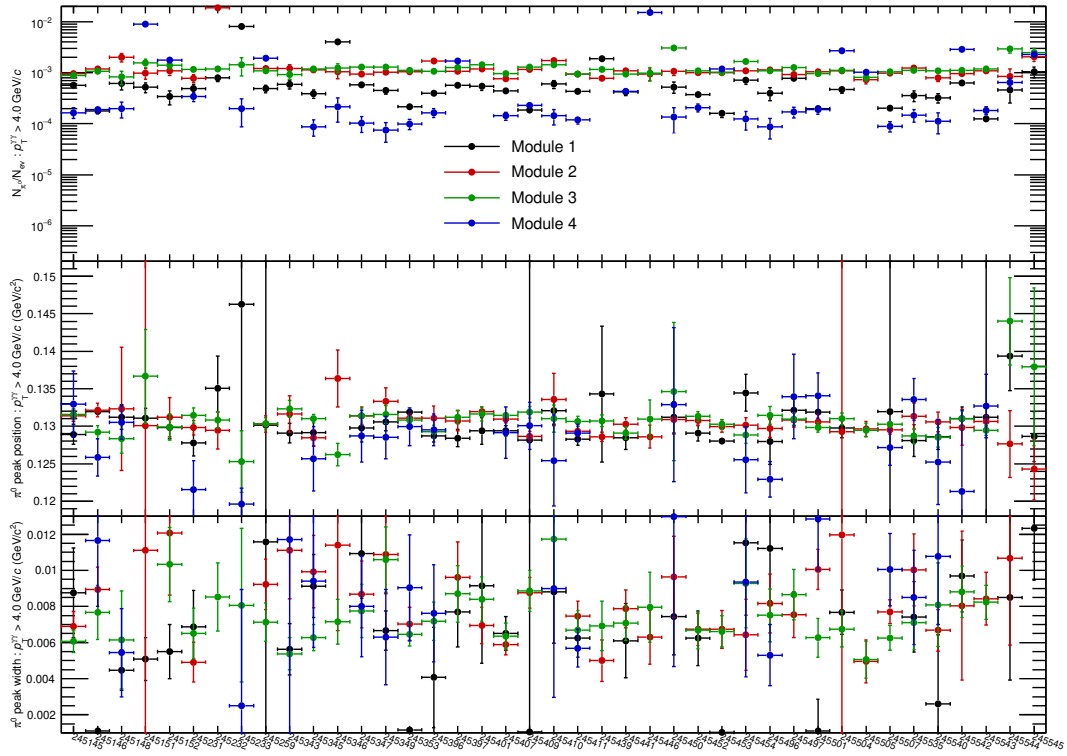


Figure 39:  $\pi^0$  yield, peak position and sigma in each run in LHC15o pass1\_pidfix.

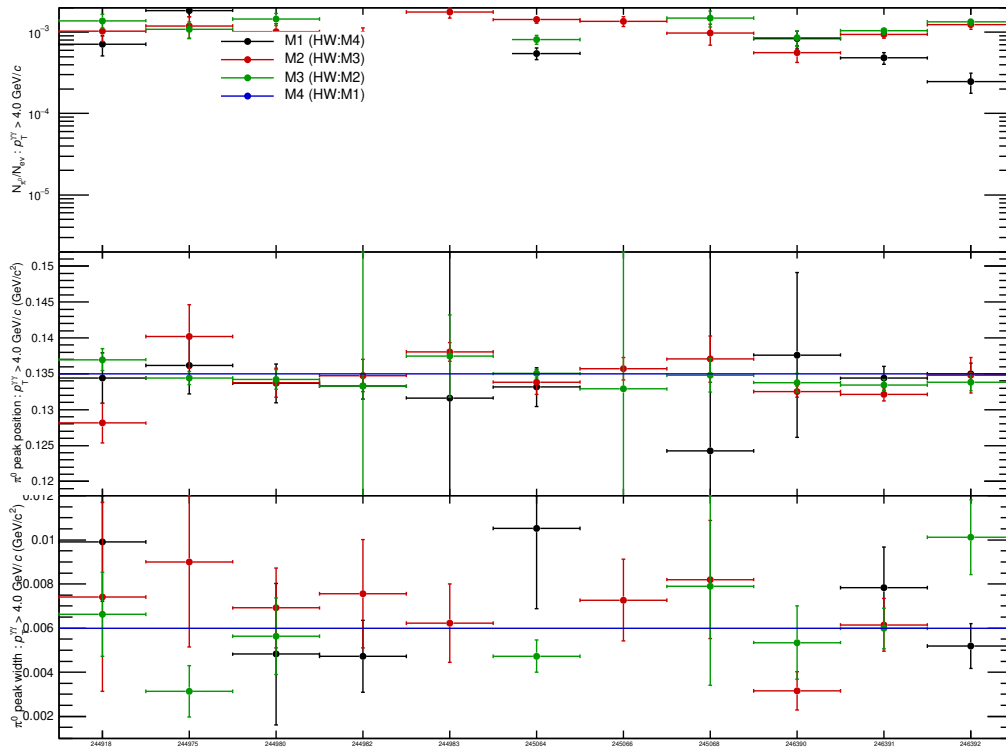
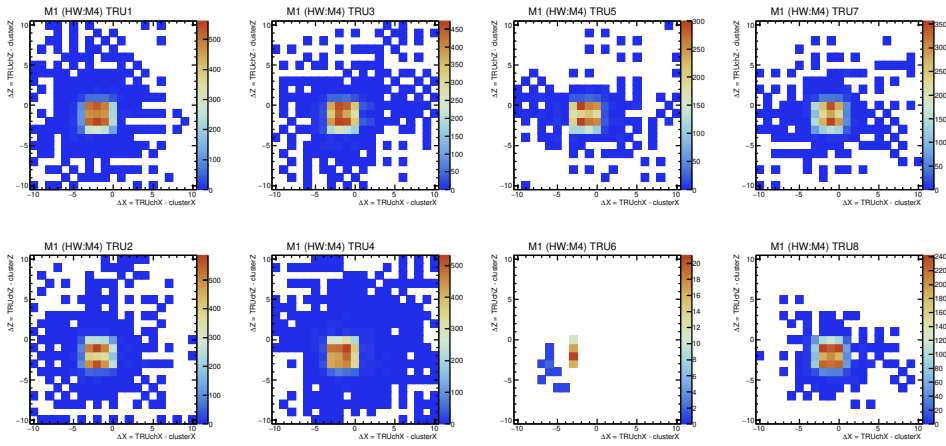
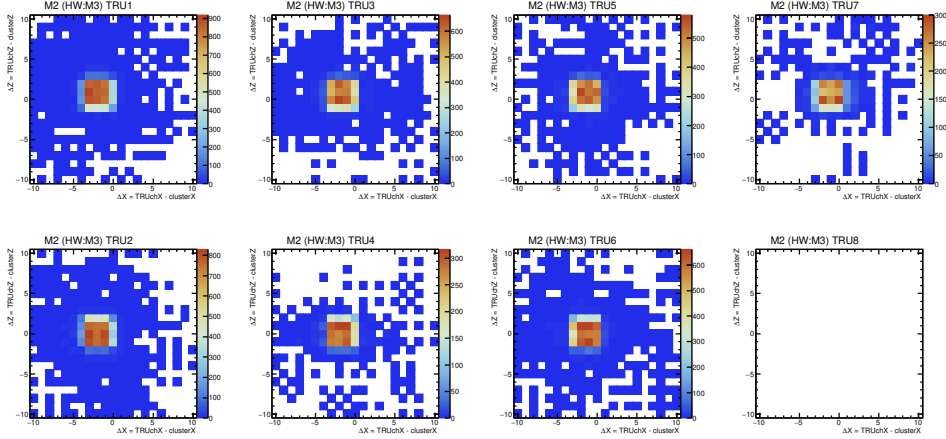


Figure 40:  $\pi^0$  yield, peak position and sigma in each run in LHC15o lowIR pass5.

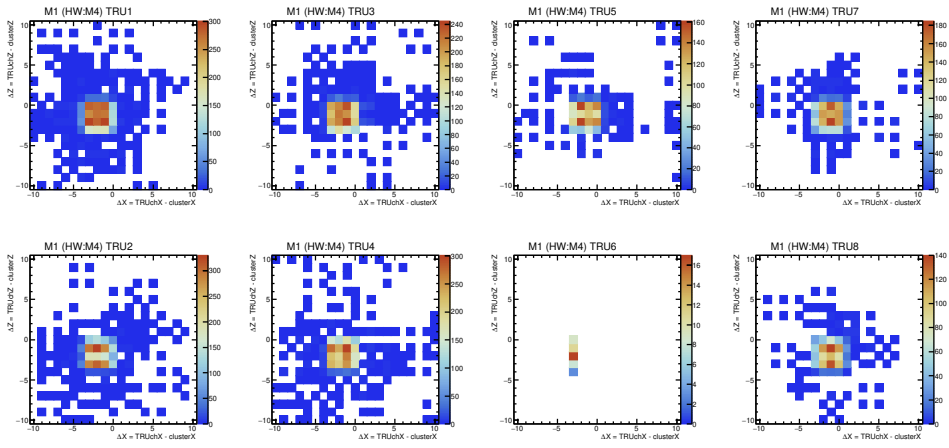


(a) Module 1.

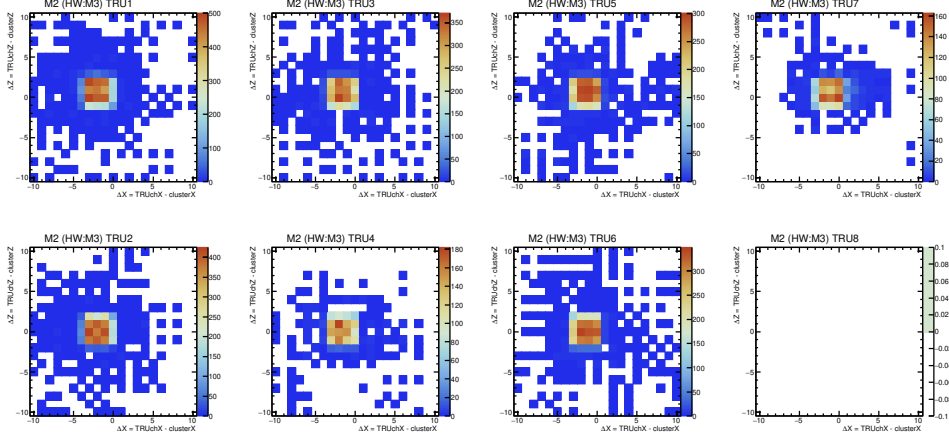


(b) Module 2.

Figure 41: The distance between fired TRU channels and cluster position on different modules for L1H at  $E_{\text{cluster}} > 8$  GeV in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV

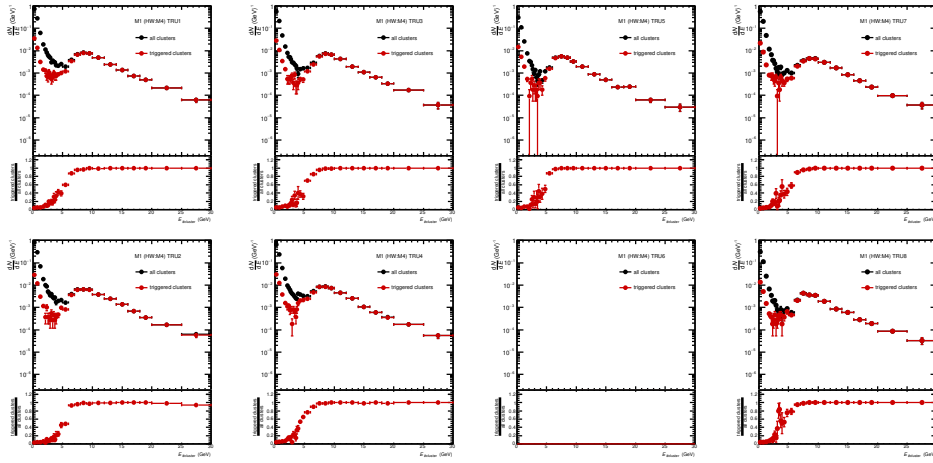


(a) Module 1.

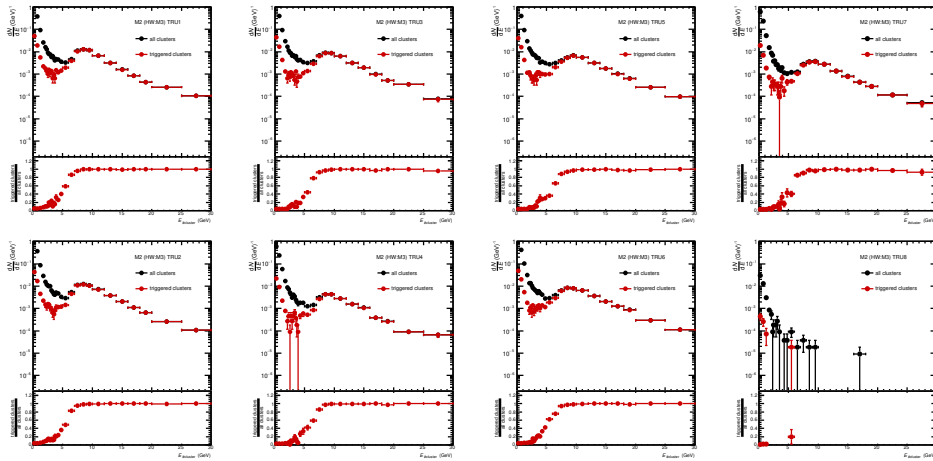


(b) Module 2.

Figure 42: The distance between fired TRU channels and cluster position on different modules for L1M at  $E_{\text{cluster}} > 4$  GeV in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV



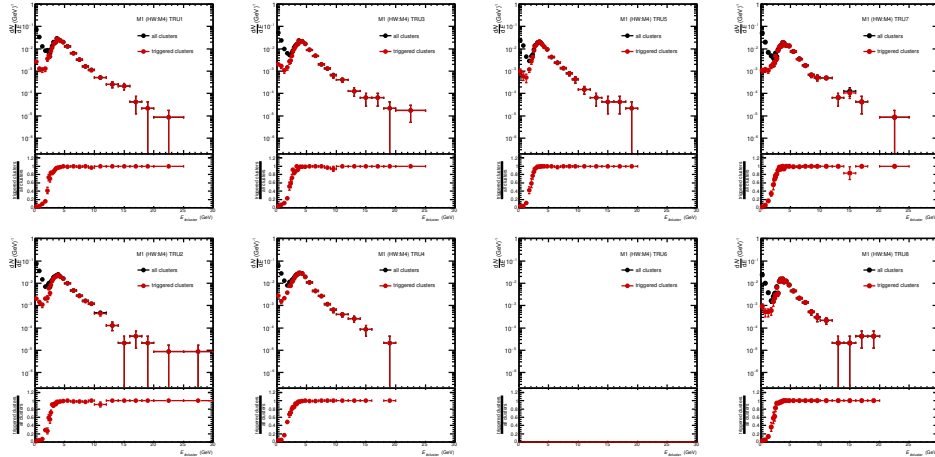
(a) Module 1.



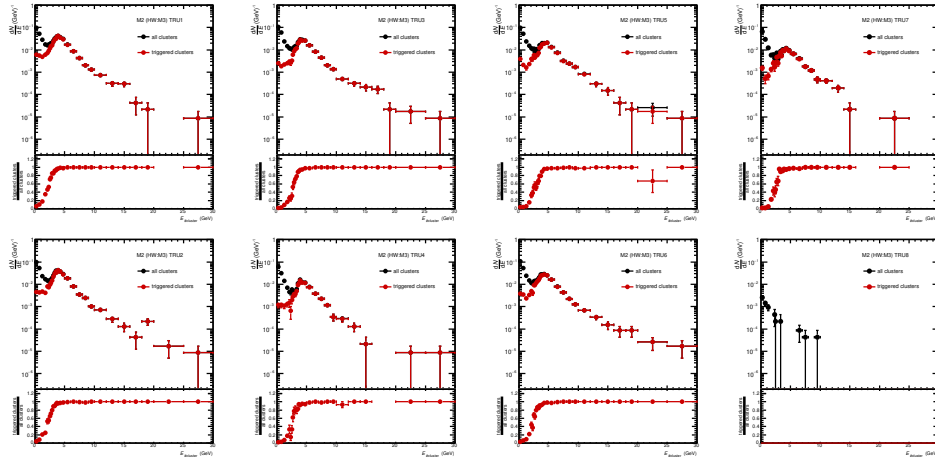
(b) Module 2.

Figure 43: Energy distribution of all clusters and triggered clusters and ratios on different modules for L1H in Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV

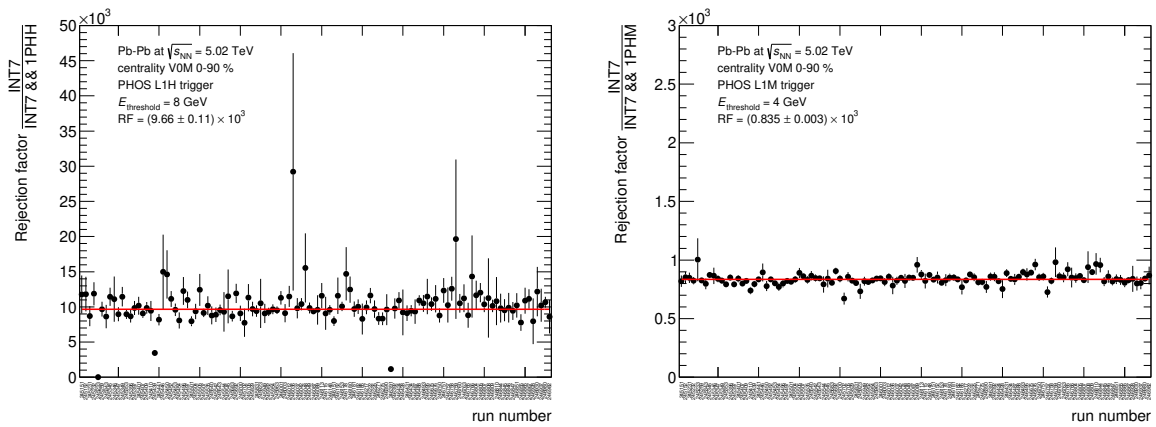




(a) Module 1.



(b) Module 2.

Figure 44: Energy distribution of all clusters and triggered clusters and ratios on different modules for L1M in Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV

(a) PHOS L1H trigger

(b) PHOS L1M trigger

Figure 45: The rejection factor of PHOS L1 trigger (run-by-run) in Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV

## 4 Analyses of neutral mesons

Procedure to measure production cross section of neutral mesons are described in this section. At first, an analysis strategy to give an overview of analyses is summarized in 4.1. Since photon identification is a key of this thesis, criteria for photon selection is in 4.2. The detailed explanation about analyses in pp and Pb–Pb are in section 4.3 and 4.4, respectively.

### 4.1 Analysis strategy

The PHOS detector is used to measure energies and positions of produced photons. The minimum-bias trigger is V0AND which requires at least 1 hit on each V0A and V0C. Neutral mesons ( $\pi^0$  and  $\eta$ ) are reconstructed by invariant mass method defined by Eq. 13, which is based on 4-momentum conservation between a particle and its decay products.

$$M_{\gamma\gamma} = \sqrt{2E_1E_2(1 - \cos\theta_{12})}, \quad (13)$$

where  $E_{1/2}$  is energy of photon1/2,  $\theta_{12}$  is opening angle between photon1 and photon2. The invariant mass reconstruction is performed over all possible combinations in each event. Raw yields of neutral mesons are obtained by counting histogram entries around 135 MeV/ $c^2$  for  $\pi^0$  and 547 MeV/ $c^2$  for  $\eta$  respectively. The background is subtracted by mixed-event technique (a first photon is taken from a current event and a second photon is from another event). 4-momentum of particles never conserves in this technique and this gives us only background. Same procedure is performed in M.C. simulation. Since generated particle is known in simulation, an acceptance  $\times$  reconstruction efficiency  $\varepsilon$  can be measured by :

$$\text{acc.} \times \text{rec. efficiency } \varepsilon = \frac{\text{Number of reconstructed particles on PHOS}}{\text{Number of generated particles in } |y| < 0.5 \text{ and } 2\pi \text{ in azimuth}} \quad (14)$$

Finally, a production cross section of particle is given by :

$$E \frac{d^3\sigma}{dp^3} = \frac{1}{2\pi} \times \frac{1}{p_T} \frac{dN}{dp_T} \times \frac{1}{\Delta y} \times \frac{1}{\varepsilon} \times \frac{1}{L_{\text{int}}}, \quad (15)$$

where  $\frac{dN}{dp_T}$  is transverse momentum-( $p_T$ -)differential raw yield of particle and  $L_{\text{int}} = \frac{N_{\text{ev}}}{\sigma_{\text{pp}}^{\text{V0AND}}}$  is an integrated luminosity. The cross section of V0AND trigger  $\sigma_{\text{pp}}^{\text{V0AND}} = 51.2 \pm 1.2$  mb and the total inelastic cross section  $\sigma_{\text{pp}}^{\text{INEL}} = 67.6 \pm 0.6$  mb [60] in pp collisions at  $\sqrt{s} = 5.02$  TeV. In case of rare-triggered data (e.g. high-energy photon trigger in PHOS), the particle yields have to be further normalized by a trigger rejection factor (RF).

$$\text{RF} = \frac{\text{MB}}{\text{MB \& rare-trigger input}} \quad (16)$$

$$L_{\text{int}} = \frac{N_{\text{ev}}}{\sigma_{\text{pp}}^{\text{V0AND}}} \times \text{RF} \quad (17)$$

Once neutral mesons yields are measured in both pp and Pb–Pb collisions, the nuclear modification factor  $R_{\text{AA}}$  for each particle is measured based on.4.

## 4.2 Photon identification

There are two types of photon identification cut to clusters measured by PHOS. They are Charged Particle Veto (CPV) and shower shape cut called dispersion cut.

### 4.2.1 CPV cut

This cut is to reject charged particles. As photon is neutral and can not be tracked, photon hits on PHOS should not match extrapolated tracks from ITS/TPC. Hence, if a distance in the  $x - z$  plane between a cluster and an extrapolated track is closer than a certain threshold, the cluster is rejected.

### 4.2.2 Dispersion cut

This cut is to select electro-magnetic clusters by an elliptic shape of the electro-magnetic shower evolution in  $\text{PbWO}_4$  crystals. It is characterized by eigenvalues in a cluster [58, 59] :

$$M02 \text{ (cm)} = \frac{1}{2} \left( \sigma_{xx}^2 + \sigma_{zz}^2 + \sqrt{(\sigma_{xx}^2 - \sigma_{zz}^2)^2 + 4\sigma_{xz}^4} \right) \text{ for long axis}$$

$$M20 \text{ (cm)} = \frac{1}{2} \left( \sigma_{xx}^2 + \sigma_{zz}^2 - \sqrt{(\sigma_{xx}^2 - \sigma_{zz}^2)^2 + 4\sigma_{xz}^4} \right) \text{ for short axis,}$$

where  $\sigma_{xz}^2 = \langle xz \rangle - \langle x \rangle \langle z \rangle$ ,  $\langle x \rangle = \frac{1}{w_{\text{total}}} \sum_i w_i x_i$  is the weighted average over all cells in a cluster. The weight  $w_i$  is given by  $w_i = \max(0, 4.5 + \ln(E_i/E))$ , where  $E_i$  is cell energy at  $i$  and  $w_{\text{total}} = \sum_i w_i$ . Clusters are required to pass a criterion based on correlation between  $M02$  and  $M20$  as a function of the energy. Especially for clusters at low energy, simple minimum and maximum thresholds to  $N_{\text{cell}}$  and  $M02$  as a function of their energy are imposed, instead of the dispersion cut.  $N_{\text{cell}}$  is the number of cells in a cluster (i.e. how many cells a cluster consists of). In order to save photon clusters at low energy, these criteria are loose for low energy clusters where the evolution of the electro-magnetic shower is poor.

### 965 4.3 Analyses in pp collisions at $\sqrt{s} = 5.02$ TeV

966 Details of analyses in pp collisions are described here. First, neutral meson reconstruction via  
 967 two photons were performed. Second, M.C. tuning to reproduce realistic peak parameters and  
 968 determine efficiency. Then, various cut efficiencies (cluster timing, triggering, feed down from  
 969 strange hadrons) have been evaluated.

#### 970 4.3.1 Raw yield extraction

971  $\pi^0$  and  $\eta$  mesons are reconstructed via their two photons decay with invariant mass method.  
 972 The neutral meson peaks are fitted by Gaussian function and integrated over the mean value  
 973  $\pm 3\sigma$ . Backgrounds are estimated by mixed event technique. Varying fitting ranges, functions  
 974 and integral ranges are included in systematic uncertainties.

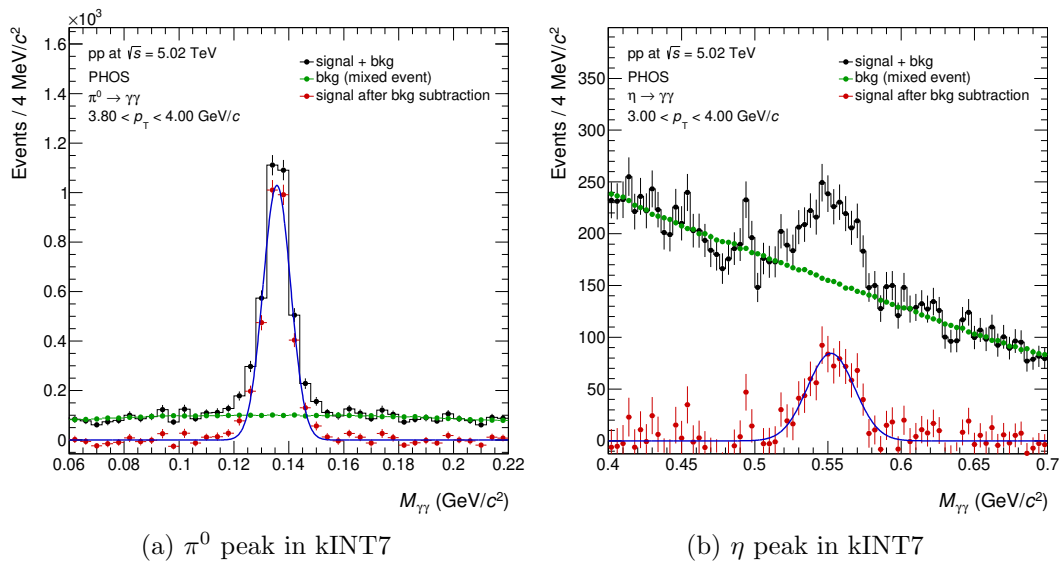


Figure 46: Invariant mass distributions in pp collisions at  $\sqrt{s} = 5.02$  TeV (INT7)

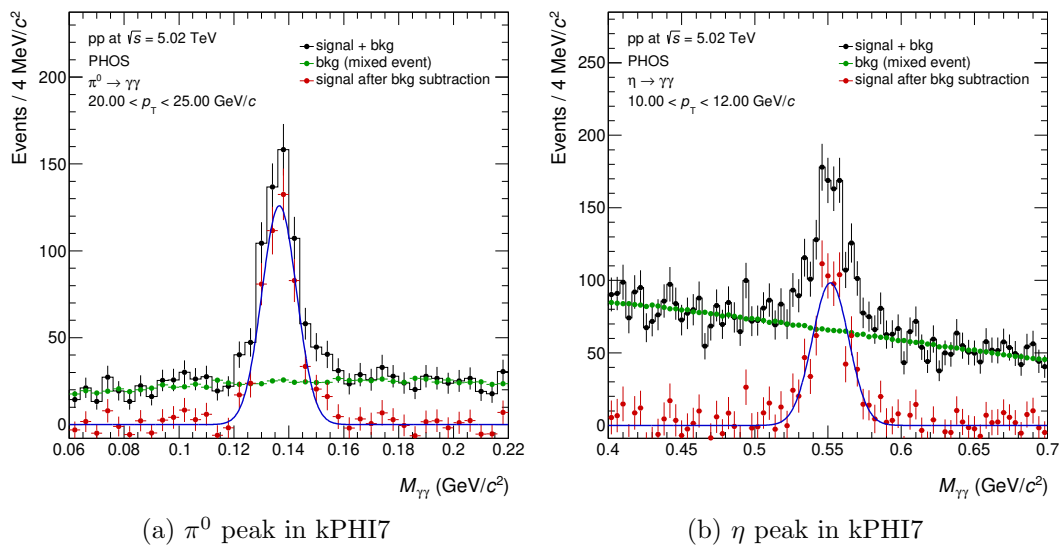


Figure 47: Invariant mass distributions in pp collisions at  $\sqrt{s} = 5.02$  TeV (PHI7)

975 Figure 46, 47 are invariant mass distributions for MB and L0 PHOS triggered events respectively.  
 976 Neutral meson signal are clearly seen. The number of neutral meson signals is obtained by bin-  
 977 counting on the invariant mass distribution at each  $p_T$  bin.

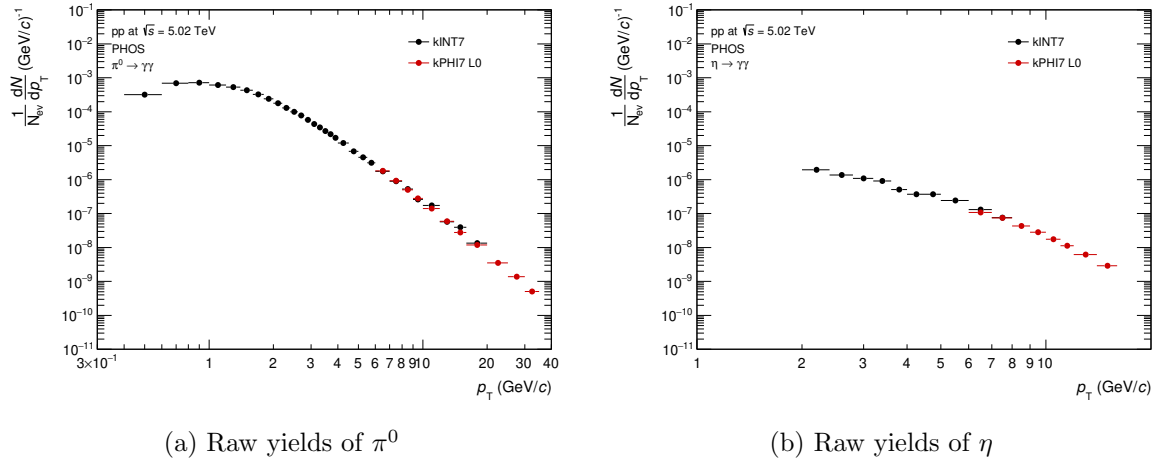


Figure 48: Raw yields of neutral mesons in pp collisions at  $\sqrt{s} = 5.02$  TeV

978 Raw yields are plotted on Figure 48. No PID cut was applied in  $\pi^0$  signal extraction in pp,  
 979 while an energy asymmetry cut ( $\alpha = \frac{|E_1 - E_2|}{E_1 + E_2} < 0.7$ ) and CoreDisp  $2.5\sigma$  only in INT7 events  
 980 were applied for the  $\eta$  meson measurement. As  $\eta$  has heavier mass ( $547 \text{ MeV}/c^2$ ) than  $\pi^0$  mass  
 981 ( $135 \text{ MeV}/c^2$ ), the tighter cut is helpful to extract its signal.

#### 982 4.3.2 Acceptance $\times$ reconstruction efficiency

983 The efficiency is obtained by M.C. simulation. First, M.C. simulation has to reproduce realistic  
 984 peak position and width of neutral mesons by tuning energy measurement in M.C.. Figure 49,  
 985 50 show good agreement of peak parameters by Gaussian fitting to  $\pi^0$  and  $\eta$  meson between  
 986 data and M.C..

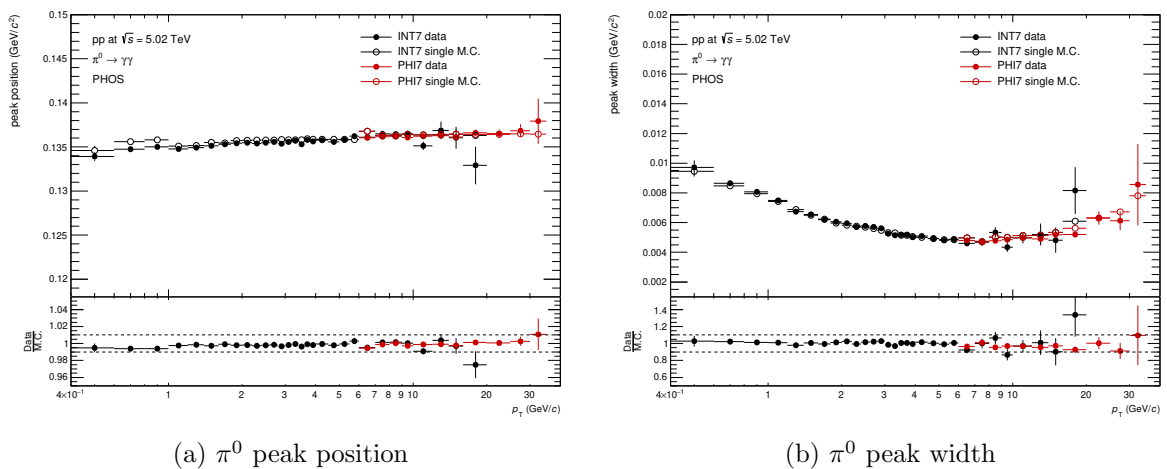
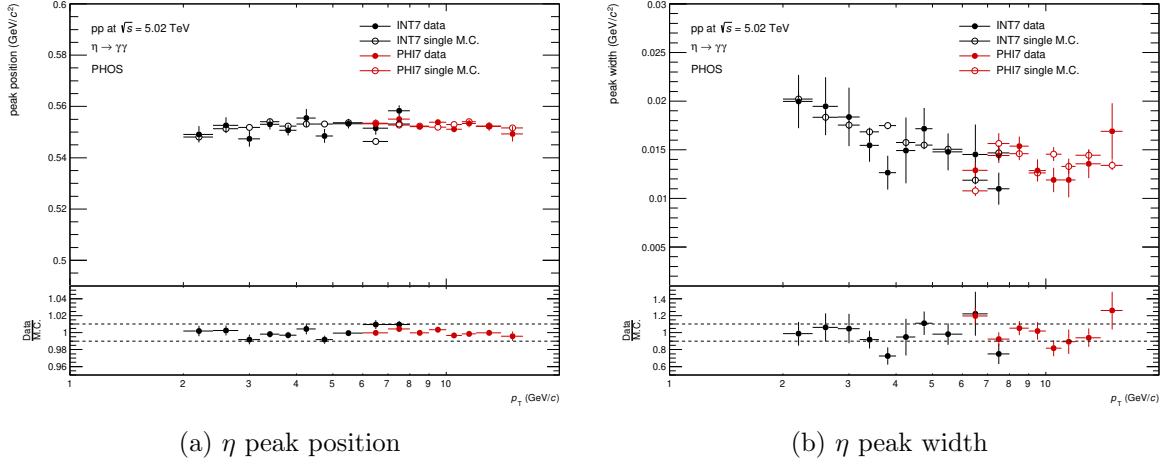
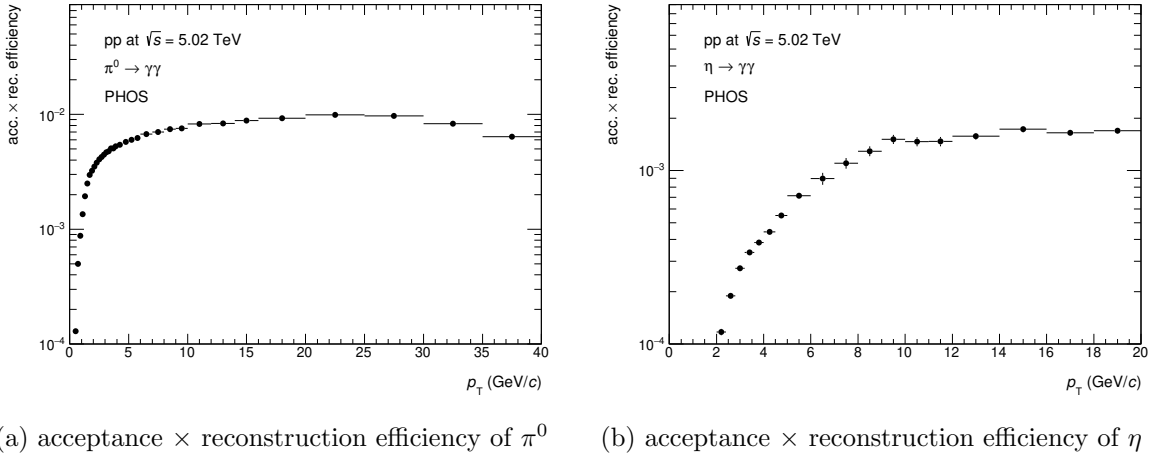


Figure 49:  $\pi^0$  peak parameters in pp collisions at  $\sqrt{s} = 5.02$  TeV

Figure 50:  $\eta$  peak parameters in pp collisions at  $\sqrt{s} = 5.02$  TeV

987 Once properties of neutral meson peak are reproduced by M.C., acceptance  $\times$  reconstruction  
 988 efficiency has been measured based on Eq. 14.

Figure 51: acceptance  $\times$  reconstruction efficiency of neutral mesons in pp collisions at  $\sqrt{s} = 5.02$  TeV with PHOS

### 989 4.3.3 Timing cut

990 The bunch space of each proton beam bunch was 25 ns during LHC-Run2 operation. Timing  
 991 cut ( $|\text{TOF}_{\text{cluster}}| < 12.5$  ns) was applied at cluster level to reject clusters from other BCs. The  
 992 timing of a cluster is defined as the timing of a leading cell which has the highest amplitude in  
 993 APDs. TOF cut efficiency ( $\varepsilon_{\text{TOF}}$ ) is defined by :

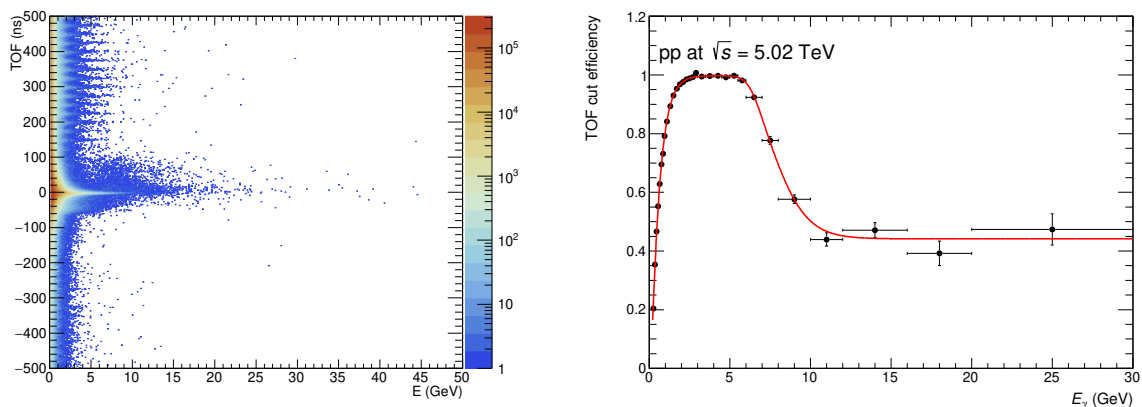
$$\varepsilon_{\text{TOF}} = \frac{N_{\text{TOF } \gamma}^{\text{triggered BC}}}{N_{\text{all } \gamma}^{\text{triggered BC}}}, \quad (18)$$

994 where  $N_{\text{TOF } \gamma}^{\text{triggered BC}}$  is the number of photons after TOF cut in the triggered BC and  $N_{\text{all } \gamma}^{\text{triggered BC}}$   
 995 is the number of all photons in the triggered BC respectively. The efficiency is measured by  
 996 data driven, called tag-and-probe method. This technique is widely applicable for any kinds  
 997 of efficiency, e.g. trigger efficiency, PID cut efficiency and so on. The first photon is required

998 to pass the timing cut (tagged photon) and reconstructing invariant mass with two photons in  
 999 same events. If the reconstructed invariant mass is in the  $\pi^0$  ( $\eta$ ) meson signal window, typically  
 1000  $0.12 < M_{\gamma\gamma} < 0.15$  GeV/ $c^2$  ( $0.5 < M_{\gamma\gamma} < 0.6$  GeV/ $c^2$ ), the second photon is called probe  
 1001 photon. Then, the efficiency can be measured with probe photons by :

$$\varepsilon = \frac{\text{The number of probe photons which pass criteria}}{\text{The number of all probe photons}} \quad (19)$$

1002 The drop of TOF efficiency in Figure 52b at  $E_{\text{cluster}} > 6$  GeV is due to switching high gain  
 1003 (HG) to low gain (LG) channels in the PHOS readout electronics. Timing resolution is worse  
 1004 in LG, as LG channels have lower gain. Then, the number of photons is corrected by  $\varepsilon_{\text{TOF}}$  as a  
 1005 function of photon energy. Since  $\varepsilon_{\text{TOF}}$  is measured as a function of photon energy,  $\frac{1}{\varepsilon_{\text{TOF}} \times \varepsilon_{\text{TOF}}^2}$  is  
 necessary at neutral mesons level which is reconstructed from two photons.

(a) TOF vs.  $E_{\text{cluster}}$ .

(b) TOF cut efficiency as a function of photon energy.

Figure 52: The cluster timing distribution and TOF cut efficiency

1006

#### 1007 4.3.4 Trigger efficiency

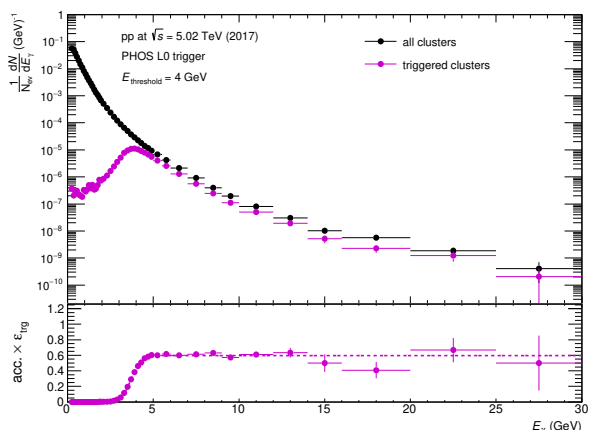
1008 The PHOS trigger allows us to measure high  
 1009 energy photons/electrons efficiently in AL-  
 1010 ICE. The energy threshold of the PHOS L0  
 1011 trigger in pp collisions at  $\sqrt{s} = 5.02$  TeV  
 1012 (LHC17pq) period was set to 4 GeV in sum of  
 1013  $4 \times 4$  analogue signal (FastOR). The rejection  
 1014 factor is defined by :

$$\text{RF} = \frac{\text{MB}}{\text{MB \& 0PH0 and matched with cluster}}, \quad (20)$$

1015 as shown by The PHOS trigger efficiency is  
 1016 measured in MB events by means of :

$$\varepsilon_{\text{trg}} = \frac{\text{Number of triggered clusters in kINT7}}{\text{Number of all clusters in kINT7}} \quad (21)$$

1017 Charged particle veto and dispersion cut were applied for both nominator and denominator to  
 1018 get high photon purity. The trigger efficiency in pp collisions at  $\sqrt{s} = 5.02$  TeV (LHC17pq)

Figure 53: PHOS L0 trigger efficiency in pp collisions at  $\sqrt{s} = 5.02$  TeV

1019 reaches 0.6 above the energy threshold. For the neutral meson reconstruction, at least one  
 1020 triggered cluster (logical-OR) is required in this analysis. The trigger efficiency for  $\pi^0$  and  $\eta$  is  
 1021  $\varepsilon_{\text{trg}}^{\text{OR}} = \varepsilon_{\text{trg}}^1 + \varepsilon_{\text{trg}}^2 - \varepsilon_{\text{trg}}^1 \times \varepsilon_{\text{trg}}^2$ .

#### 1022 4.3.5 Feed down correction from strange hadrons

1023  $\pi^0$  from strange hadrons decays such as  $K_S^0 \rightarrow$   
 1024  $\pi^0\pi^0$  (BR = 30.69%,  $c\tau = 2.7$  cm) and  $\Lambda \rightarrow$   
 1025  $n\pi^0$  (BR = 35.8 %,  $c\tau = 7.9$  cm (negligible))  
 1026 contribute the total number of  $\pi^0$ , while  $\pi^0$   
 1027 from primary interaction is focused on. Hence,  
 1028 they have to be subtracted from the total  
 1029 number of  $\pi^0$ . For this study, M.C. simula-  
 1030 tion with PYTHIA8 event generator was used  
 1031 to estimate this contribution. However, it  
 1032 is known that PYTHIA event generator does  
 1033 not reproduce realistic  $K^\pm/\pi^\pm$  ratio. There-  
 1034 fore, re-weighting to  $K_S^0$  spectrum is neces-  
 1035 sary. Since  $K^\pm/\pi^\pm$  ratio in pp collisions at  
 1036  $\sqrt{s} = 5.02$  TeV has not been published as of  
 1037 January 31 2019,  $K^\pm/\pi^\pm$  ratio in pp collisions  
 1038 at  $\sqrt{s} = 2.76$  TeV [61, 62] are taken as a reference.  $K^\pm/\pi^\pm$  ratio does not depend on collision  
 1039 energy at  $\sim$ TeV energy region [61, 63]. The feed down factor is defined as :

$$\text{FD} = \frac{\text{Number of reconstructed } \pi^0 \text{ from } K_S^0}{\text{Number of all reconstructed } \pi^0} \quad (22)$$

1040 Figure 55 shows  $K^\pm/\pi^\pm$  ratio before and after the re-weighting procedure. The FD factor is  
 1041 plotted on Figure 54, which is about 6% at the maximum and decreases with  $p_T$ .

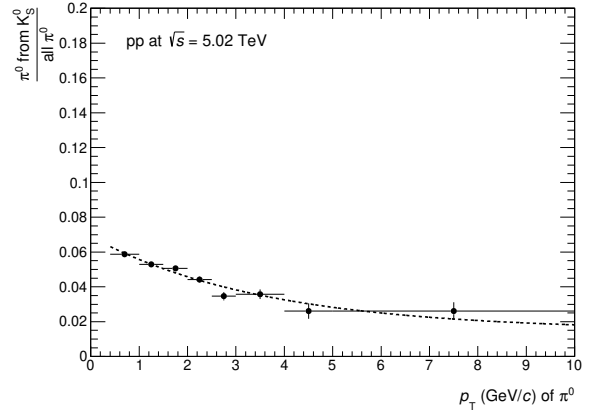
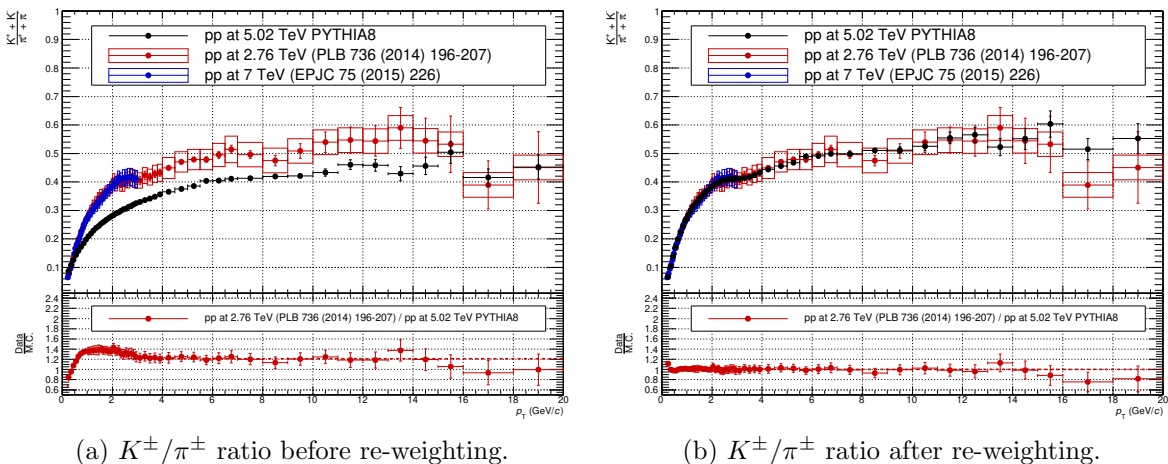


Figure 54: Feed down factor for  $\pi^0$  from  $K_S^0$  in pp collisions at  $\sqrt{s} = 5.02$  TeV



(a)  $K^\pm/\pi^\pm$  ratio before re-weighting.

(b)  $K^\pm/\pi^\pm$  ratio after re-weighting.

Figure 55:  $K^\pm/\pi^\pm$  ratio in PYTHIA8

1041



#### 1042 4.4 Analyses in Pb–Pb collisions at $\sqrt{s_{\text{NN}}} = 5.02$ TeV

1043 Details of analyses in Pb–Pb collisions are described in this section. They are generally the  
 1044 same as in pp collisions. In addition to analyses in pp, events are classified by multiplicity  
 1045 on the VZERO detector called “centrality class”. The centrality at 0 % indicates the highest  
 1046 multiplicity class and the higher value of centrality, the lower multiplicity class. There were  
 1047 two active L1 PHOS triggers in Pb–Pb collisions recorded in 2015. One is CINT7PHH, high  
 1048 energy threshold at 8 GeV for all centrality classes. The other is CPER7PHM, medium energy  
 1049 threshold at 4 GeV for peripheral collisions (centrality > 60%). As shown by Figure 56, the  
 1050 centrality distribution in Minimum-Bias events (CINT7) is well calibrated and flat. However,  
 1051 they are biased in PHOS triggered data. It is understood that the probability to detect a  
 1052 high energy photon under the high multiplicity environment is higher than that in peripheral  
 1053 collisions, because the number of produced photons is also large in central collisions. Trigger  
 rejection factors for L1H and L1M are biased, too.

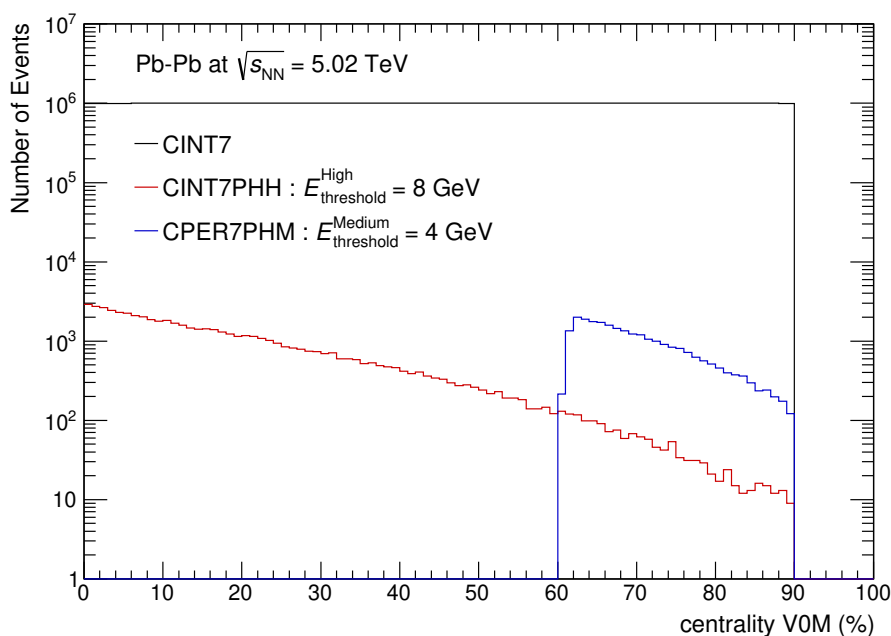
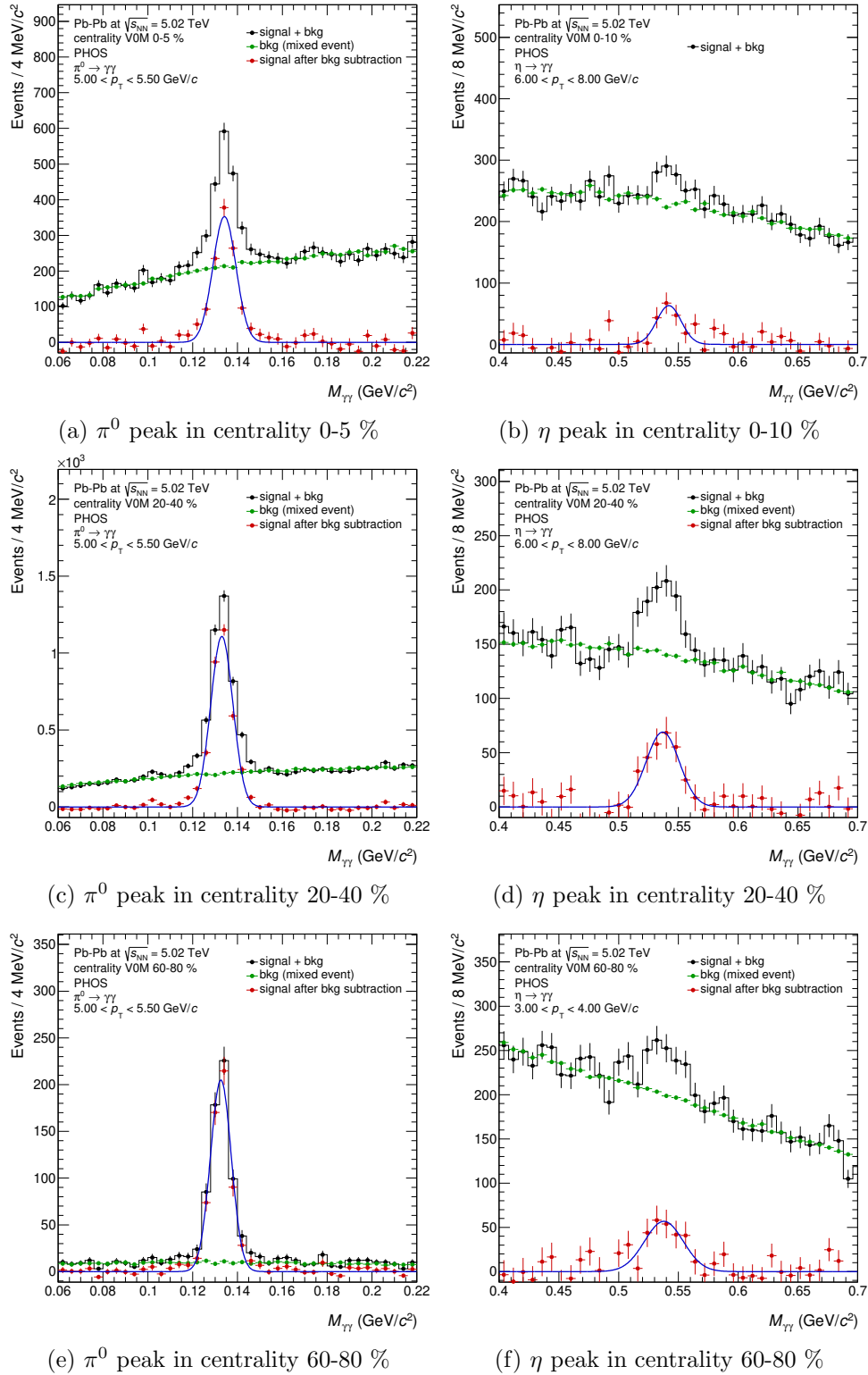


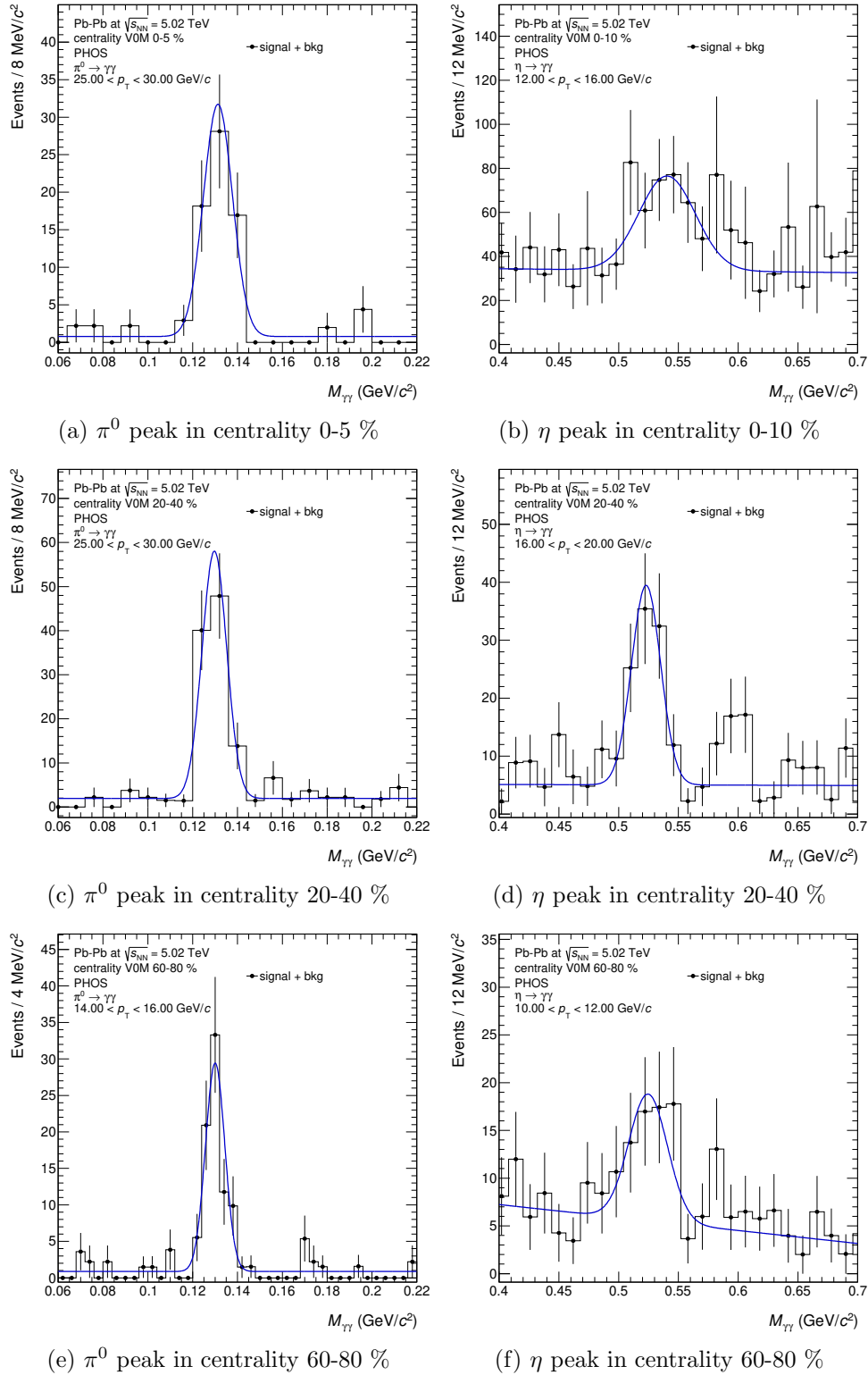
Figure 56: Centrality V0M distributions in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV (2015)

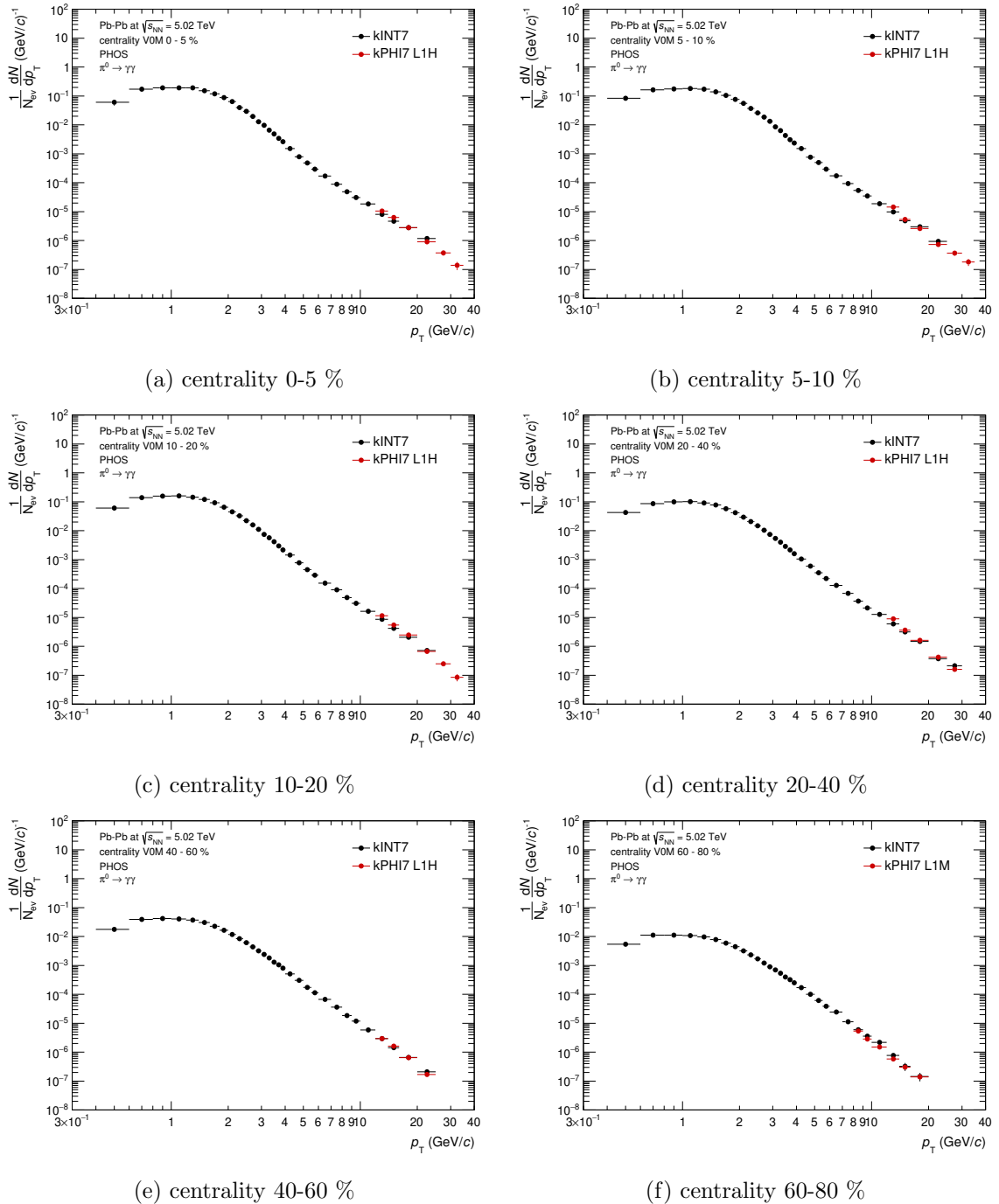
1054

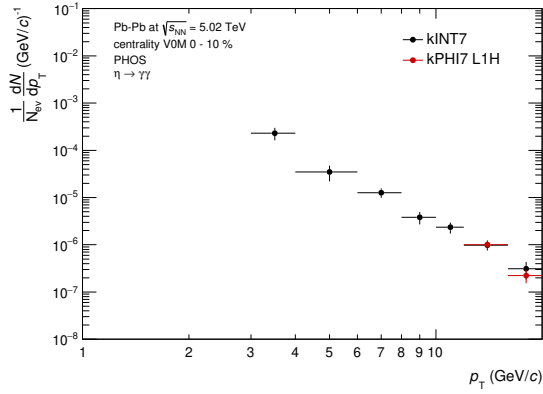
##### 1055 4.4.1 Raw yield extraction

1056 Figure 57, 58 are invariant mass distributions for MB and L1 PHOS triggered events respectively.  
 1057 Neutral meson signal are clearly seen in all centrality classes. The number of neutral meson  
 1058 signals is obtained by bin-counting on the invariant mass distribution at each  $p_{\text{T}}$  bin. Raw yields  
 1059 are plotted on Figure 59, 60 in different centrality classes. Both CPV and core-dispersion cuts  
 1060 were applied to clusters in Pb–Pb collisions. Furthermore, energy asymmetry  $\alpha = \frac{|E_1 - E_2|}{E_1 + E_2} < 0.8$   
 1061 for  $\pi^0$  and  $\alpha < 0.7$  for  $\eta$  mesons were also applied.

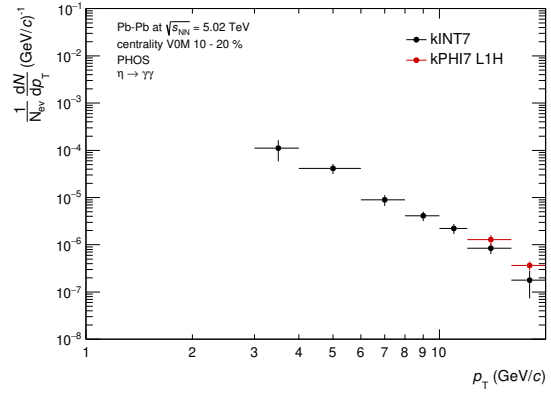
Figure 57: Invariant mass distributions in Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV (INT7)

Figure 58: Invariant mass distributions in Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV (PHI7)

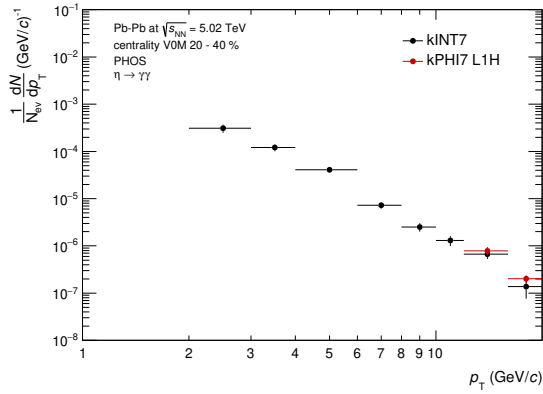
Figure 59: Raw yields of  $\pi^0$  in Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV



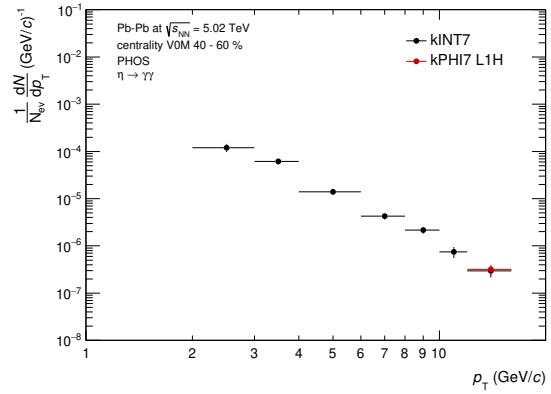
(a) centrality 0-10 %



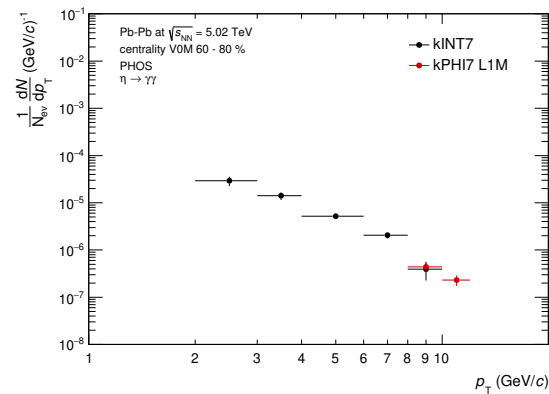
(b) centrality 10-20 %



(c) centrality 20-40 %



(d) centrality 40-60 %



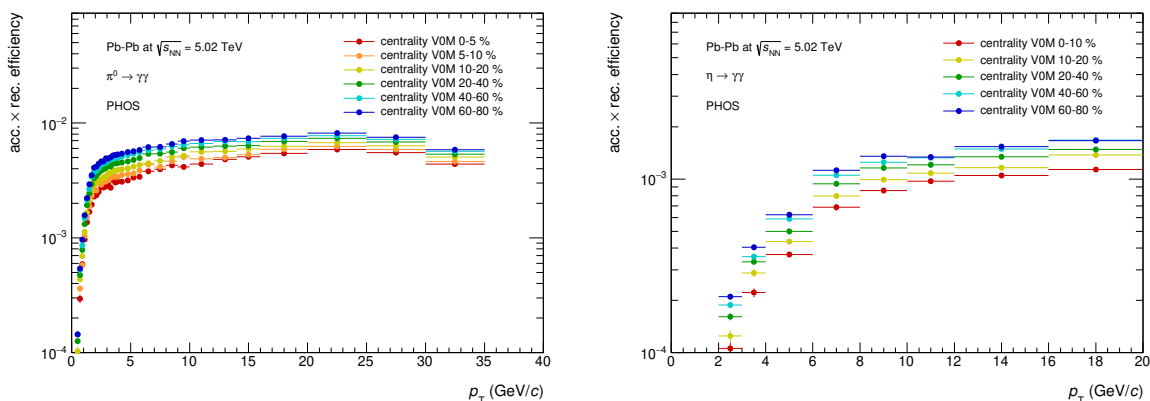
(e) centrality 60-80 %

Figure 60: Raw yields of  $\eta$  in Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV

1062 **4.4.2 Acceptance  $\times$  reconstruction efficiency**

1063 Due to the extremely high charged particle multiplicity  $dN_{\text{ch}}/d\eta \approx O(10^3)$  [64, 65] in central  
 1064 Pb–Pb collisions, the reconstruction efficiency for photons and neutral mesons is influenced and  
 1065 centrality-dependent. In order to take high multiplicity environment into account, the efficiency  
 1066 in Pb–Pb collisions is obtained by using embedding technique. The main idea of embedding  
 1067 technique is to merge real data as underlying events (UE) with events from single particle  
 1068 simulation ( $\pi^0$ ,  $\eta$  and  $\gamma$ ) and to reconstruct data again. This allows us to study how clusters are  
 1069 modified under the realistic high multiplicity environment. The general procedure is following :

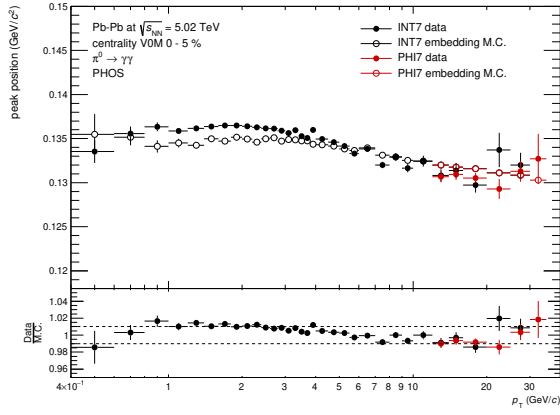
- 1070 1. embed 1 simulated particle per 1 underlying event.
- 1071 2. cell information in both UE and simulation are inversely calibrated to ADC values from cell  
 1072 energy. At this step, global energy scale and non-linear response of energy measurement  
 1073 in simulation is also inversely applied.
- 1074 3. merge all cells at ADC level.
- 1075 4. clusterize merged cells by the same clustering algorithm.



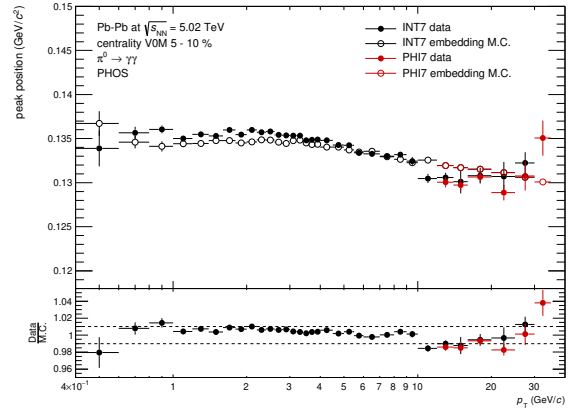
(a) acceptance  $\times$  reconstruction efficiency of  $\pi^0$       (b) acceptance  $\times$  reconstruction efficiency of  $\eta$

Figure 61: acceptance  $\times$  reconstruction efficiency of neutral mesons in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV with PHOS

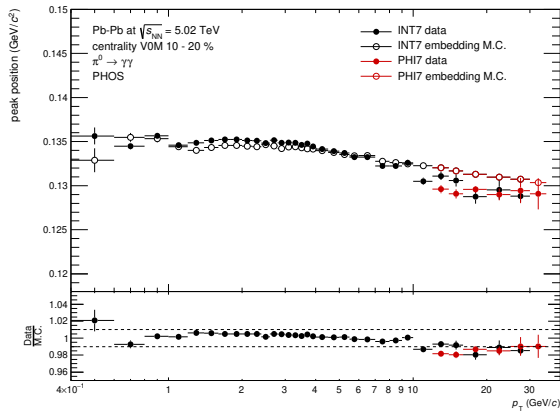
1076 As well as analyses in pp, M.C. simulation has to reproduce realistic peak position and width  
 1077 of neutral mesons. To avoid overlapping effect under high multiplicity environment,  $\pi^0$  peak  
 1078 parameters were tuned in peripheral collisions. Figure 62, 63, 64, 65 are the comparison of  
 1079 peak parameters for  $\pi^0$  and  $\eta$  between data and embedding M.C.. Peak parameters are in good  
 1080 agreement in peripheral collisions, while 1% of discrepancy in peak position is found in central  
 1081 collisions. The global energy scale and the non-linearity response of energy measurement in M.C.  
 1082 are fully detector response and should not depend on event multiplicity. Therefore,  $\Delta E/E \approx 0.01$   
 1083 in central collisions is attributed to an additional systematic uncertainty of the global energy  
 1084 scale.



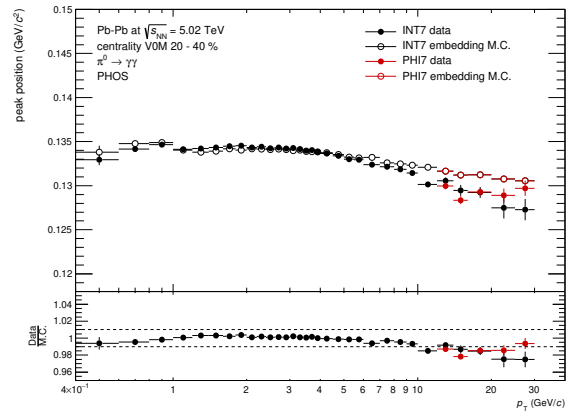
(a) centrality 0-5 %



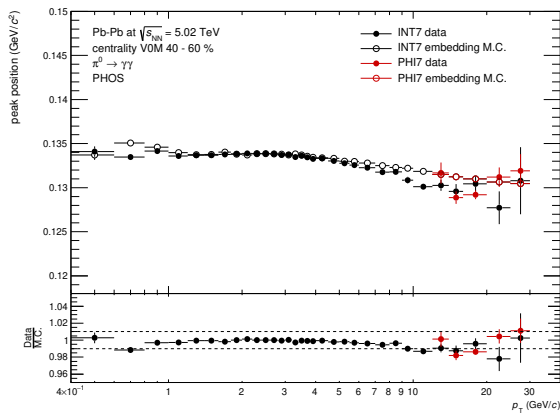
(b) centrality 5-10 %



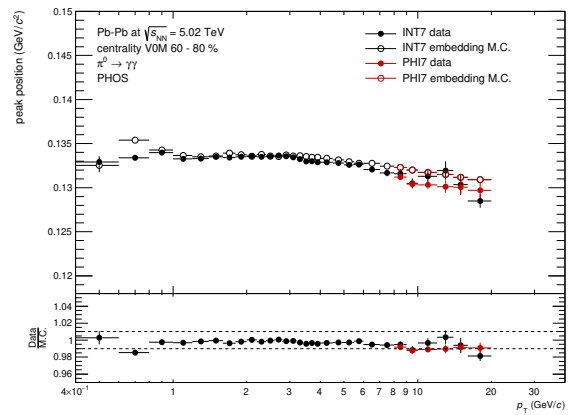
(c) centrality 10-20 %



(d) centrality 20-40 %

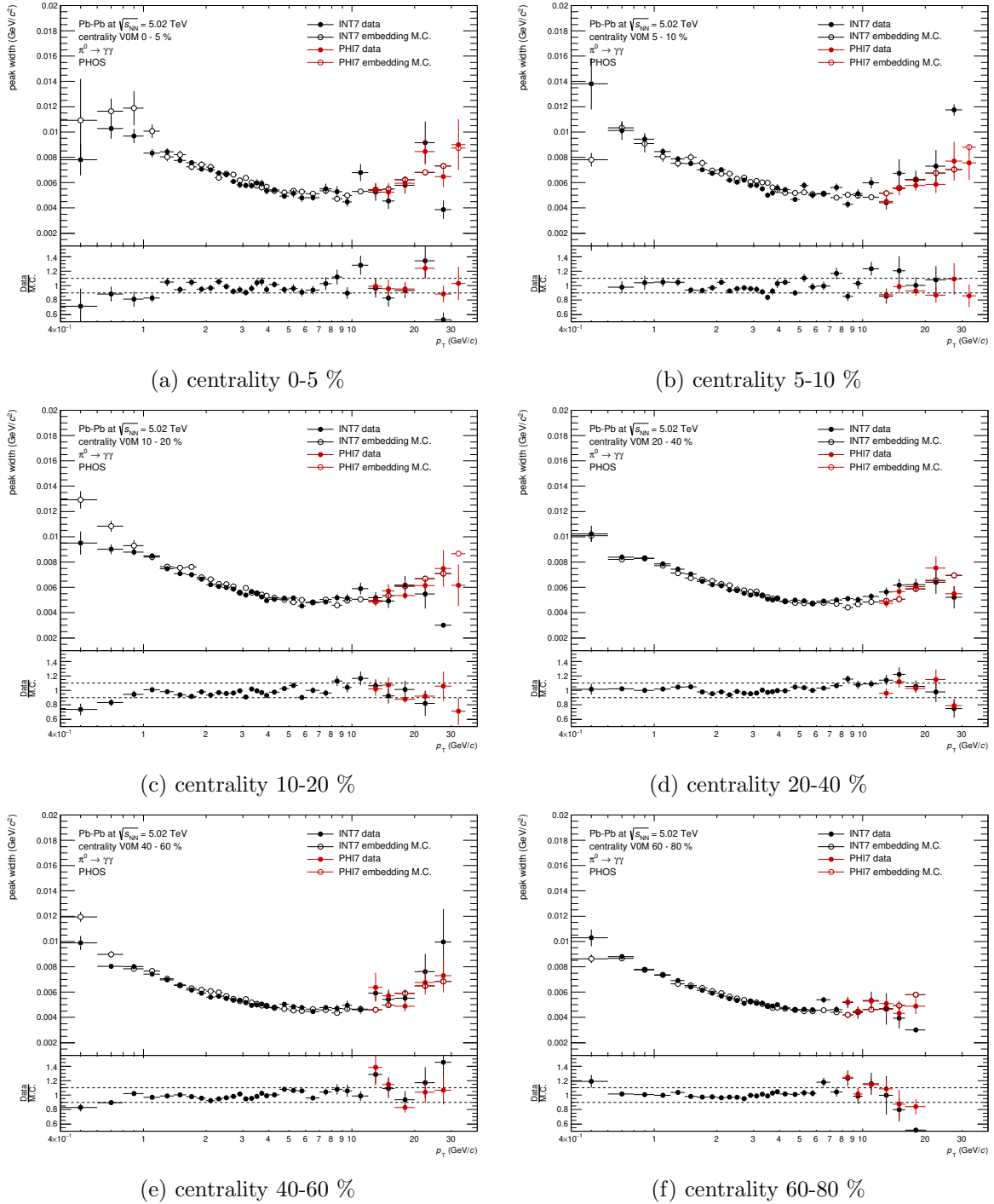


(e) centrality 40-60 %



(f) centrality 60-80 %

Figure 62:  $\pi^0$  peak position in Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for different centrality classes

Figure 63:  $\pi^0$  peak width in Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for different centrality classes



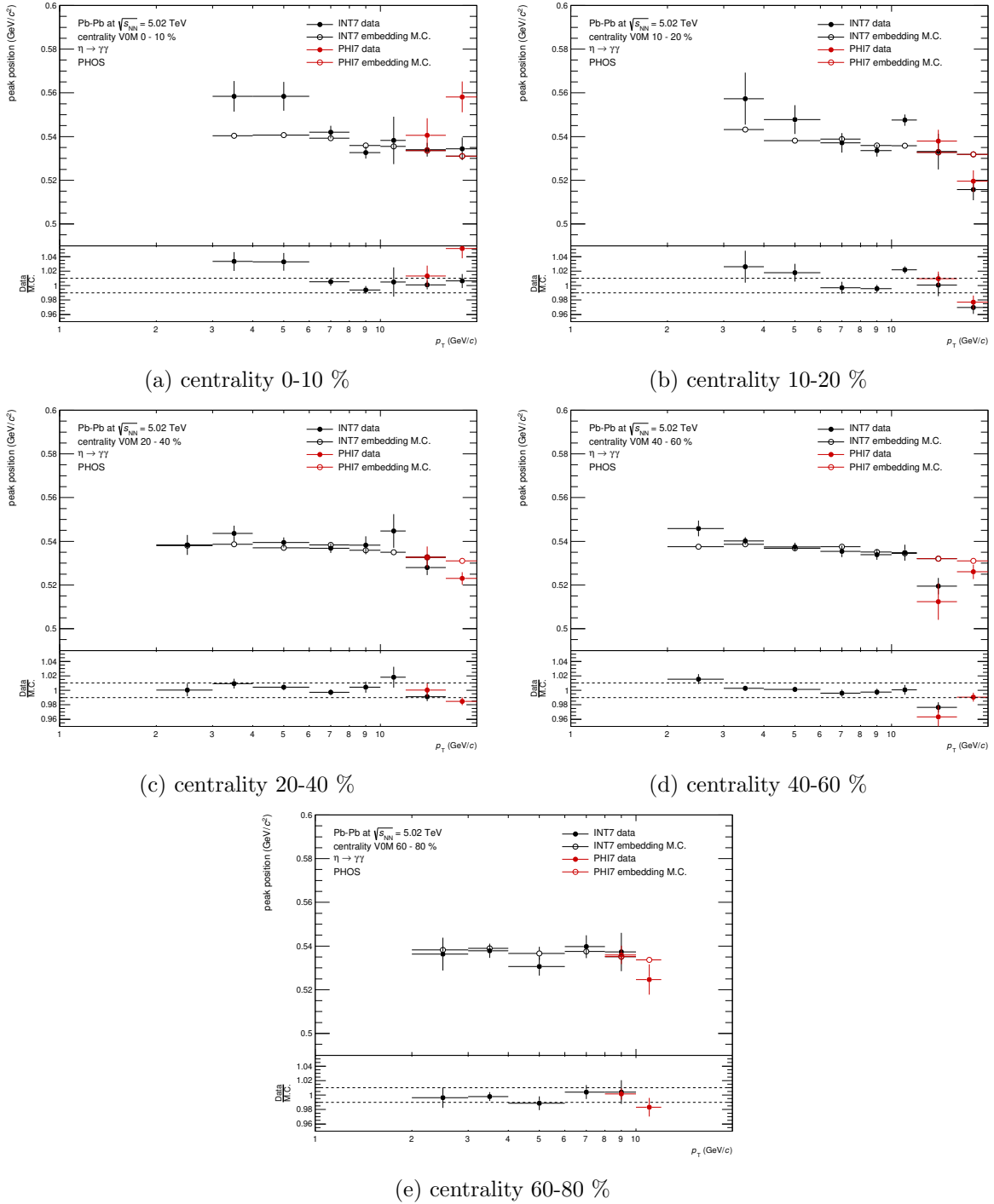
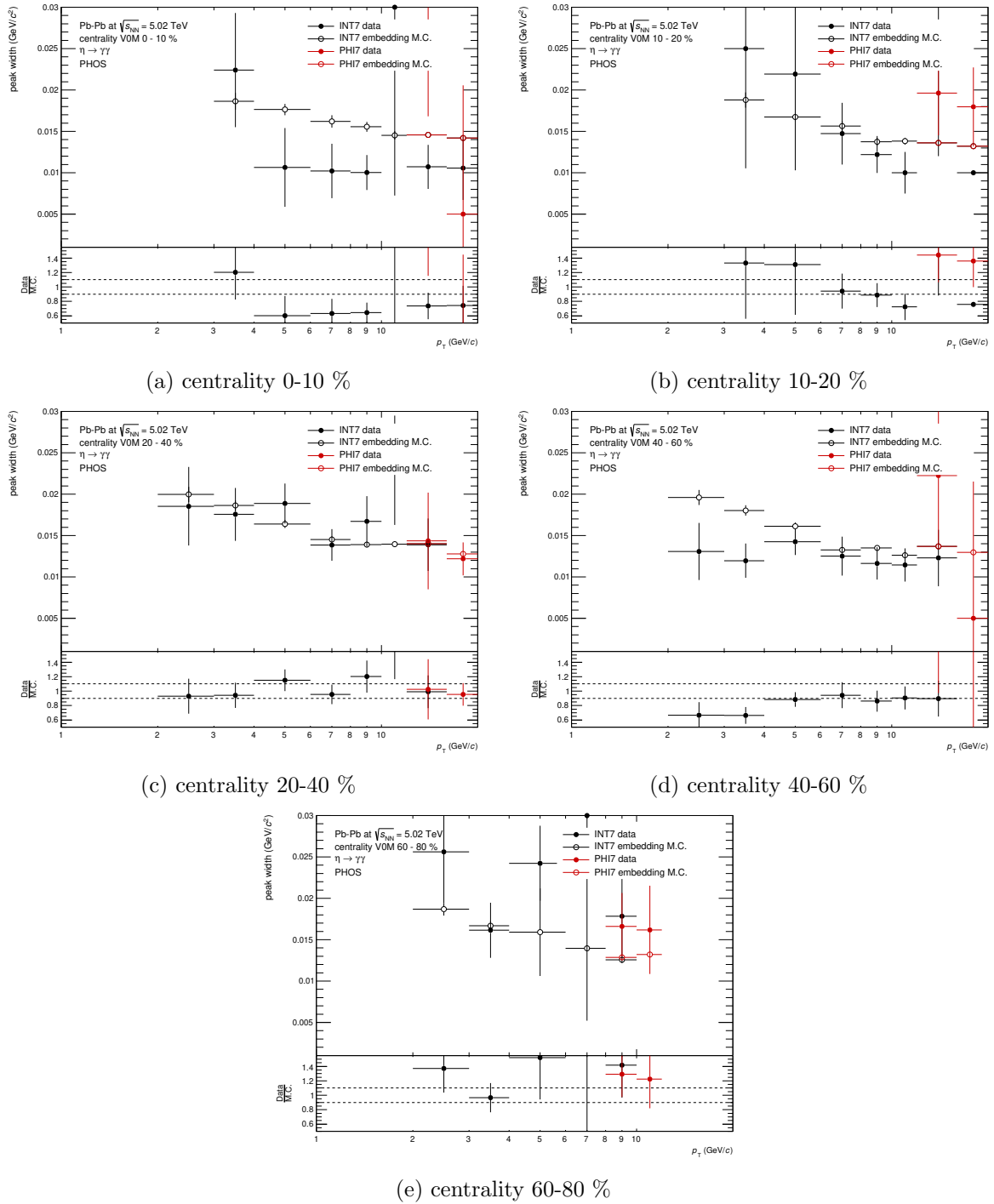


Figure 64:  $\eta$  peak position in Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for different centrality classes

Figure 65:  $\eta$  peak width in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV for different centrality classes

1085 **4.4.3 Timing cut**

1086 The general procedure is the same as in pp, but the bunch space was 100/150/175/225 ns in  
 1087 Pb–Pb collisions (2015). So, the timing cut for clusters is  $|\text{TOF}| < 50$  ns. This wide time window  
 1088 leads higher TOF cut efficiency than one in pp. The drop of TOF efficiency in Figure 66b at  
 1089  $E_{\text{cluster}} > 6$  GeV is due to switching high gain (HG) to low gain (LG) channels in the PHOS  
 readout electronics.

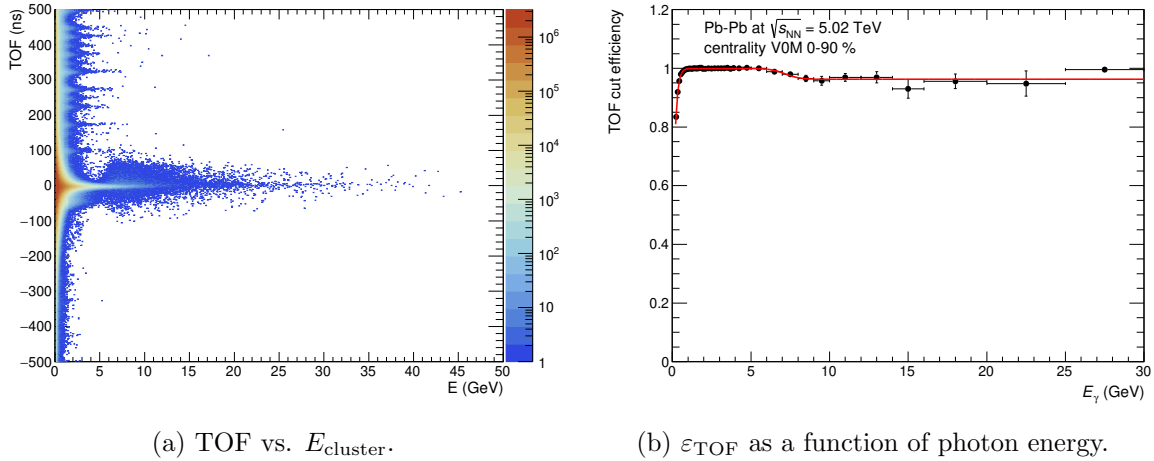
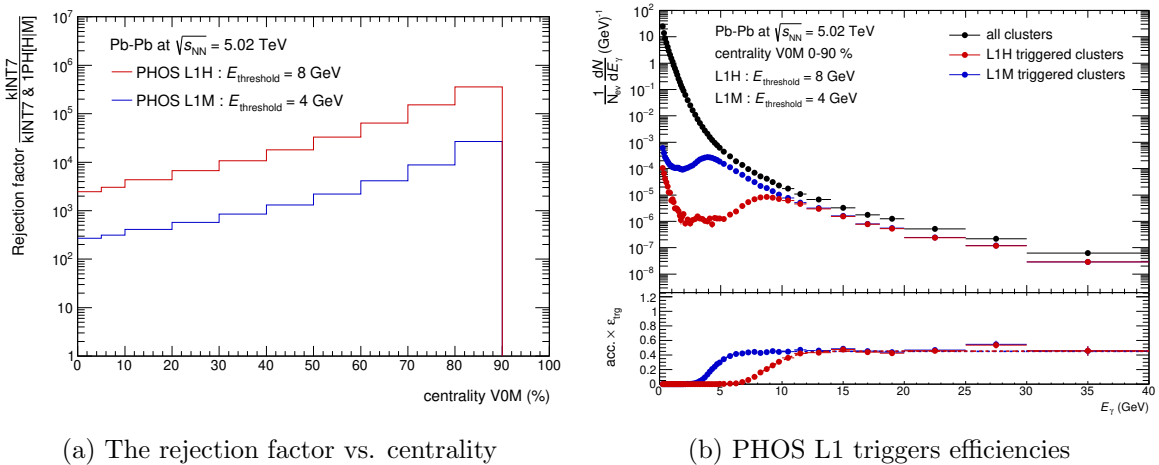


Figure 66: Timing distribution of clusters and TOF cut efficiency

1090

1091 **4.4.4 Trigger efficiency**

1092 There were two active L1 PHOS triggers in Pb–Pb collisions recorded in 2015. One is CINT7PHH,  
 1093 high energy threshold at 8 GeV for all centrality classes. The other is CPER7PHM, medium  
 1094 energy threshold at 4 GeV for peripheral collisions (centrality  $> 60\%$ ). As the rejection factor  
 1095 strongly depends on centrality (Figure 67a), this bias was also taken into account for the event  
 1096 normalization. The trigger efficiency has a plateau region at 0.45 above the threshold shown  
 1097 by Figure 67b. The rejection factor and trigger efficiency are plotted for centrality 0-90 %,  
 1098 because they have been measured in MB events. This method is available, since all fired triggers  
 information is stored even in MB events.



(a) The rejection factor vs. centrality

(b) PHOS L1 triggers efficiencies

Figure 67: PHOS L1 triggers performance in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV

1099

#### 1100 4.4.5 Feed down correction from strange hadrons

1101 HIJING event generator was used to estimate feed down in Pb–Pb collisions. The re-  
 1102 weighting to  $K_S^0$  spectrum is necessary, because it is also known that HIJING does not  
 1103 reproduce realistic  $K^\pm/\pi^\pm$  ratio.  $K^\pm/\pi^\pm$  ratio in Pb–Pb collisions at  $\sqrt{s} = 2.76$  TeV [61]  
 1104 are taken as a reference. Figure 69, 70 show  $K^\pm/\pi^\pm$  ratio before and after the re-weighting  
 1105 procedure. The FD factor in different centrality classes is plotted on Figure 68. It is  
 1106 about 11% at the maximum in central (0–5%) collisions and becomes smaller in peripheral  
 1107 (60–80%) collisions.

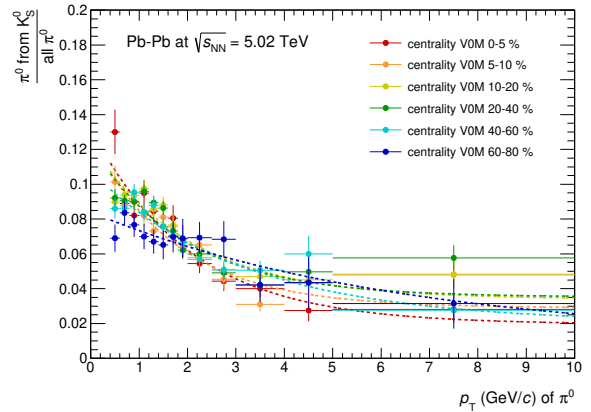


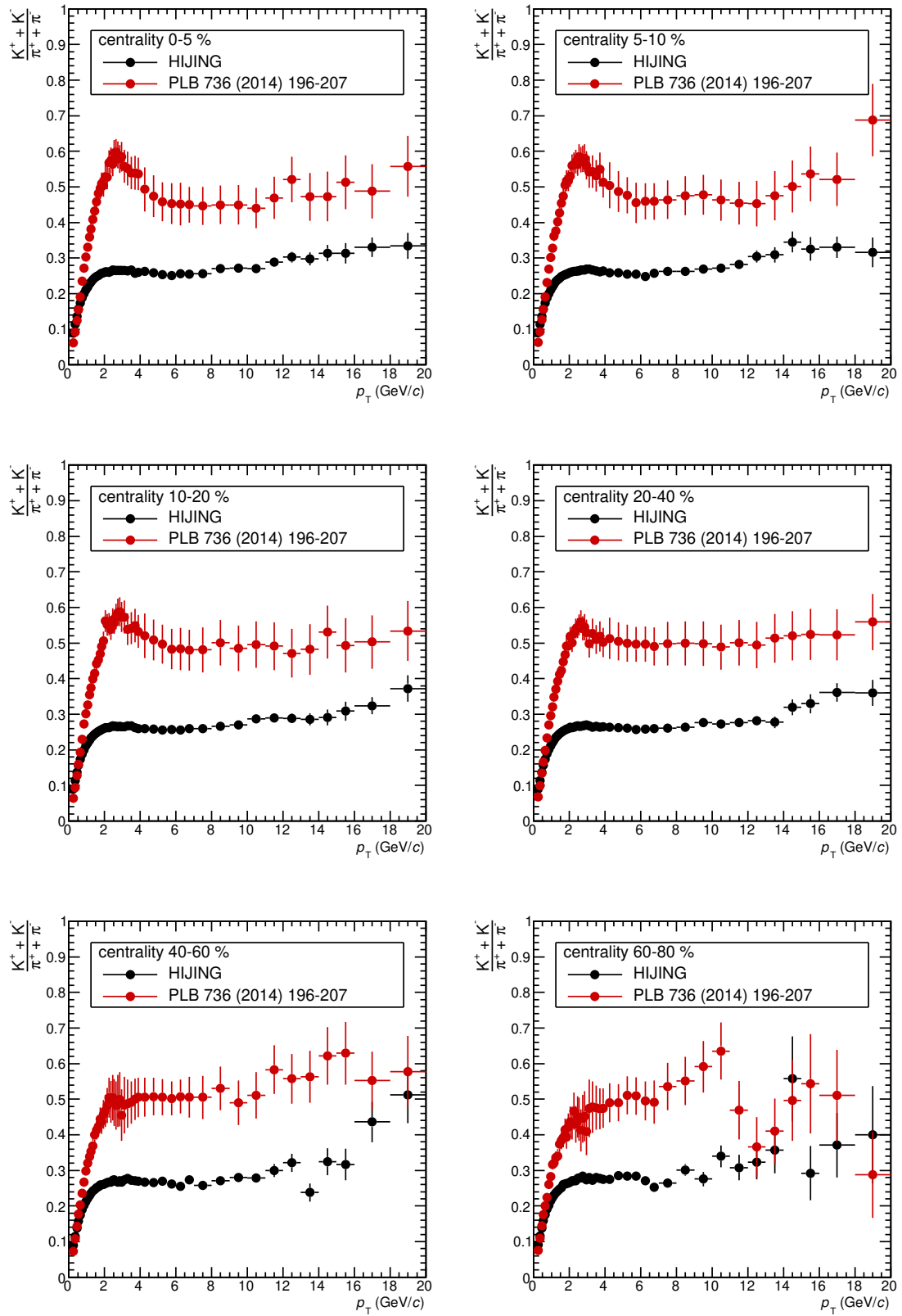
Figure 68: Feed down factor for  $\pi^0$  from  $K_S^0$  in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV

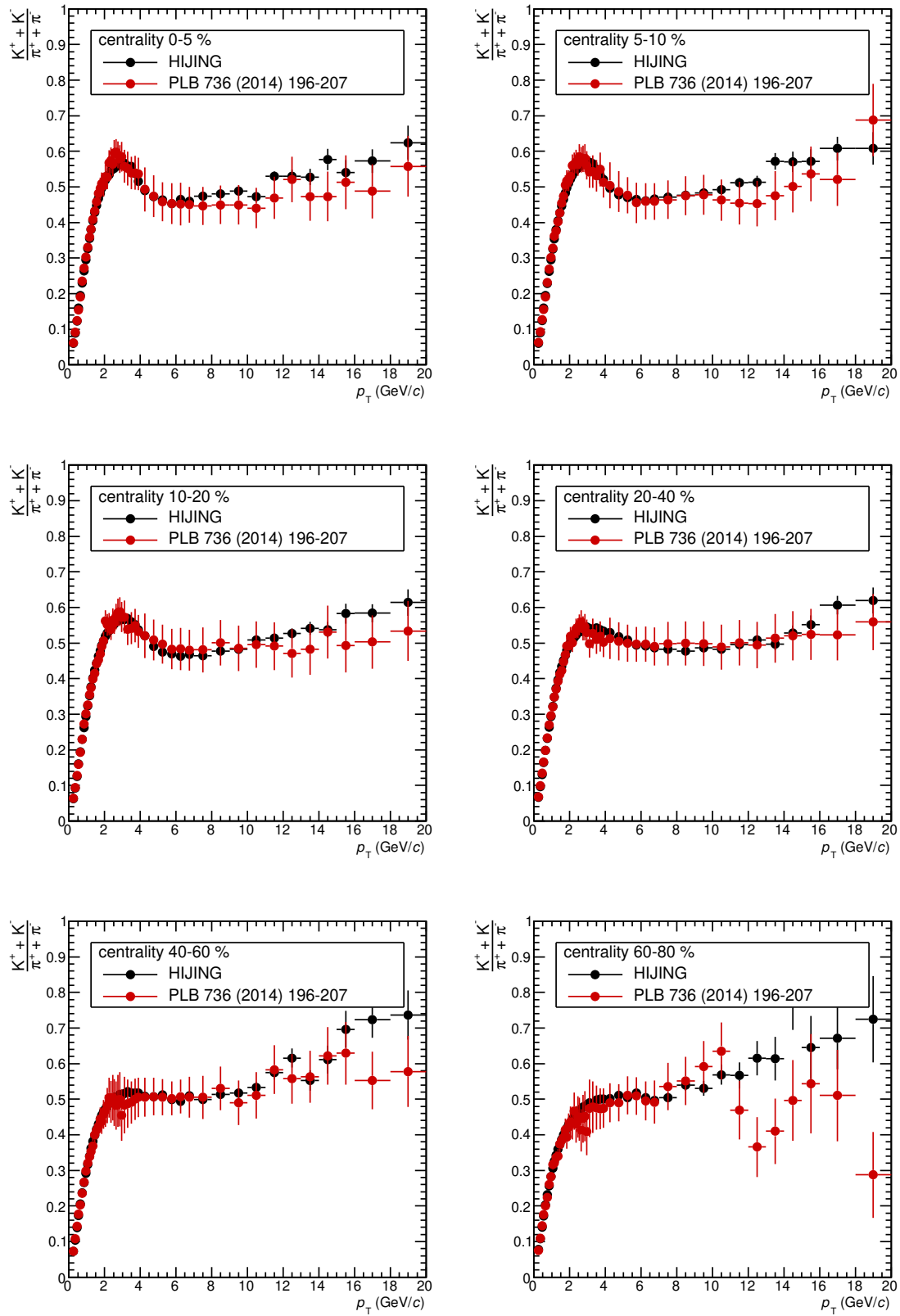
#### 1114 4.5 Combining MB and PHOS triggered data

1115 Neutral meson spectra have been measured independently in minimum bias data and PHOS  
 1116 triggered data. Finally, they have been combined by the weighted average described in [66].  
 1117 Since systematic uncertainties of global energy scale, PID, material budget, feed down in case  
 1118 of  $\pi^0$  and acceptance of detector are common between minimum bias and PHOS triggered data,  
 1119 quadratic sum of uncertainties of yield extraction, TOF in INT7, trigger efficiency in PHI7 and  
 1120 statistical uncertainty are used as weights. The weighted average is defined as :

$$\hat{\mu} = \frac{1}{w} \sum_i^n w_i y_i, \quad (23)$$

1121 where  $w_i = \frac{1}{\sigma_i^2}$  and  $w = \sum_i^n w_i$ . The standard deviation of  $\hat{\mu}$  is  $\frac{1}{\sqrt{w}}$ .

Figure 69:  $K^\pm/\pi^\pm$  ratio in M.C. before re-weighting.

Figure 70:  $K^\pm/\pi^\pm$  ratio in M.C. after re-weighting.

## 5 Systematic uncertainties for neutral mesons

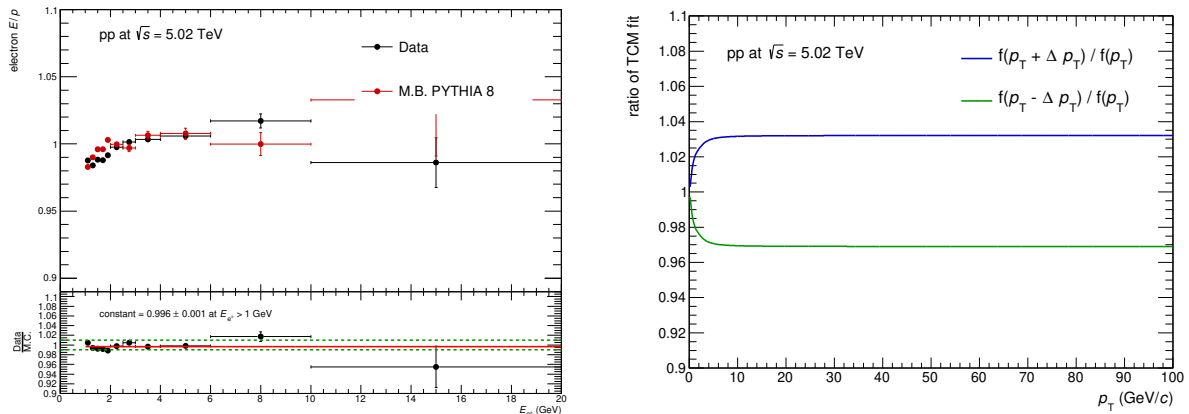
### 5.1 Yield extraction

A systematic uncertainty of yield extraction was estimated by varying fitting functions, fitting ranges and integral regions. In total, 24 combinations were performed for each neutral mesons. The relative systematic uncertainty of the yield extraction is defined as standard deviation/mean value of 24 samples.

- Fitting function for signal : Gaussian/CrystalBall [67]
- Fitting function for background : polynomial 1/2
- Fitting ranges for  $\pi^0$  : [0.06,0.22], [0.04,0.20], [0.08,0.24]  $\text{GeV}/c^2$
- Fitting ranges for  $\eta$  : [0.4,0.7], [0.35,0.65], [0.45,0.75]  $\text{GeV}/c^2$
- Integral region :  $[-3\sigma,+3\sigma]$ ,  $[-2\sigma,+2\sigma]$  around the peak

### 5.2 Global energy scale

The global energy scale was evaluated by energy to momentum ratio  $E/p$  of electrons (positrons) in data and M.C.. Criteria for  $e^\pm$  identification are  $-2 < n\sigma_e < 3$  in  $dE/dx$  measured by TPC and matched with a PHOS cluster which pass dispersion cut ( $2.5\sigma$ ). Here, the  $n\sigma_e$  represents accepted deviation in unit of standard deviation from the  $dE/dx$  value expected for the electron signal. Figure.71 shows electron  $E/p$  reaches 1 at high energy and is well reproduced by M.C.. According to this study, the discrepancy between data and M.C. in  $E/p \pm 0.5\%$  is assigned to an uncertainty of energy scale. The  $p_T$  of neutral meson is shifted by  $\Delta p_T/p_T = \pm 0.005$  in TCM function (or Hagedorn function for  $\eta$  meson in pp) fitting, and the ratio to the function with  $\Delta p_T/p_T = 0$  was taken. The larger side is assigned to the final systematic uncertainty of particle yields due to the global energy scale. In case of Pb–Pb collisions, the energy scale uncertainty



(a)  $E/p$  of  $e^\pm$  as a function of energy measured by PHOS.

(b) The ratio of TCM fit to  $\pi^0$ .

Figure 71:  $E/p$  of  $e^\pm$  and the uncertainty of particle yield by the energy scale in pp collisions at  $\sqrt{s} = 5.02$  TeV.

due to the discrepancy of peak position between data and M.C. ( $\Delta p_T/p_T \sim 0.01$  for centrality 0-10 %,  $\Delta p_T/p_T \sim 0.005$  for centrality 10-40 %) was added quadratically.

### 5.3 Non-linearity of energy measurement in simulation

The non-linear response of the energy measurement was studied in pp collisions at  $\sqrt{s} = 5.02$  TeV taken in 2015 data, described in section B.8.6.

### 5.4 Trigger efficiency

The systematic uncertainty related to the trigger efficiency was estimated by varying fitting range at plateau region on Figure 53 and 67b. They have plateau region at  $0.597 \pm 0.015$  for PHOS L0 trigger in pp collisions (2017) and at  $0.45 \pm 0.02$  for PHOS L1H/M trigger in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV, respectively. Since neutral meson yields are corrected by logical-OR (i.e.  $\varepsilon_{NM}^{\text{trg}} = \varepsilon_{\gamma 1}^{\text{trg}} + \varepsilon_{\gamma 2}^{\text{trg}} - \varepsilon_{\gamma 1}^{\text{trg}} \times \varepsilon_{\gamma 2}^{\text{trg}}$ ), the uncertainty of trigger efficiency for 1 photon is analytically propagated to the uncertainty of their yields at high  $p_T$ .

### 5.5 Timing cut efficiency

There were data taking period when a bunch space of each pp collision was 1000 ns which was much wider than timing resolution of PHOS. These runs allow us to estimate systematic uncertainty of TOF cut efficiency. The idea is defined by Eq.24. The deviation from unity in the ratio is considered as a systematic uncertainty of TOF cut.

$$\text{ratio} = \frac{\pi^0 \text{ yield at BS} = 25 \text{ ns corrected by } \varepsilon_{\text{TOF}}^1 \times \varepsilon_{\text{TOF}}^2}{\pi^0 \text{ yield at BS} = 1000 \text{ ns } (\varepsilon_{\text{TOF}} = 1)} \quad (24)$$

As shown by Figure.72a, it is found to be 2% in pp collisions at  $\sqrt{s} = 5.02$  TeV, not depending

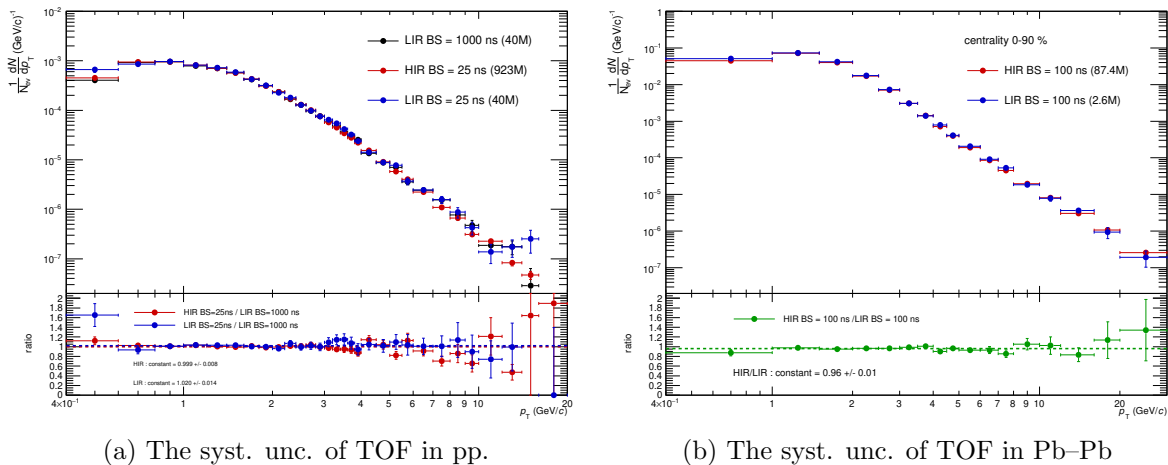


Figure 72: The ratio of  $\pi^0$  raw yields in high intensity runs to those in low intensity runs.

on  $p_T$ . The same approach was applied for Pb–Pb analysis, but the nominal bunch space (BS) was 100 ns. It is found to be 4% in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV.

### 5.6 PID cut efficiency

In order to check photon identification cut on PHOS, each PID cut efficiency as a function of photon energy was evaluated. i.e. Charged Particle Veto ( $2.5\sigma$ ) and dispersion cut ( $2.5\sigma$ ) were tested. Especially in pp collisions, the CPV cut efficiency is very close to unity, because average charged track multiplicity in pp collisions is expected to be  $5 \sim 7$  tracks at mid-rapidity [68]. Hence, the probability of random matching between a photon hit and a charged particle is small.



1170 The deviation from unity in the ratio Data/M.C. is considered as systematic uncertainty of PID cut, which is  $\sim 2\%$  without depending on photon energy in all centralities.

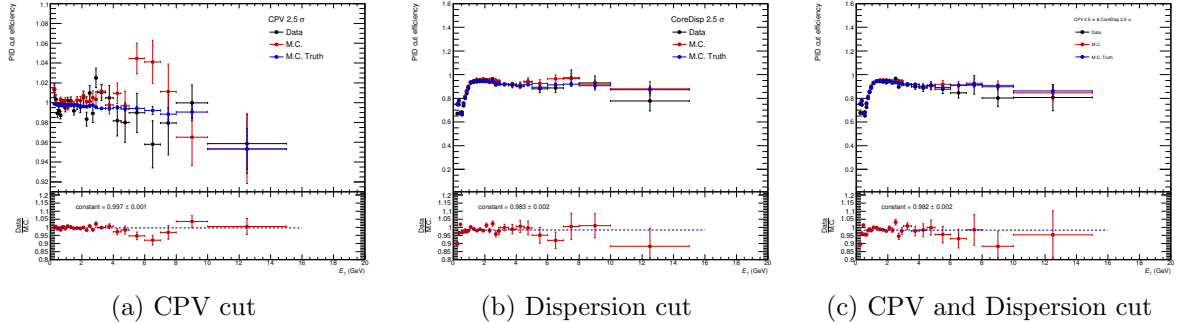


Figure 73: PID cut efficiency as a function of photon energy in pp collisions at  $\sqrt{s} = 5.02$  TeV.

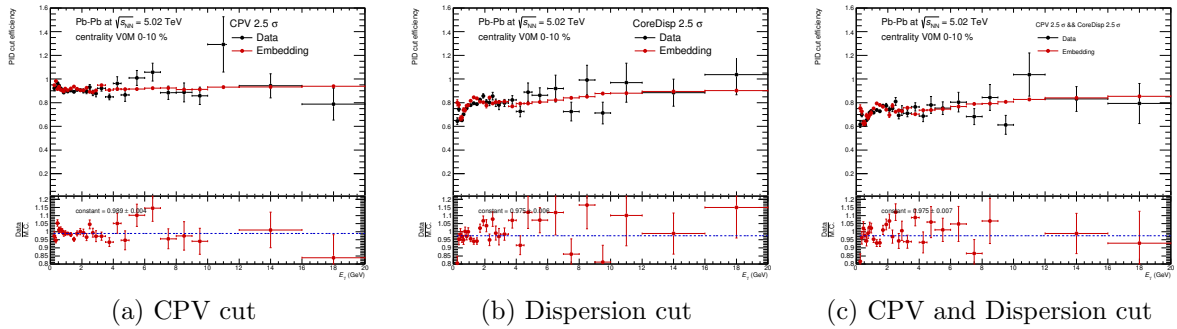


Figure 74: PID cut efficiency as a function of photon energy in Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV centrality 0-10%.

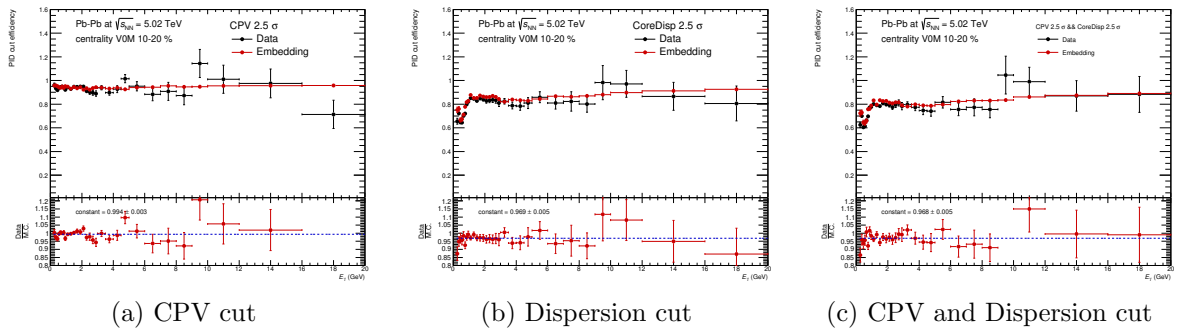


Figure 75: PID cut efficiency as a function of photon energy in Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV centrality 10-20%.

1171

## 1172 5.7 Feed down from strange hadrons

1173 The systematic uncertainty of feed down correction to  $\pi^0$  is inherited from the systematic un-  
 1174 certainty of the measured  $K^\pm/\pi^\pm$  ratio [61]. Typically, the systematic uncertainty of  $K/\pi$  ratio  
 1175 is about 10 % at the maximum. Thus, it is feed down correction  $\times 0.1$  in both pp and Pb-Pb  
 1176 collisions.

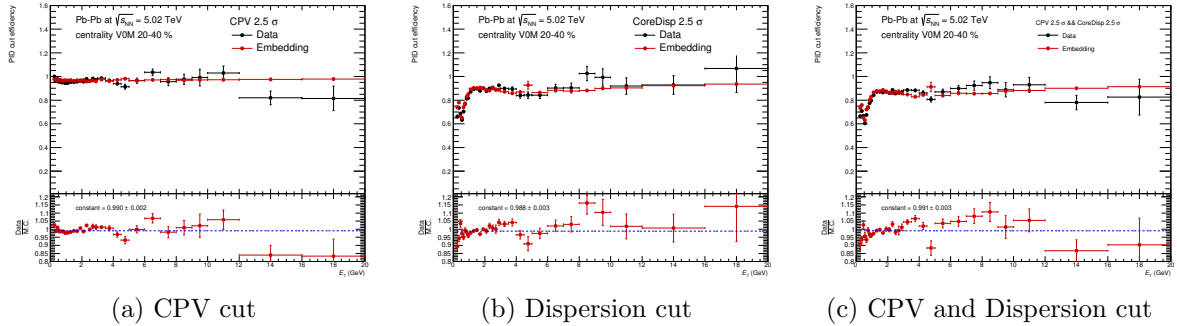


Figure 76: PID cut efficiency as a function of photon energy in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV centrality 20-40%.

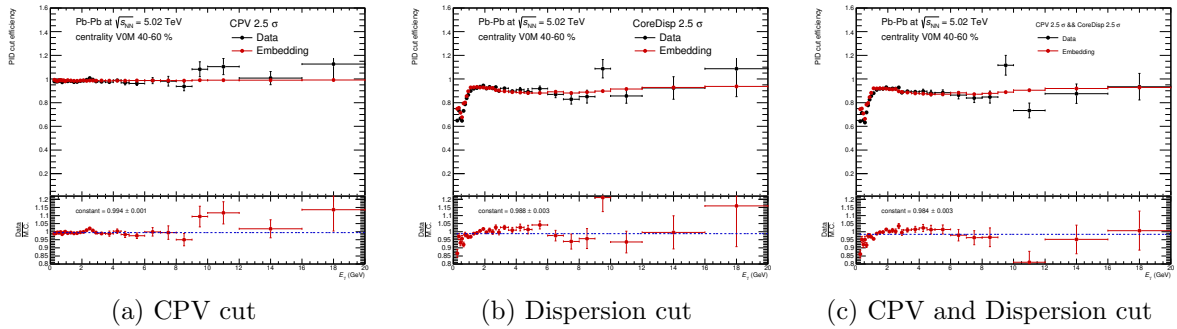


Figure 77: PID cut efficiency as a function of photon energy in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV centrality 40-60%.

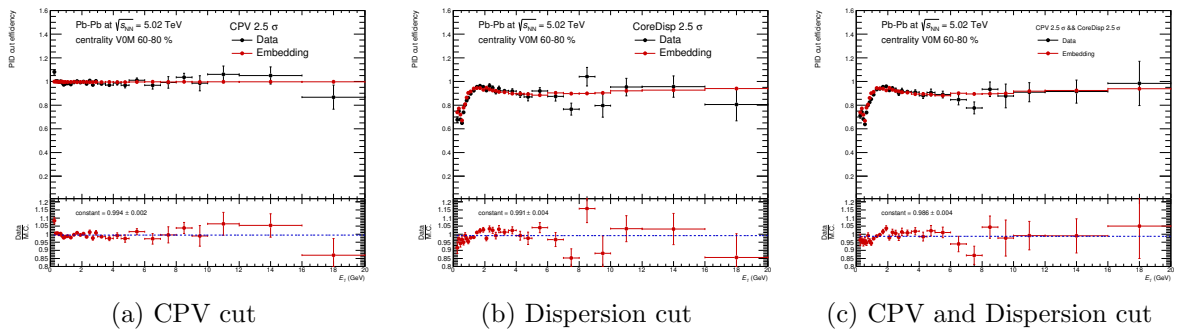


Figure 78: PID cut efficiency as a function of photon energy in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV centrality 60-80%.

1177 **5.8 Acceptance of PHOS detector**

1178 This estimation was done in 2015 data of pp collisions at  $\sqrt{s} = 5.02$  TeV by varying the distance  
 1179 to the closest bad channel (0 or 1 cell), which is described in section B.8.7. Typically, it is 1.5  
 1180 % for neutral mesons.

1181 **5.9 Material budget**

1182 This uncertainty is common in pp and Pb–Pb data, as ALICE detector did not change during  
 1183 Run2 operation. The systematic uncertainty of the material budget has been estimated by  
 1184 comparing  $\pi^0$  yields between magnetic field ON and OFF taken in 2017 data (LHC17d). As  
 1185 converted  $e^+e^-$  pairs do not bend without magnetic field, the  $e^+e^-$  pair is reconstructed as  
 1186 same as a photon candidate. This results in increase of the reconstructed  $\pi^0$  yields and allows  
 1187 us to estimate description of the material budget in simulation. Note that there are TOF and  
 1188 TRD in front of PHOS M4 (a half module). As shown by Fig.79,  $\pi^0$  yields at  $B = 0.0$  T is  
 1189 higher those in 0.5 T and well described by M.C in M123 ( $1.01 \pm 0.02$ ). However, there are large  
 1190 statistical error bars in M4 ( $1.11 \pm 0.21$ ). Thus, I decided to exclude M4 from my analyses and  
 the systematic uncertainty of the material budget is 2% from this study.

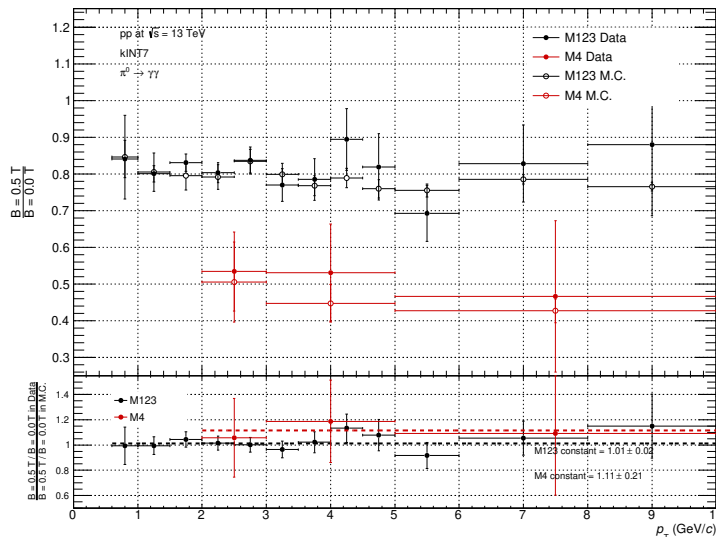


Figure 79: top : ratio of  $\pi^0$  yields at  $B = 0.5$  T to those at  $B = 0.0$  T in data and M.C.. bottom : Double ratio of  $\pi^0$  yields

1191

1192 **5.10 Summary of systematic uncertainties**

1193 Total systematic uncertainties for  $\pi^0$  and  $\eta$  mesons are summarized in this section.

1194 **5.10.1 Summary of systematic uncertainties in pp collisions at  $\sqrt{s} = 5.02$  TeV**

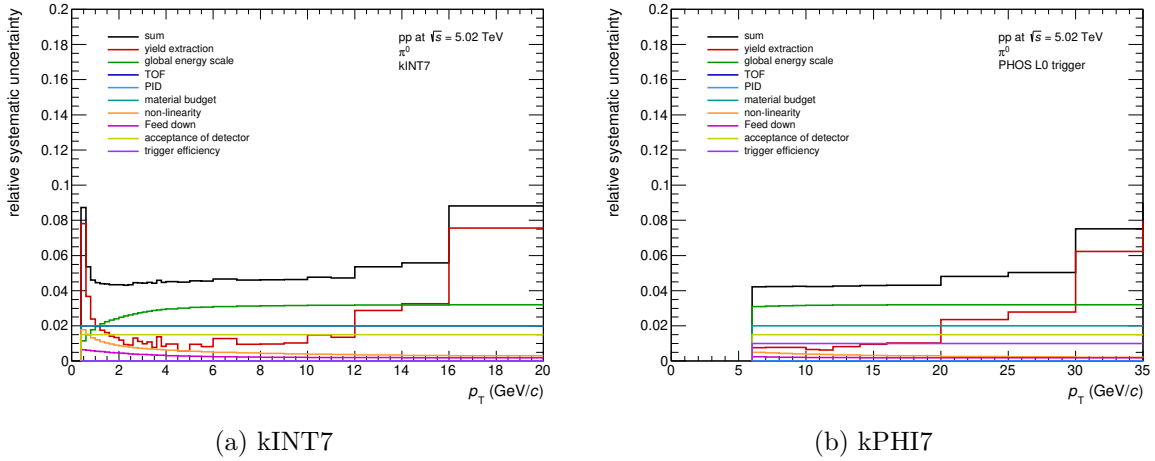


Figure 80: The summary of systematic uncertainties of the  $\pi^0$  measurement in pp collisions at  $\sqrt{s} = 5.02$  TeV

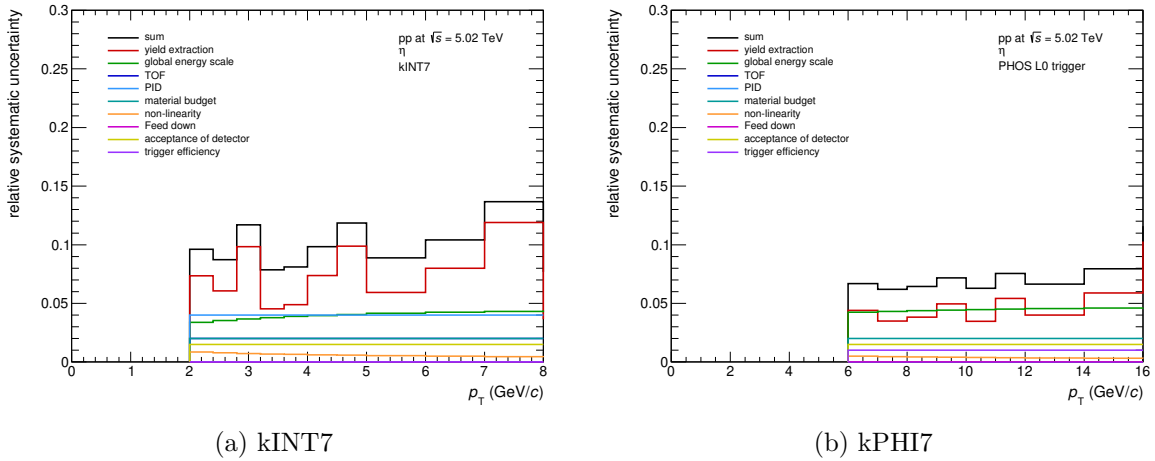
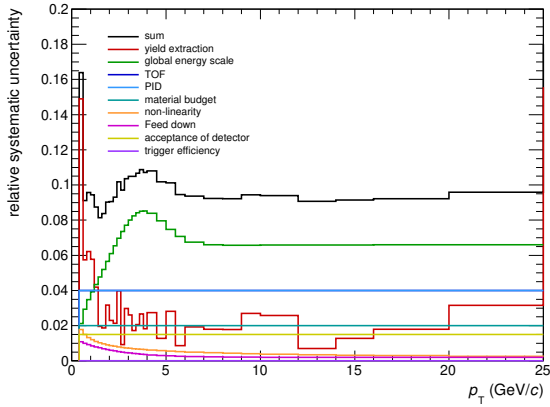


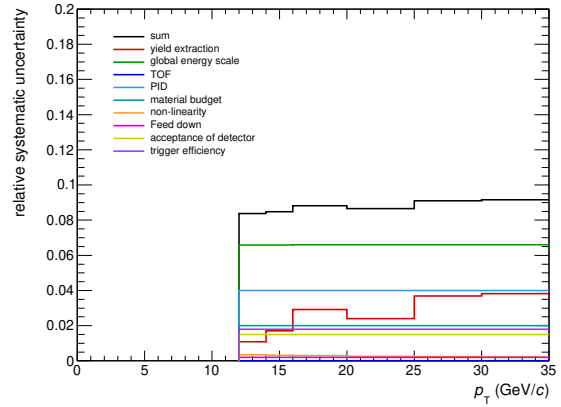
Figure 81: The summary of systematic uncertainties of the  $\eta$  measurement in pp collisions at  $\sqrt{s} = 5.02$  TeV

1195 **5.10.2 Summary of systematic uncertainties in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV**

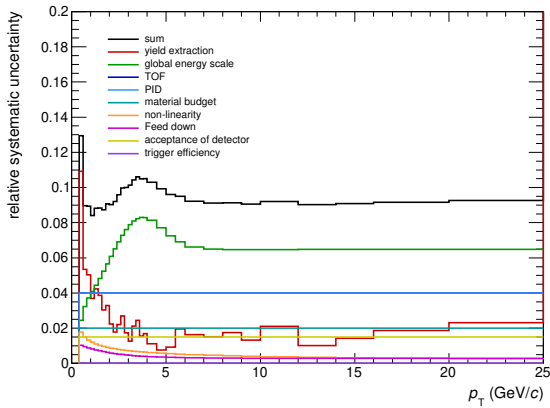
1196



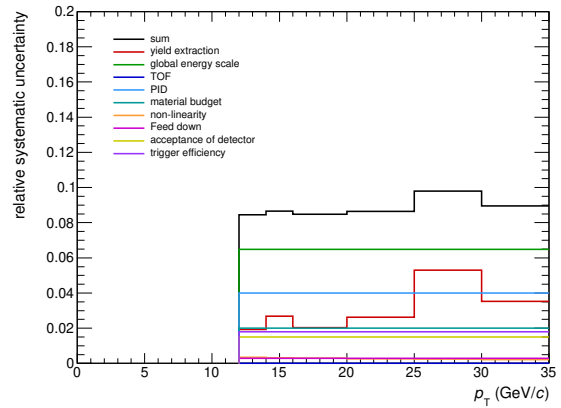
(a) kINT7



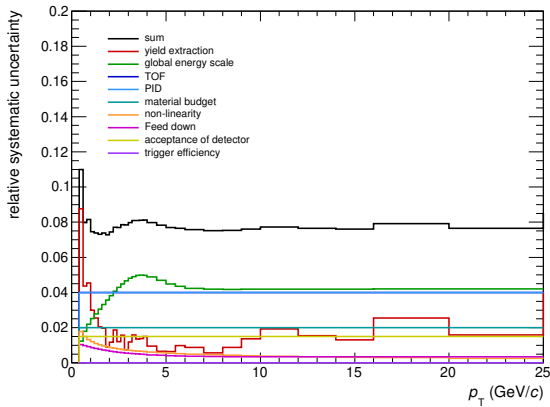
(b) kPHI7

 Figure 82: The summary of systematic uncertainties of the  $\pi^0$  measurement in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV (0-5 %)


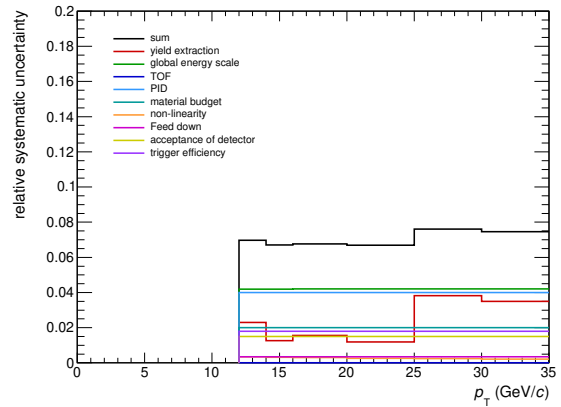
(a) kINT7



(b) kPHI7

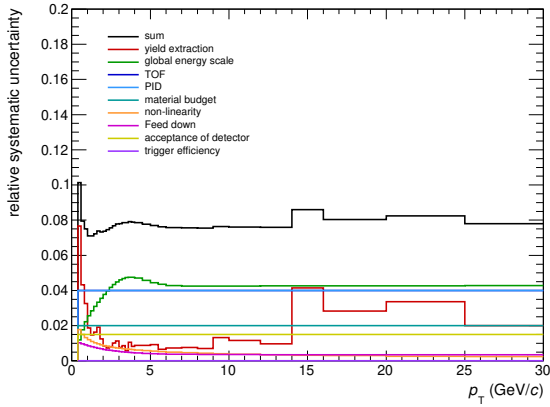
 Figure 83: The summary of systematic uncertainties of the  $\pi^0$  measurement in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV (5-10 %)


(a) kINT7

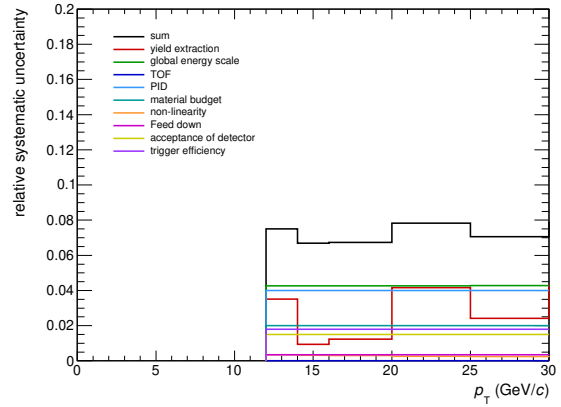


(b) kPHI7

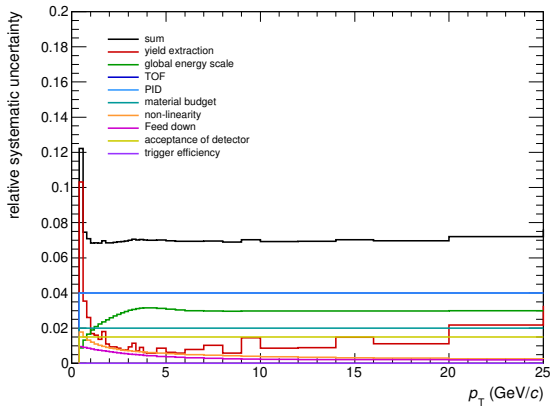
 Figure 84: The summary of systematic uncertainties of the  $\pi^0$  measurement in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV (10-20 %)



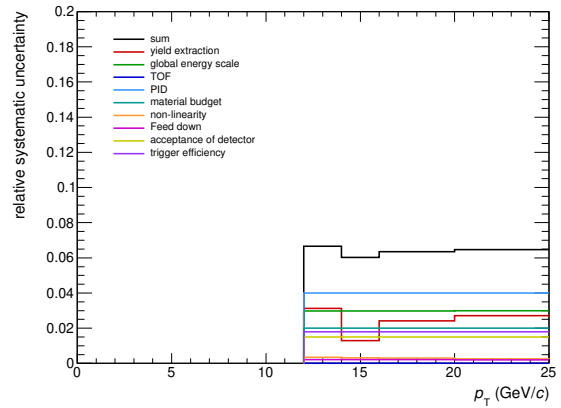
(a) kINT7



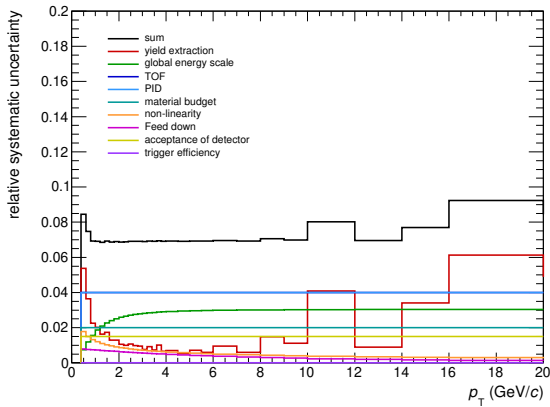
(b) kPHI7

 Figure 85: The summary of systematic uncertainties of the  $\pi^0$  measurement in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV (20-40 %)


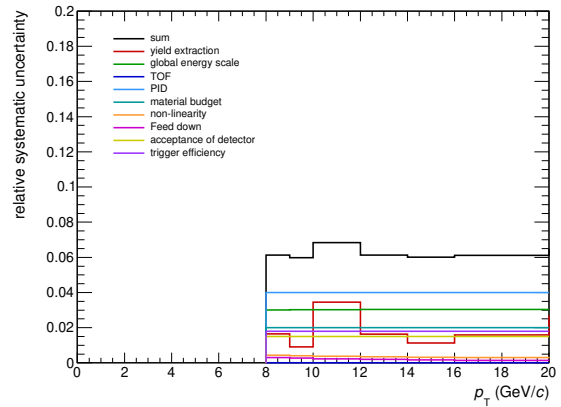
(a) kINT7



(b) kPHI7

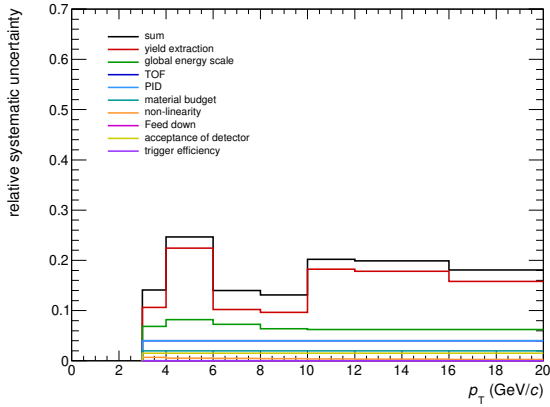
 Figure 86: The summary of systematic uncertainties of the  $\pi^0$  measurement in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV (40-60 %)


(a) kINT7

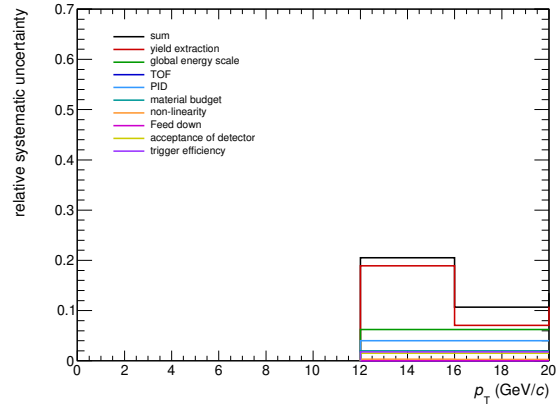


(b) kPHI7

 Figure 87: The summary of systematic uncertainties of the  $\pi^0$  measurement in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV (60-80 %)

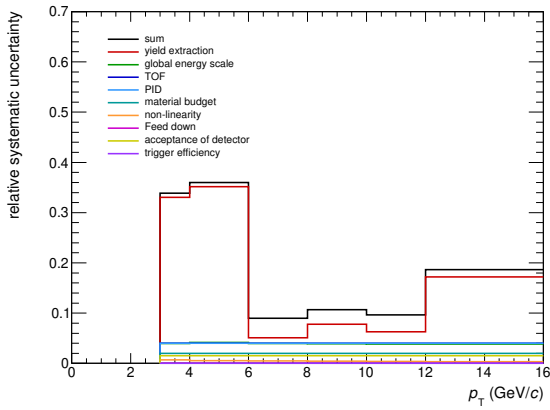


(a) kINT7

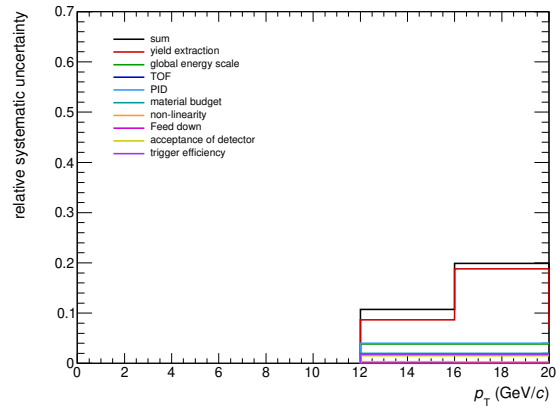


(b) kPHI7

Figure 88: The summary of systematic uncertainties of the  $\eta$  measurement in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV (0-10 %)

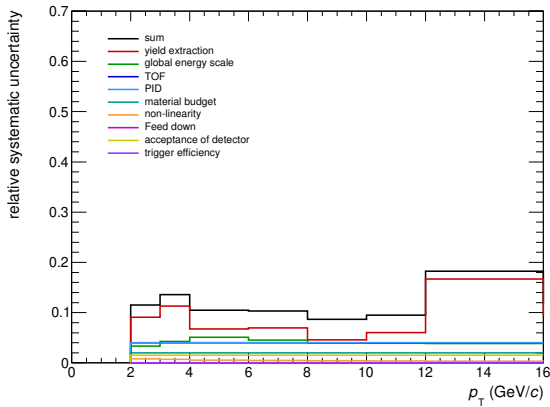


(a) kINT7

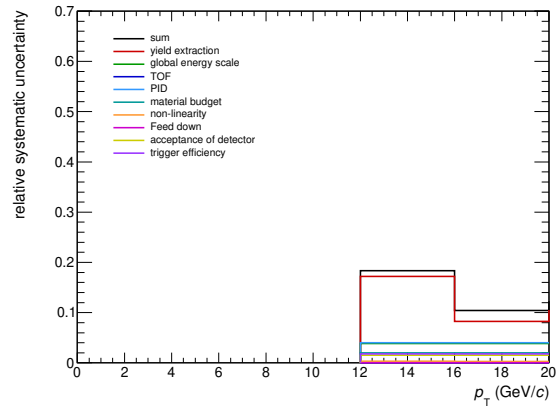


(b) kPHI7

Figure 89: The summary of systematic uncertainties of the  $\eta$  measurement in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV (10-20 %)

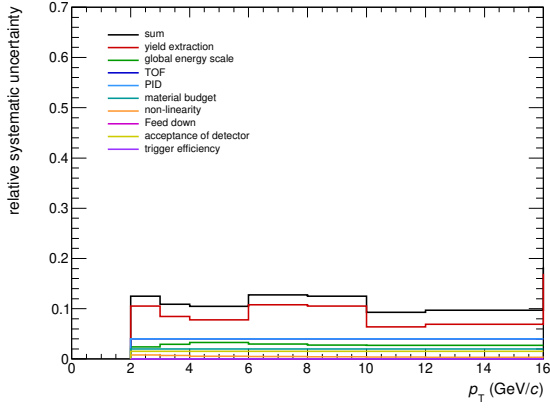


(a) kINT7

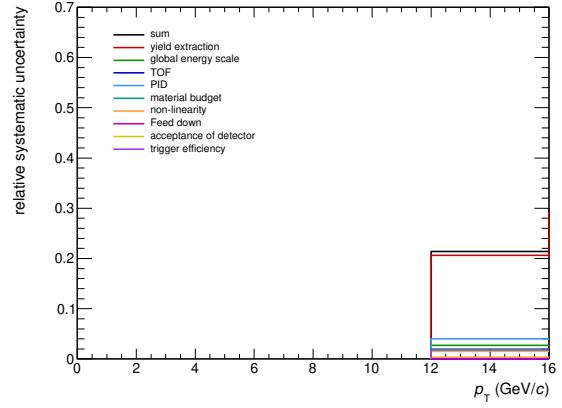


(b) kPHI7

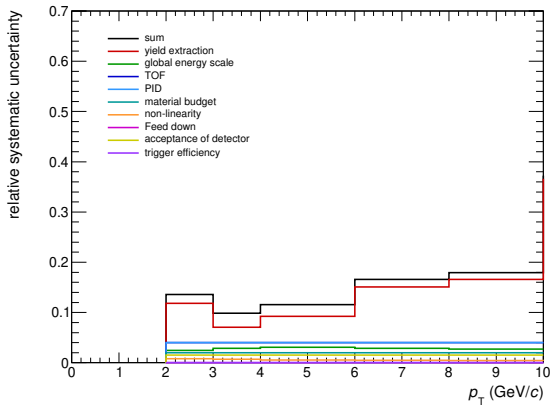
Figure 90: The summary of systematic uncertainties of the  $\eta$  measurement in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV (20-40 %)



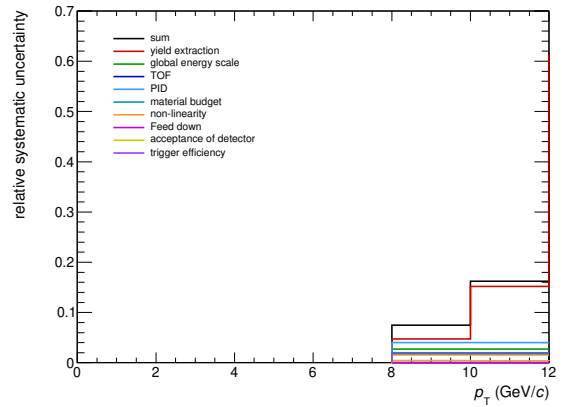
(a) kINT7



(b) kPHI7

 Figure 91: The summary of systematic uncertainties of the  $\eta$  measurement in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV (40-60 %)


(a) kINT7



(b) kPHI7

 Figure 92: The summary of systematic uncertainties of the  $\eta$  measurement in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV (60-80 %)



## 6 Results and discussions for neutral mesons

Results of neutral mesons analyses are summarized in this section. Production cross sections, invariant yield, particle ratio  $\eta/\pi^0$ , and nuclear modification factor  $R_{AA}$  are described. In all figures, vertical bars represent statistical error and boxes indicate the systematic uncertainty.

### 6.1 Invariant cross section of particles

The production cross section of  $\pi^0$  and  $\eta$  mesons have been measured in pp collisions at  $\sqrt{s} = 5.02$  TeV. Neutral mesons spectra are fitted by either two-component model (TCM) function [69, 70, 71] or Hagedorn function [72]. Two-component model function is :

$$E \frac{d^3\sigma}{dp^3} = A_e \exp\left(-\frac{E_{T\text{kin}}}{T_e}\right) + A \left(1 + \frac{p_T^2}{T^2 \cdot n}\right)^{-n}, \quad (25)$$

where  $A_e$ ,  $T_e$ ,  $A$ ,  $T$  and  $n$  are free parameters for fitting and  $E_{T\text{kin}} = \sqrt{p_T^2 + m^2} - m$  is transverse kinetic energy ( $m$  is mass of particle). The exponential term is for soft, and the power-law is for hard particle production. Hagedorn function is :

$$E \frac{d^3\sigma}{dp^3} = A \left(1 + \frac{p_T}{p_0}\right)^{-n}, \quad (26)$$

$$\left(1 + \frac{p_T}{p_0}\right)^{-n} \rightarrow \begin{cases} \exp\left(-\frac{n}{p_0} p_T\right) & \text{for } p_T \ll p_0 \\ p_T^{-n} & \text{for } p_T \rightarrow \infty \end{cases}$$

where  $A$ ,  $p_0$  and  $n$  is free parameters for fitting. Hagedorn function behaves exponential at low  $p_T$  and power-law at high  $p_T$ . Fitting parameters are listed in Table. 1, 2, 3, 4.

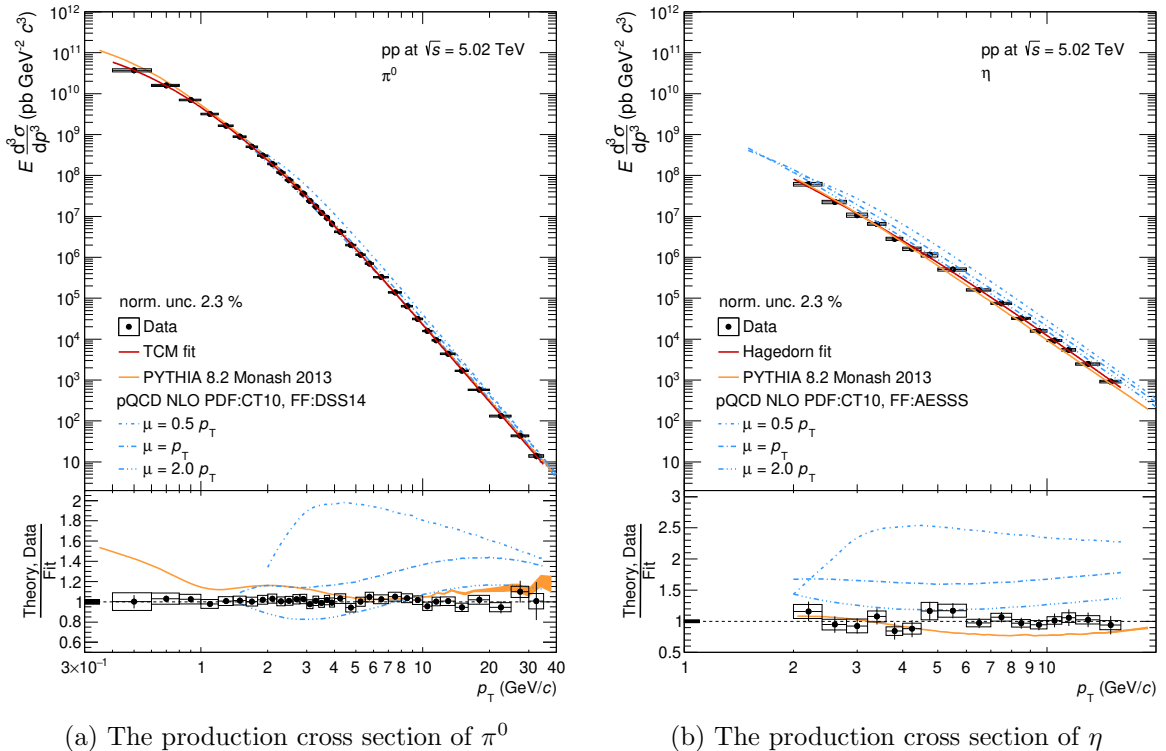


Figure 93: Production cross sections of neutral mesons in pp collisions at  $\sqrt{s} = 5.02$  TeV

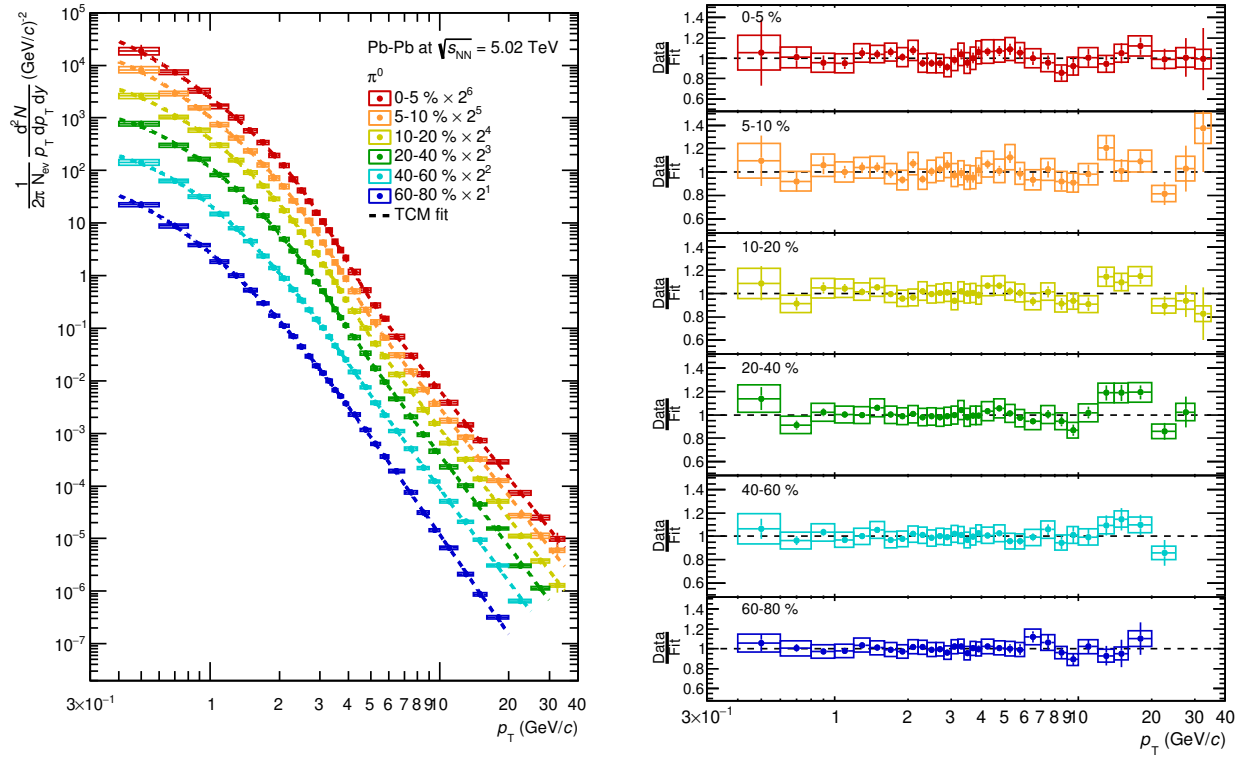
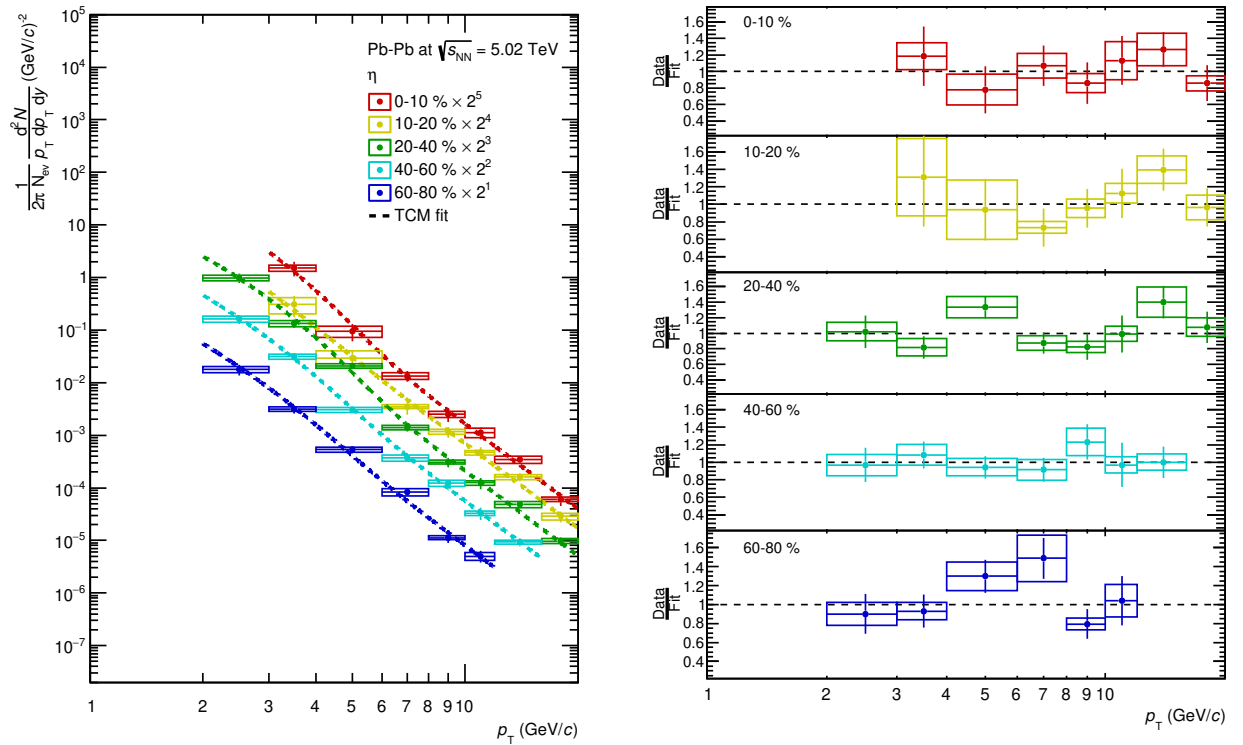

 (a) The invariant yield of  $\pi^0$ 

 (b) The invariant yield of  $\eta$ 

 Figure 94: Invariant yields of neutral mesons in Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV

Table 1: Fitting parameters of TCM function in pp collisions at  $\sqrt{s} = 5.02$  TeV

particle	$A_e$ (pb GeV $^{-2}$ c $^3$ )	$T_e$ (GeV/c)	$A$ (pb GeV $^{-2}$ c $^3$ )	$T$ (GeV/c)	$n$
$\pi^0$	$(2.57 \pm 0.58) \times 10^{11}$	$0.18 \pm 0.02$	$(0.16 \pm 0.04) \times 10^{11}$	$0.67 \pm 0.03$	$3.16 \pm 0.02$

 Table 2: Fitting parameters of Hagedorn function in pp collisions at  $\sqrt{s} = 5.02$  TeV

particle	$A$ (pb GeV $^{-2}$ c $^3$ )	$p_0$ (GeV/c)	$n$
$\eta$	$(1.58 \pm 0.58) \times 10^{11}$	$0.96 \pm 0.08$	$6.7 \pm 0.1$

 Table 3: Fitting parameters of TCM function for  $\pi^0$  in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV

centrality (%)	$A_e$ (GeV $^{-2}$ c $^3$ )	$T_e$ (GeV/c)	$A$ (GeV $^{-2}$ c $^3$ )	$T$ (GeV/c)	$n$
0-5	$187 \pm 26$	$0.39 \pm 0.01$	$1526 \pm 1055$	$0.29 \pm 0.05$	$2.75 \pm 0.04$
5-10	$144 \pm 22$	$0.39 \pm 0.01$	$1026 \pm 500$	$0.33 \pm 0.04$	$2.78 \pm 0.04$
10-20	$105 \pm 15$	$0.39 \pm 0.01$	$421 \pm 129$	$0.39 \pm 0.03$	$2.85 \pm 0.03$
20-40	$40.7 \pm 7.4$	$0.40 \pm 0.01$	$233 \pm 52$	$0.41 \pm 0.02$	$2.89 \pm 0.03$
40-60	$5.9 \pm 1.9$	$0.43 \pm 0.02$	$92 \pm 16$	$0.44 \pm 0.02$	$2.93 \pm 0.03$
60-80	$78 \pm 36$	$0.16 \pm 0.03$	$5.9 \pm 2.8$	$0.64 \pm 0.06$	$3.17 \pm 0.04$
0-10	$185 \pm 24$	$0.39 \pm 0.01$	$1062 \pm 466$	$0.32 \pm 0.03$	$2.76 \pm 0.03$
0-90	$43.7 \pm 7.1$	$0.39 \pm 0.01$	$163 \pm 43$	$0.41 \pm 0.02$	$2.88 \pm 0.02$

 Table 4: Fitting parameters of TCM function for  $\eta$  in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV

centrality (%)	$A_e$ (GeV $^{-2}$ c $^3$ )	$T_e$ (GeV/c)	$A$ (GeV $^{-2}$ c $^3$ )	$T$ (GeV/c)	$n$
0-10	$6.1 \pm 2.9$	0.55	$202 \pm 27$	0.36	2.68
10-20	$0.78 \pm 2.0$	0.55	$171 \pm 21$	0.36	2.68
20-40	$3.1 \pm 0.6$	0.55	$103 \pm 10$	0.36	2.68
40-60	$0.81 \pm 0.25$	0.55	$55.5 \pm 6.2$	0.36	2.68
60-80	$0.15 \pm 0.07$	0.55	$15.8 \pm 2.1$	0.36	3.68
0-90	$2.6 \pm 1.5$	$0.55 \pm 0.05$	$112 \pm 89$	$0.36 \pm 0.05$	$2.68 \pm 0.10$

1210 Especially,  $\eta$  meson spectra in Pb–Pb collisions have only  $6 \sim 7$  data points, that leads poor  
 1211 quality of the fitting or divergence. Therefore, centrality classes are merged into 0-90 % to  
 1212 get the full statistics of data and fitted by TCM function. When  $\eta$  meson spectra in different  
 1213 centrality classes are fitted by TCM,  $T_e$ ,  $T$  and  $n$  are fixed to those in centrality 0-90 % to  
 1214 avoid divergence of the fitting. Hence, yield parameters  $A_e$  and  $A$  are free parameters in each  
 1215 centrality class.

1216 Figure 95 shows the ratio of  $p_T$  spectra of  $\pi^0$  at  $\sqrt{s_{NN}} = 5.02$  TeV to those at  $\sqrt{s_{NN}} = 2.76$   
 1217 TeV [73, 74] in Pb–Pb (color filled marker) and pp (black open marker) collisions for same  
 1218 centrality classes. Ratios of spectra increase with  $p_T$  in both pp and Pb–Pb collisions which  
 means harder  $p_T$  spectra at higher collision energy.

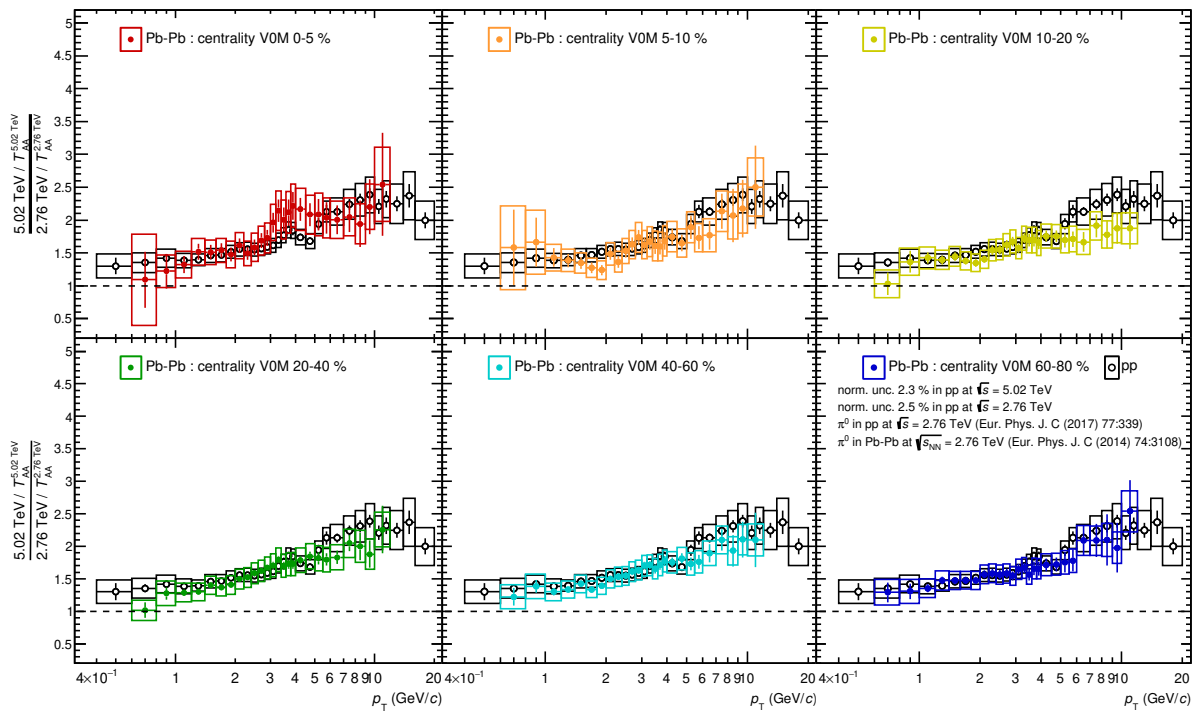
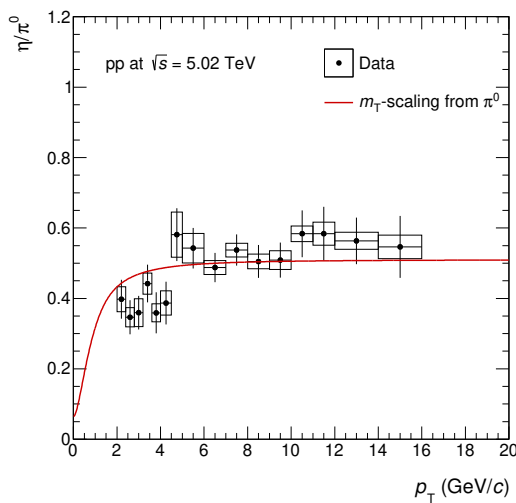
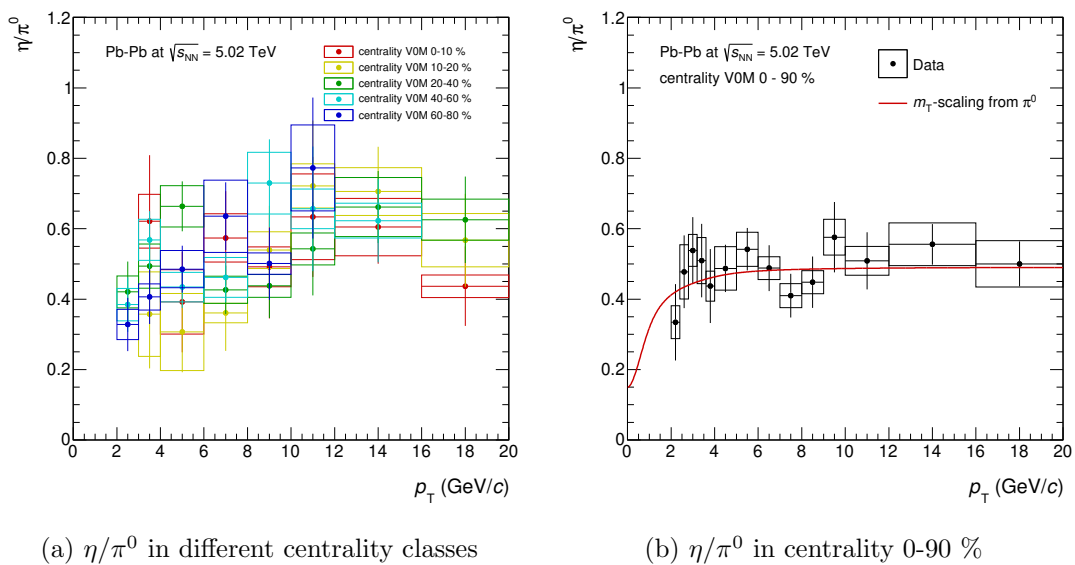


Figure 95: Comparison of  $p_T$  spectra for  $\pi^0$  between  $\sqrt{s_{NN}} = 5.02$  and 2.76 TeV in Pb–Pb collisions

1220 **6.2 Particle ratio**

1221  $\eta/\pi^0$  ratios have been measured in pp and Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for different  
 1222 centrality classes, as shown by Figure 96 and Figure97. As, the statistical uncertainty is large,  
 1223 no centrality dependence of  $\eta/\pi^0$  ratios in Pb–Pb collisions is observed. In order to reduce  
 1224 statistical and systematic uncertainties, all centrality (Figure.97b) have been combined in Pb–  
 1225 Pb collisions. The  $\eta/\pi^0$  ratio is found to be  $0.507 \pm 0.017(\text{stat.}) \pm 0.008(\text{syst.})$  in pp collisions  
 1226 and  $0.491 \pm 0.022(\text{stat.}) \pm 0.017(\text{syst.})$  at  $p_T > 3.6$  GeV/c in centrality 0-90% Pb–Pb collisions  
 1227 at  $\sqrt{s_{NN}} = 5.02$  TeV. The measured  $\eta/\pi^0$  ratios may be claimed to be consistent with published  
 1228 ALICE results [74, 75, 76, 77] within experimental uncertainties, although the ratio in pp  
 collisions at  $\sqrt{s} = 5.02$  TeV is a bit higher than that in pp collisions at  $\sqrt{s} = 8$  TeV [78].

Figure 96: The  $\eta/\pi^0$  ratio in pp collisions at  $\sqrt{s} = 5.02$  TeV(a)  $\eta/\pi^0$  in different centrality classes(b)  $\eta/\pi^0$  in centrality 0-90 %Figure 97:  $\eta/\pi^0$  ratios in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV

1229

### 6.3 Nuclear modification factors $R_{AA}$ of neutral mesons

Since neutral mesons spectra have been measured in both pp and Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV, nuclear modification factors  $R_{AA}$  in different centrality class have been determined. The typical values of the nuclear overlap function  $T_{AA}$  used in this thesis are summarized in Table.5. These are taken from the reference [79]. Boxes around unity is the total normalization uncertainty, namely, square root of the quadratic sum of systematic uncertainty of  $T_{AA}$  and systematic uncertainty of normalization for spectra in pp collisions.  $R_{AA}$  reaches 0.13 at  $p_T = 5 - 6$  GeV/c in central Pb–Pb collisions for both  $\pi^0$  and  $\eta$  mesons and increase with  $p_T$ .

Table 5: Geometrical parameters in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV [79]

centrality	$T_{AA}$ (mb $^{-1}$ )	syst. $T_{AA}$ (mb $^{-1}$ )	$N_{coll}$	syst. $N_{coll}$	$N_{part}$	syst. $N_{part}$
0-5 (%)	25.92	0.37	1752	28	382.3	2.4
5-10 (%)	20.22	0.52	1367	37	329.1	5
10-20 (%)	14.27	0.36	964.8	25	260.2	5.2
20-40 (%)	6.872	0.21	464.5	15	158.5	3.1
40-60 (%)	2.046	0.05	138.3	3.1	70.61	1.1
60-80 (%)	0.4173	0.014	28.21	0.81	23.34	0.43

#### 6.3.1 Collision energy $\sqrt{s_{NN}}$ dependence

$R_{AA}$  of  $\pi^0$  mesons in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  and 2.76 TeV are compared on Figure 98. In spite of the fact that  $p_T$  spectra become harder at higher collision energy both in pp and Pb–Pb collisions,  $R_{AA}$  is found to be the same at two collision energies. This indicates the larger parton energy-loss at the higher collision energy.

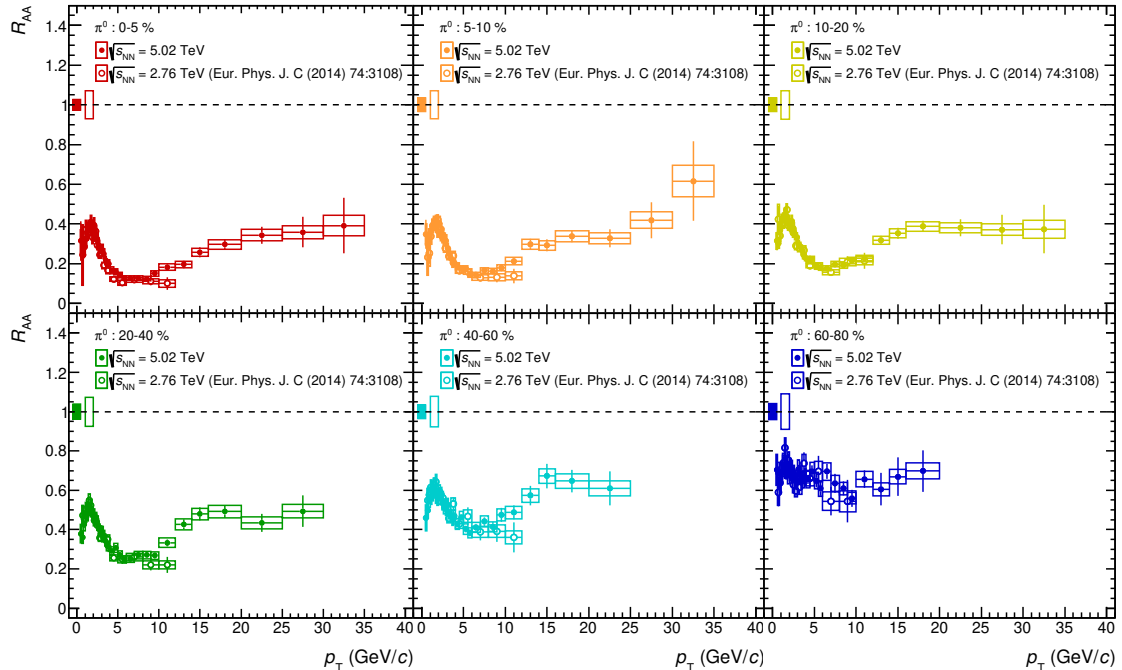


Figure 98:  $R_{AA}$  of  $\pi^0$  in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  and 2.76 TeV

There is one more possibility to compare the  $p_T$  spectrum and  $R_{AA}$  of  $\pi^0$  in central collisions

1244 (0-10%) with higher statistics [76]. Those were recorded in 2011, so called LHC11h period,  
 1245 in Pb–Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV. As published results are available up to  $p_T = 20$   
 1246 GeV/ $c$ , the comparison has been performed at only  $p_T < 20$  GeV/ $c$  here. Considering the large  
 1247 experimental uncertainties for both results, comparisons on Figure 99 again indicate the harder  
 1248  $p_T$  spectrum at higher collision energy, but the same suppression level at two collision energies  
 up to  $p_T = 20$  GeV/ $c$ .

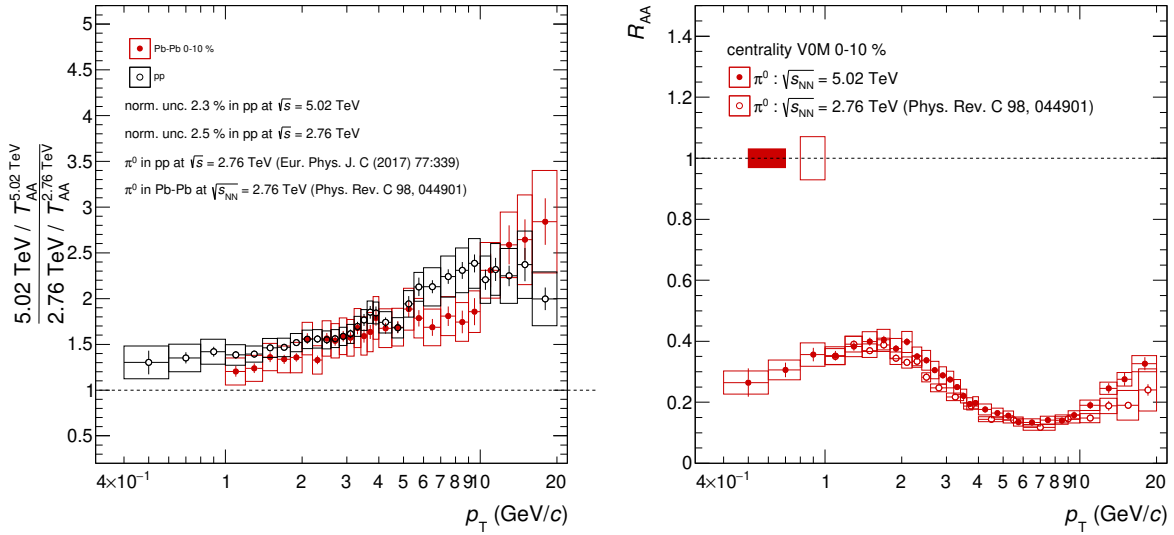
(a) The ratio of  $p_T$  spectrum for  $\pi^0$ .(b)  $R_{AA}$  for  $\pi^0$ .

Figure 99: Comparison of the ratio of  $p_T$  spectrum and  $R_{AA}$  in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  and 2.76 TeV (2011 sample)

### 6.3.2 Comparison to theoretical models

$R_{AA}$  of  $\pi^0$  and  $\eta$  mesons are compared to theoretical models (Figure 100). The prediction including both radiative and elastic energy-loss in the hydrodynamically expanding QCD medium by M.Djordjevic [26] shows quantitatively good agreement with data in all centrality classes for both  $\pi^0$  and  $\eta$  mesons. The model based on the same approach in the constant-temperature QCD medium without the evolution by M.Djordjevic [25] also gives good agreement again. This can be interpreted as that the evolution of the medium affects the azimuthal anisotropy  $v_2$  of hadrons, rather than to  $R_{AA}$ , as she explains [26, 25]. Models by M.Djordjevic aim to reproduce  $R_{AA}$  and  $v_2$  for hadrons simultaneously in her framework. So, it might be interesting to see them for comprehensive studies in the future.

### 6.3.3 Hadron species dependence

$R_{AA}$  of  $\pi^0$  and  $\eta$  mesons are consistent with each other within experimental uncertainties at  $p_T > 4$  GeV/ $c$ . However, it seems  $R_{AA}$  for  $\eta$  meson is systematically higher than that of  $\pi^0$  at low  $p_T$ , which is similar to those previously measured in Pb–Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV [76, 80].  $R_{AA}$  for different hadron species in central Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV are summarized on Figure 102. The suppression of neutral and charged [81] pions is consistent with each other, as expected (centrality classes 0-5 and 5-10% were merged into 0-10% for  $\pi^\pm$  and  $K^\pm$ ). The comparison indicates the similar suppression pattern between  $\eta$  and  $K^\pm$  [81] mesons for whole  $p_T$  range, but seems to differ from pions at  $p_T < 4$  GeV/ $c$ . This is explained by that both  $\eta$  and  $K^\pm$  mesons consist of a strange quark and an up, down quark, while pions contain up, down quarks. However, with the present accuracy of the  $\eta$  meson measurement, it is not enough to determine whether the suppression is different/same for  $\pi^0$  and  $\eta$  at low  $p_T$ . On the other hand, comparing  $R_{AA}$  between  $\pi^0$  and D mesons [82], the suppression of D mesons is clearly weaker than that of  $\pi^0$  mesons at  $p_T < 10$  GeV/ $c$ . This is because of smaller energy-loss for charm quarks than for up and down quarks due to its heavier mass. At high  $p_T$ , the parton energy-loss does not depend on the quark mass [84, 85] and thus,  $R_{AA}$  is the same for light and heavy flavor hadrons.  $B^\pm$  mesons which contain a bottom quark and a light quark have been measure in centrality class 0-100% by CMS [83] by triggering muons from from  $B^\pm \rightarrow J/\psi K^\pm \rightarrow \mu^+ \mu^- K^\pm$  at high  $p_T$ . So, it would be interesting to see  $R_{AA}$  of charm-hadrons and bottom-hadrons at low  $p_T$  in Run3 at  $\sqrt{s_{NN}} = 5.5$  TeV.



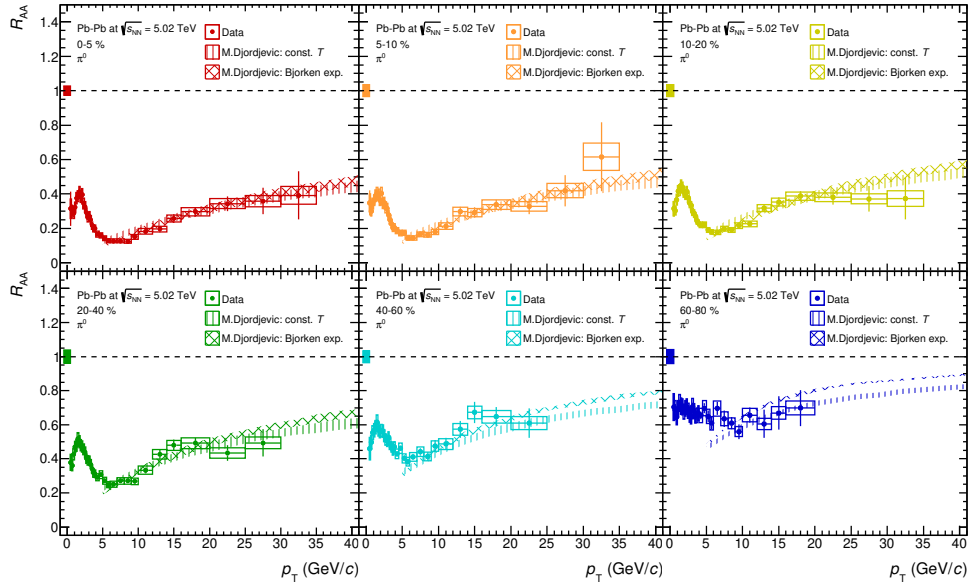
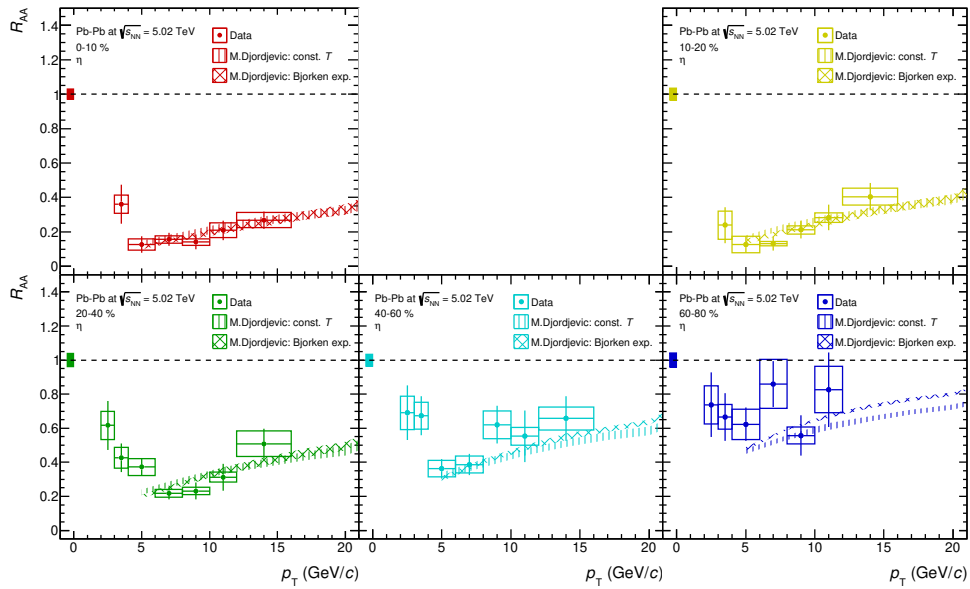

 (a) For  $\pi^0$  mesons.

 (b) For  $\eta$  mesons.

 Figure 100: Comparison of  $R_{AA}$  with theoretical models in Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV

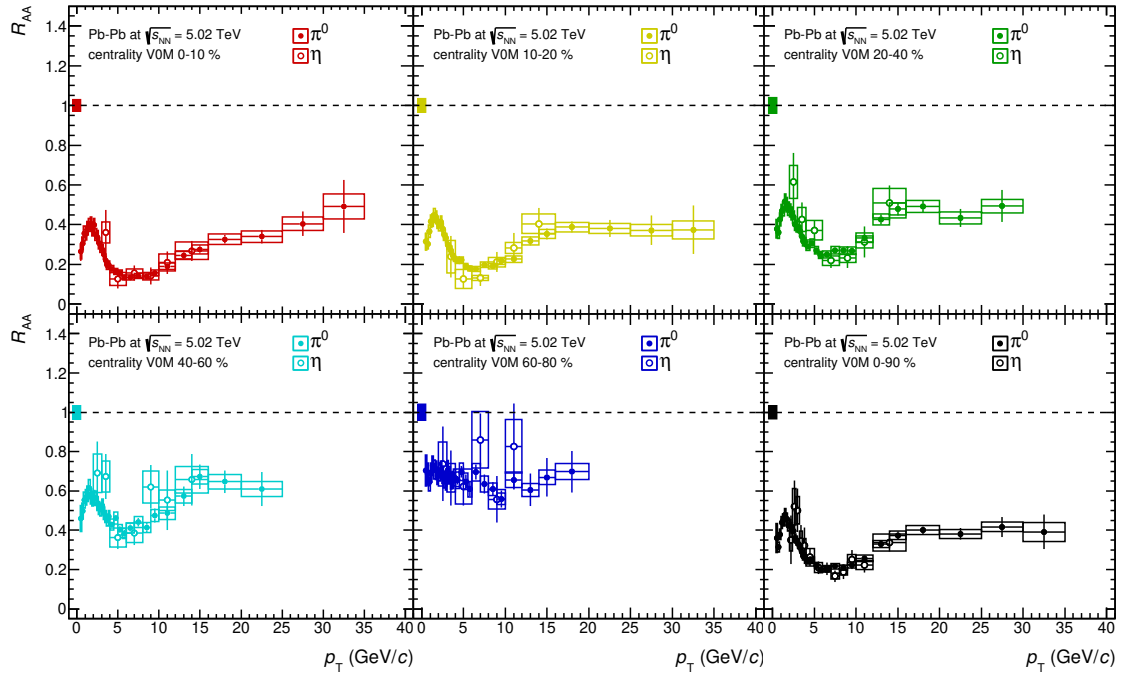


Figure 101: Comparison of  $R_{AA}$  between  $\pi^0$  and  $\eta$  in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for different centrality classes.

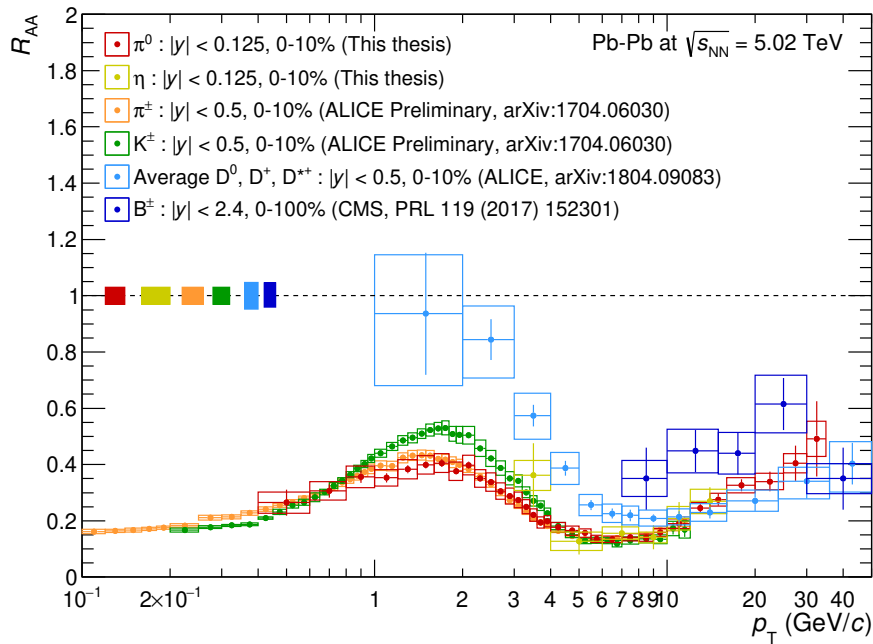
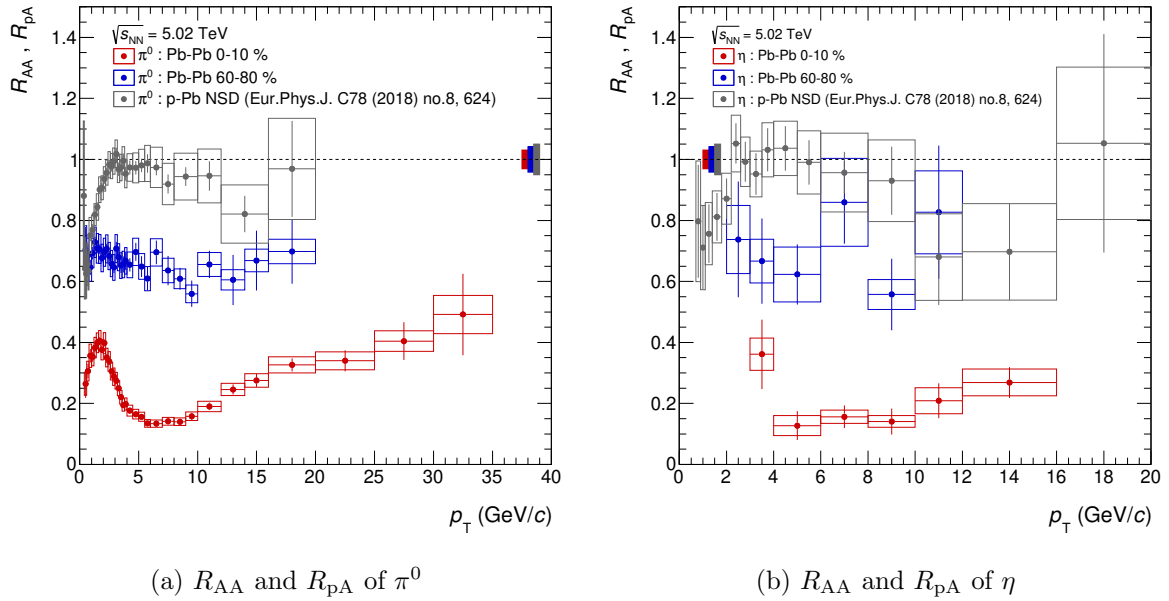


Figure 102:  $R_{AA}$  of  $\pi^0$ ,  $\eta$ ,  $\pi^\pm$ ,  $K^\pm$ ,  $D$  and  $B^\pm$  mesons in central (0-10%) Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV [81, 82, 83]

1281 **6.3.4 Comparison of  $R_{AA}$  and  $R_{pA}$  at  $\sqrt{s_{NN}} = 5.02$  TeV**

1282 Comparing the suppression of high  $p_T$  hadrons between A–A and p–A collisions can distinguish  
 1283 whether the suppression is initial state or final state effects. Figure 103 shows there is no  
 1284 suppression in p–Pb collisions [77], while the strong suppression is observed in Pb–Pb collisions.  
 1285 This demonstrates that the strong suppression observed in Pb–Pb collisions is not related to  
 initial state effect, but to the formation of hot and dense QCD medium.

Figure 103:  $R_{AA}$ ,  $R_{pA}$  of  $\pi^0$  and  $\eta$  mesons

1286

## 7 Analyses for direct photon

Detailed descriptions for the direct photon  $\gamma^{\text{dir}}$  measurement by using measured  $\pi^0$  and  $\eta$  mesons are described in this section.

### 7.1 Analysis strategy

First of all, the inclusive photon  $\gamma^{\text{inc}}$  spectrum has to be measured as :

$$E \frac{d^3 N_{\gamma^{\text{inc}}}}{dp^3} = \frac{1}{2\pi} \times \frac{1}{p_T} \frac{dN}{dp_T} \times P \times \frac{1}{\Delta y} \times \frac{1}{\varepsilon} \times \frac{1}{N_{\text{ev}}}, \quad (27)$$

where  $P$  is photon purity in the total number of clusters. The photon purity is estimated by a data driven approach described in section 7.7.

Direct photons  $\gamma^{\text{dir}}$  are defined as produced photons not originating from hadron decays as follows :

$$\gamma^{\text{dir}} = \gamma^{\text{inc}} - \gamma^{\text{decay}} = \gamma^{\text{inc}} \cdot \left( 1 - \frac{1}{R_\gamma} \right), \quad (28)$$

where  $\gamma^{\text{inc}}$  indicates inclusive photons and  $\gamma^{\text{decay}}$  denotes decay photons from hadrons. In order to observe direct photon signals, it is convenient to introduce a variable  $R_\gamma$  which is the ratio of inclusive photons yields to decay photons yields.

$$R_\gamma = \frac{\gamma^{\text{inc}}}{\gamma^{\text{decay}}} = \frac{(\gamma^{\text{inc}}/\pi^0)_{\text{data}}}{(\gamma^{\text{decay}}/\pi^0)_{\text{cocktail}}} \quad (29)$$

The  $\pi^0$  spectrum is inserted in  $R_\gamma$  because experimentally systematic uncertainties related to the energy measurement cancel out in the ratio. The cocktail simulation (mixture of hadrons which decay into photons such as  $\pi^0$ ,  $\eta$ ,  $\omega$ ,  $\eta'$ ,  $\rho$  and  $\phi$  e.t.c.) is used to determine decay photon yields. Thus, neutral mesons measurements described in the previous section are important inputs to this cocktail simulation. Finally, if  $R_\gamma > 1$ , inclusive photon yields in data are larger than decay photon yields, which means the excess of direct photon signals beyond decay photon yields. If  $R_\gamma$  is consistent with unity within experimental uncertainties, upper limits at the 90% confidence level (C.L.) are set. The invariant yield of direct photon is obtained by :

$$\frac{1}{2\pi} \frac{d^2 N_{\gamma^{\text{dir}}}}{N_{\text{ev}} p_T dp_T dy} = \frac{1}{2\pi} \frac{d^2 N_{\gamma^{\text{inc}}}}{N_{\text{ev}} p_T dp_T dy} \times \left( 1 - \frac{1}{R_\gamma} \right) \quad (30)$$

In case of upper limits on direct photon yields at the 90% confidence level, mean data point +  $1.28\sigma$  is considered at each  $p_T$  bin.

1309 **7.2 Raw yields of clusters**

1310 At first, raw yields of cluster have been constructed as shown by Figure 104. Only the core-  
 1311 dispersion cut was applied to clusters in pp and both CPV and core-dispersion cuts was used in  
 Pb-Pb collisions.

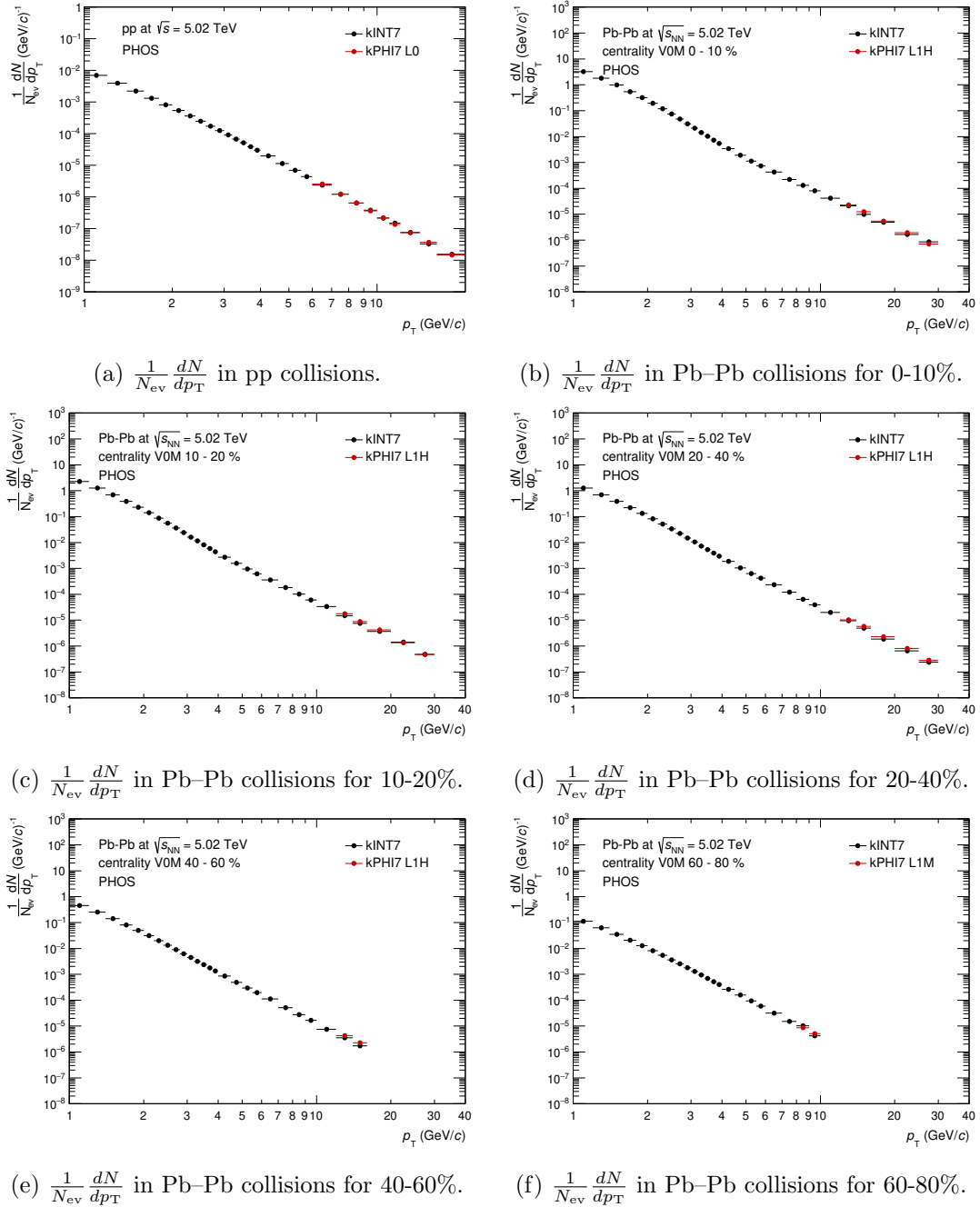
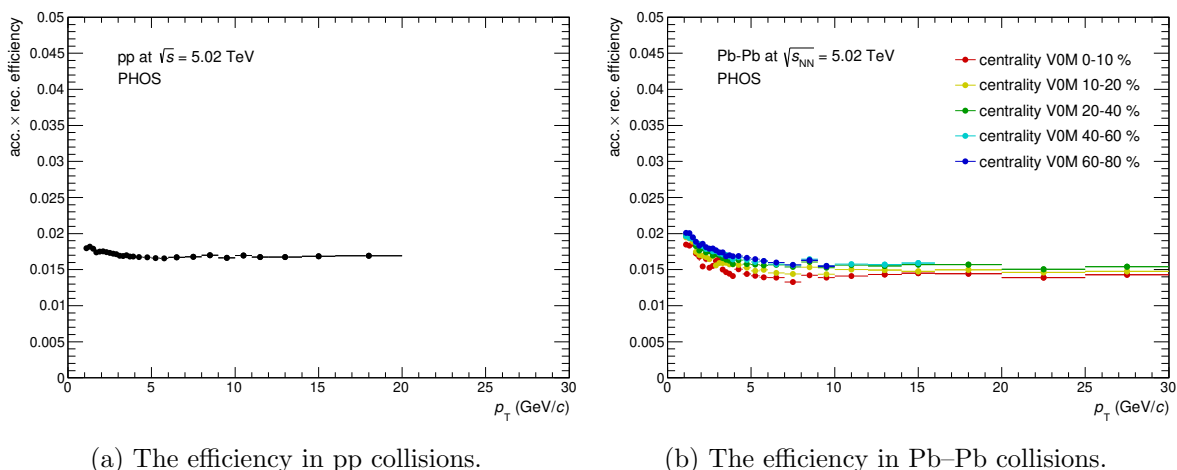


Figure 104: Raw yields of clusters in pp and Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV

1312

### 1313 7.3 Acceptance $\times$ reconstruction efficiency

1314 The acceptance  $\times$  reconstruction efficiency has been measured by the same procedure as neutral  
 1315 mesons analyses, namely the single  $\gamma$  simulation in pp and the embedded simulation (single  $\gamma$   
 1316 events + real underlying events) in Pb–Pb collisions. One should keep different active area of  
 1317 the PHOS detector in different data taking periods in mind. As single  $\gamma$  simulation on only the  
 1318 PHOS detector was employed, there is no tracking information in single  $\gamma$  simulation for pp case.  
 1319 Thus, only the dispersion cut was applied to clusters in pp collisions for both data and M.C..  
 1320 However, the CPV cut efficiency in pp collisions is close to 100% due to the low multiplicity  
 1321 environment  $\frac{dN_{ch}}{dy} = 5 \sim 7$  at mid-rapidity [68]. On the other hand, after embedding photons  
 1322 into real underlying events, track matching between a cluster and a track was available in Pb–  
 1323 Pb case. Late conversion electrons ( $\gamma \rightarrow e^+e^-$  outside of TPC) are also considered as photon  
 1324 signals, because they can not be rejected by the CPV cut. Efficiencies are plotted on Figure 105.  
 1325 The higher efficiency is observed in peripheral collisions due to the small overlapping probability  
 between clusters, as expected.



(a) The efficiency in pp collisions.

(b) The efficiency in Pb–Pb collisions.

Figure 105: Acceptance  $\times$  reconstruction efficiencies in pp and Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV

1326

### 1327 7.4 TOF cut efficiency

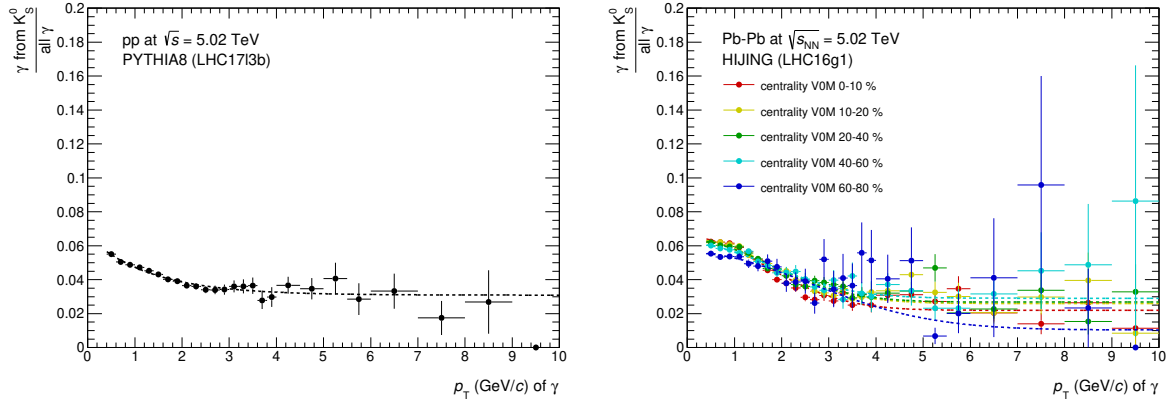
1328 This is the same as the neutral mesons analysis, but corrected by  $1/\varepsilon_{\text{TOF}}$ .

### 1329 7.5 Trigger efficiency

1330 This is the same as the neutral mesons analysis, but corrected by  $1/\varepsilon_{\text{trg}}$ .

### 1331 7.6 Feed down correction for $K_S^0 \rightarrow \pi^0\pi^0 \rightarrow 4\gamma$

1332 Photons from strange hadron decays were subtracted based on PYTHIA and HIJING event  
 1333 generator for pp and Pb–Pb respectively.  $K^\pm/\pi^\pm$  has been already tuned for the  $\pi^0$  measurement  
 1334 explained in the previous section. They are about 5-6% at low  $p_T$  and 2-3% at high  $p_T$ .



(a) The feed down correction in pp collisions. (b) The feed down correction in Pb-Pb collisions.

Figure 106: Feed down corrections from  $K_S^0$  in pp and Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV

## 1335 7.7 Photon purity

1336 In order to measure inclusive and direct photons spectra, the photon purity has been estimated  
 1337 by a data driven approach. The definition of photon purity is a fraction of the number of photon  
 1338 clusters in the total number of clusters.

### 1339 7.7.1 Data driven approach for photon purity estimation

1340 The total number of cluster  $N_{\text{cluster}}$  can be expressed as  $N_{\text{cluster}} = N_{\gamma} + N_{e^{\pm}} + N_{\pi^{\pm}} + N_{K^{\pm}} + N_p +$   
 1341  $N_{\bar{p}} + N_n + N_{\bar{n}} + N_{K_L^0} + N_{\mu^{\pm}} + N_{\nu} + N_{\bar{\nu}}$ . It is known that  $\bar{p}/p \sim 1$  in high-energy hadron collisions  
 1342 [86] and  $N_p \sim N_n$  based on isospin symmetry. In this analysis, there are 4 independent PID  
 1343 cuts (no PID, CPV, Disp, and CPV+Disp). Then, a system 31 can be constructed to estimate  
 1344 particle composition in PHOS clusters.

$$\begin{pmatrix} N_{\text{all}} \\ N_{\text{CPV}} \\ N_{\text{Disp}} \\ N_{\text{both}} \end{pmatrix} = \begin{pmatrix} 1 & C_{\text{ch}} + C_{\text{nh}} & 2 & 1 \\ \varepsilon_{\gamma}^{\text{CPV}} & \varepsilon_{\pi^{\pm}}^{\text{CPV}} C_{\text{ch}} + \varepsilon_{\gamma}^{\text{CPV}} C_{\text{nh}} & \varepsilon_{\bar{p}}^{\text{CPV}} + \varepsilon_{\gamma}^{\text{CPV}} & \varepsilon_{e^{\pm}}^{\text{CPV}} \\ \varepsilon_{\gamma}^{\text{Disp}} & \varepsilon_{\pi^{\pm}}^{\text{Disp}} (C_{\text{ch}} + C_{\text{nh}}) & 2\varepsilon_{\bar{p}}^{\text{Disp}} & \varepsilon_{e^{\pm}}^{\text{Disp}} \\ \varepsilon_{\gamma}^{\text{CPV}} \times \varepsilon_{\gamma}^{\text{Disp}} & (\varepsilon_{\pi^{\pm}}^{\text{CPV}} C_{\text{ch}} + \varepsilon_{\gamma}^{\text{CPV}} C_{\text{nh}}) \times \varepsilon_{\pi^{\pm}}^{\text{Disp}} & (\varepsilon_{\bar{p}}^{\text{CPV}} + \varepsilon_{\gamma}^{\text{CPV}}) \times \varepsilon_{\bar{p}}^{\text{Disp}} & \varepsilon_{e^{\pm}}^{\text{CPV}} \times \varepsilon_{e^{\pm}}^{\text{Disp}} \end{pmatrix} \begin{pmatrix} N_{\gamma} \\ N_{\pi^{\pm}} \\ N_{\bar{p}} \\ N_{e^{\pm}} \end{pmatrix} \quad (31)$$

1345 where  $C_{\text{ch}} = 1 + K^{\pm}/\pi^{\pm} + p/\pi^{\pm}$  (sum of relative  $\pi^{\pm}$ ,  $K^{\pm}$  and  $p$  contributions) and  $C_{\text{nh}} =$   
 1346  $0.5 \times K_L^0/\pi^{\pm} + p/\pi^{\pm}$  (sum of relative  $K_L^0$  and  $n$  contributions) as a function of  $p_T^{\text{cluster}}$  on  
 1347 PHOS.  $\varepsilon_X^i$  is efficiency of PID cut  $i$  for particle  $X$ . Charged particles are identified by  $dE/dx$   
 1348 in TPC. It has been reported that electrons/positrons from semi-leptonic decays of heavy flavor  
 1349 hadrons becomes larger at the higher collision energy at LHC [87], compared to RHIC. So,  
 1350 electrons/positrons contributions has to be taken into account. Here, anti-protons contribution  
 1351 is different from protons because of detector response. Protons behave as minimum ionizing  
 1352 particles (MIP) in an electro-magnetic calorimeter. On the other hand, anti-protons can deposit  
 1353 higher energy because of annihilation. Finally,  $N_{\gamma}$ ,  $N_{\pi^{\pm}}$ ,  $N_{\bar{p}}$ ,  $N_{e^{\pm}}$  are obtained by solving system  
 1354 31. Adding/removing  $C_{\text{nh}}$  is considered as a systematic uncertainty of photon purity. To evaluate

1355 the CPV cut efficiency for charged particles, the mixed event technique was used to subtract  
 1356 random matchings. The distance between a PHOS cluster in a current event and a charged  
 1357 particle in another event is measured to make a random matching distribution (Figure 107).  
 1358 Then, the CPV cut efficiency for charged particles (i.e. how many charged particles can survive  
 1359 after applying the CPV cut) is defined as :

$$\varepsilon_{\text{ch}}^{\text{CPV}} = \frac{\text{Number of entries beyond a criterion in the real matching distribution}}{\text{Number of all entries in the real matching distribution}}, \quad (32)$$

1360 and the dispersion cut efficiency for charged particles is defined as :

$$\varepsilon_{\text{ch}}^{\text{Disp}} = \frac{\text{Number of particles with Disp cut}}{\text{Number of charged particles without Disp cut}} \quad (33)$$

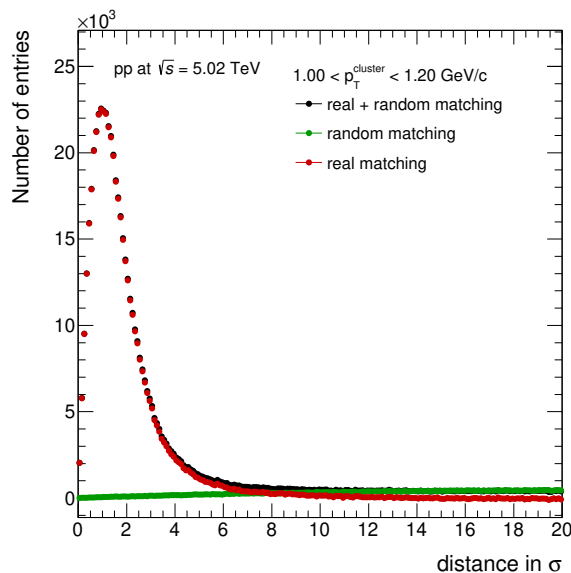


Figure 107: The distance between a cluster on PHOS and a charged particle in pp collisions at  $\sqrt{s} = 5.02$  TeV.

1361

### 1362 7.7.2 Photon purity in pp collisions at $\sqrt{s} = 5.02$ TeV

1363 Figure 108 shows particle ratios on PHOS that are inputs for  $C_{\text{ch}}$  and  $C_{\text{nh}}$ . Figure 109 shows  
 1364 PID cut efficiencies for different particles. The matching criterion between a charged particle  
 1365 with a cluster on PHOS is  $r < 2\sigma$  for evaluation of the dispersion cut efficiency. Especially for  
 1366  $e^{\pm}$ ,  $0.8 < E/p < 1.2$  was applied to get higher electron purity. To avoid statistical fluctuation  
 1367 at high  $p_{\text{T}}$  ( $p_{\text{T}} > 4$  GeV/c), each efficiency is fitted by constant and used as matrix elements.  
 1368 The particle abundance on PHOS is summarized on Figure 110. The photon purity is 90 %  
 1369 with the dispersion cut and 97 % with the CPV and the dispersion cuts at high  $p_{\text{T}}$ . Electrons  
 1370 and positrons converted from photons outside of TPC, so-called late conversion electrons, can  
 1371 not be tracked, because there is no tracking detector there. Therefore, late conversion electrons  
 1372 denoted by L.C.  $e^{\pm}$  are treated as photon signals in M.C. truth.



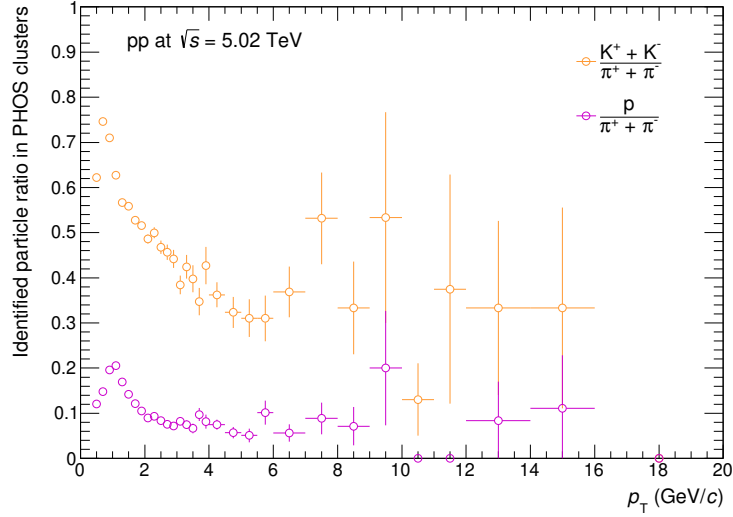
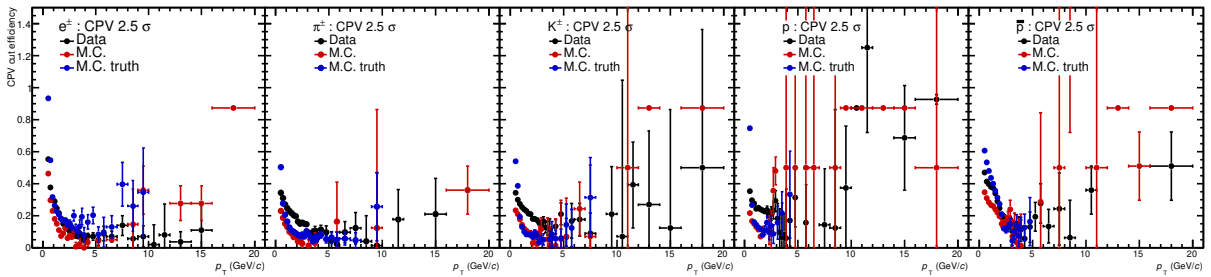
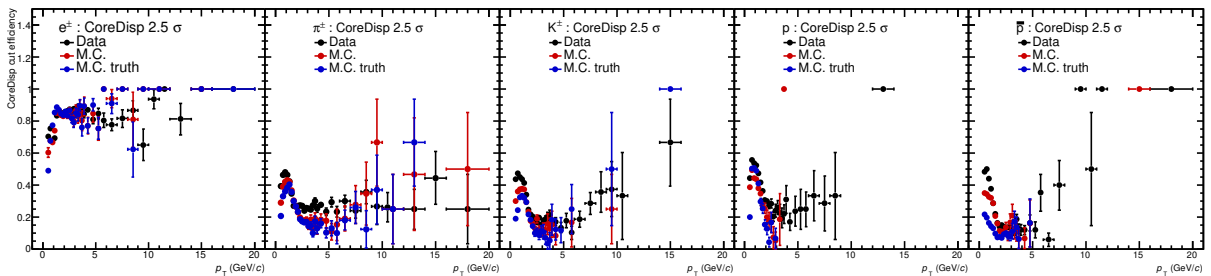


Figure 108: Measured particle ratios on PHOS in pp collisions at  $\sqrt{s} = 5.02$  TeV.



(a) CPV cut efficiency for charged particles.



(b) CoreDisp cut efficiency for charged particles.

Figure 109: PID cut efficiencies for identified charged particles in pp collisions at  $\sqrt{s} = 5.02$  TeV. From left to right,  $e^\pm$ ,  $\pi^\pm$ ,  $K^\pm$ ,  $p$  and  $\bar{p}$ . Black for data, red for M.C. DDA, blue for M.C. truth.

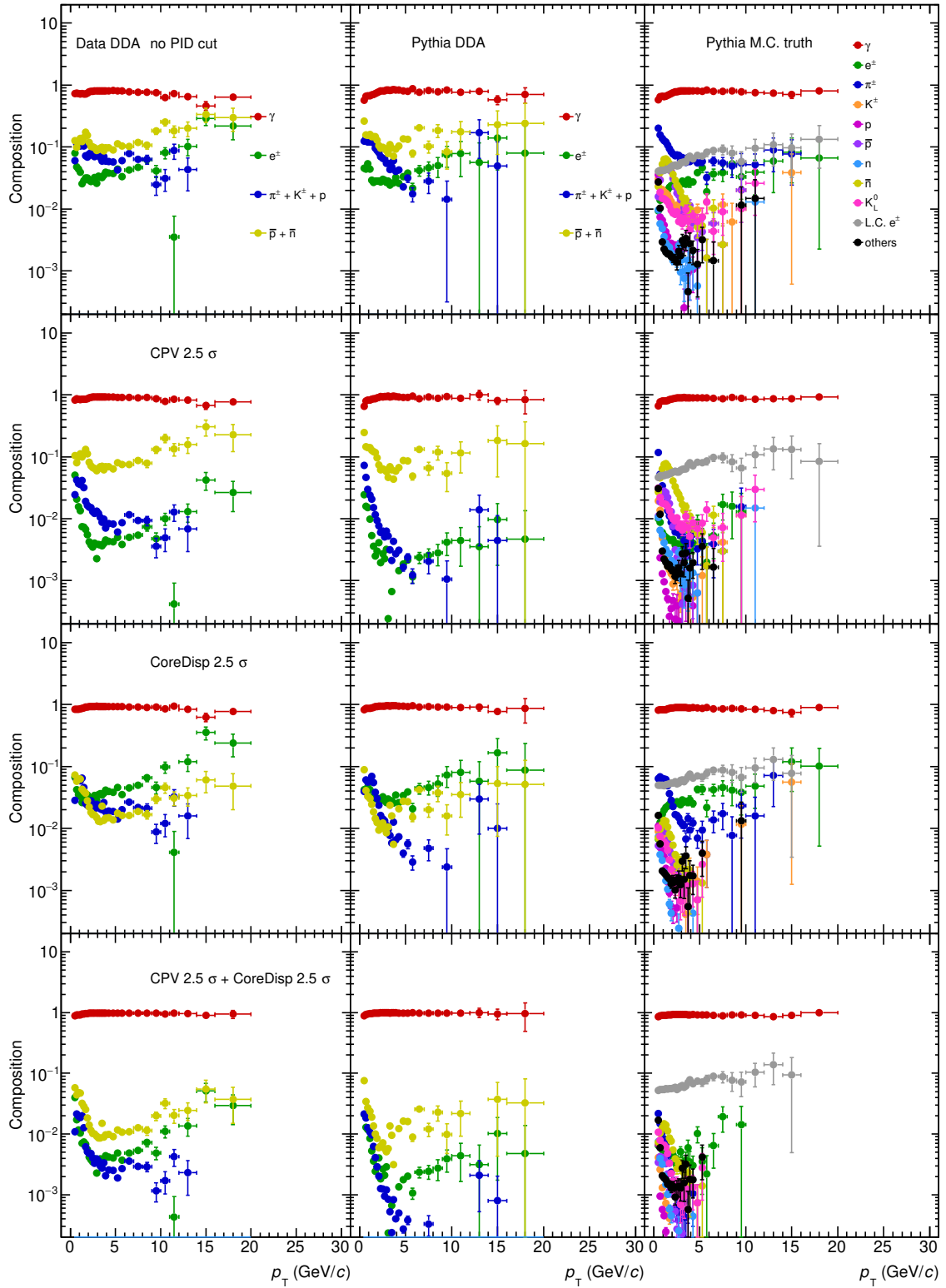


Figure 110: The summary of particle abundance on PHOS in pp collisions at  $\sqrt{s} = 5.02$  TeV for  $C_{nh} = 0$ .

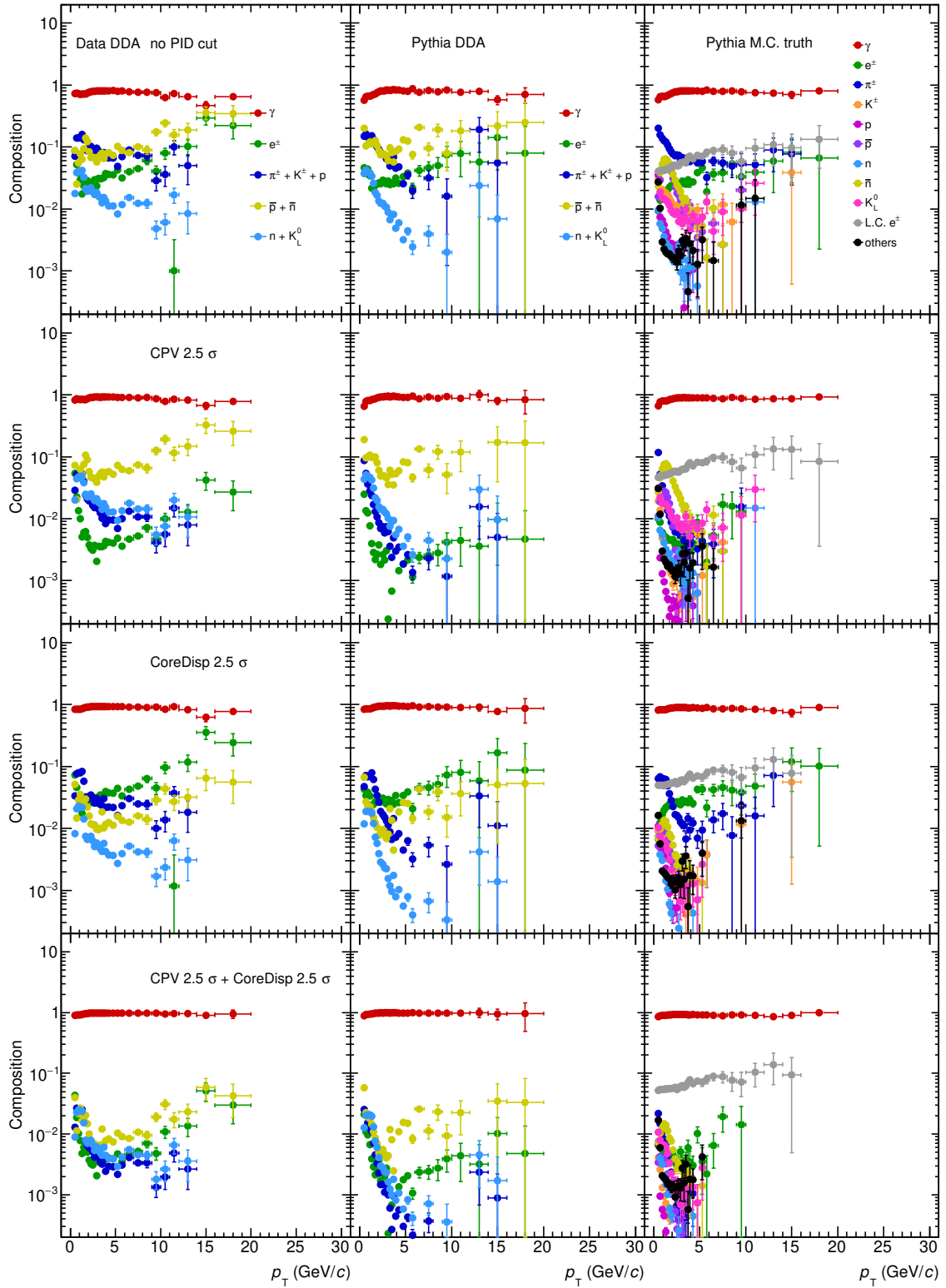


Figure 111: The summary of particle abundance on PHOS in pp collisions at  $\sqrt{s} = 5.02$  TeV for  $C_{nh} = 0.5 \times K^\pm/\pi^\pm + p/\pi^\pm$ .

1373 **7.7.3 Photon purity in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV**

The procedure is the same as the pp case.

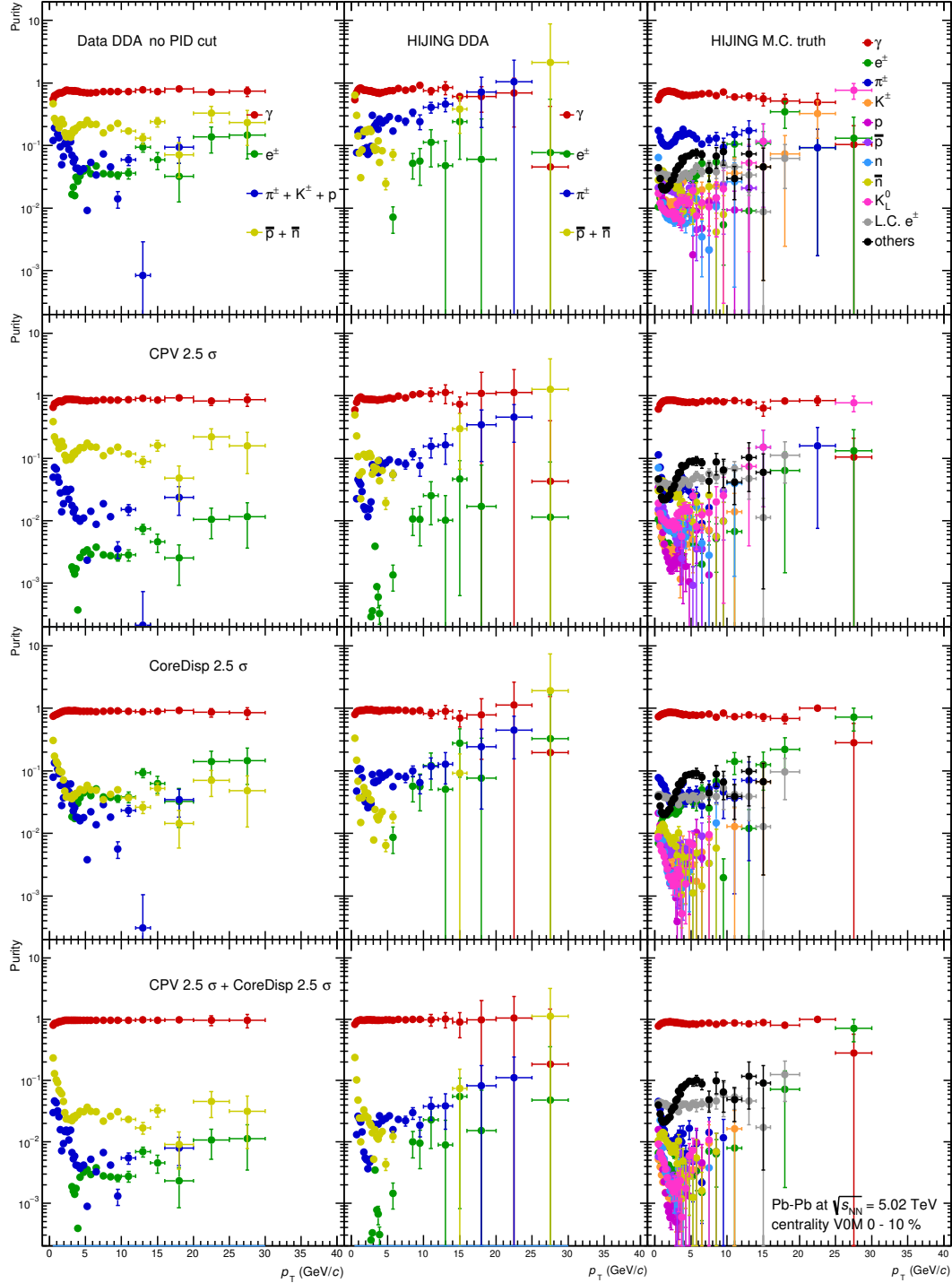


Figure 112: The summary of particle abundance on PHOS in 0–10% Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for  $C_{nh} = 0$ .

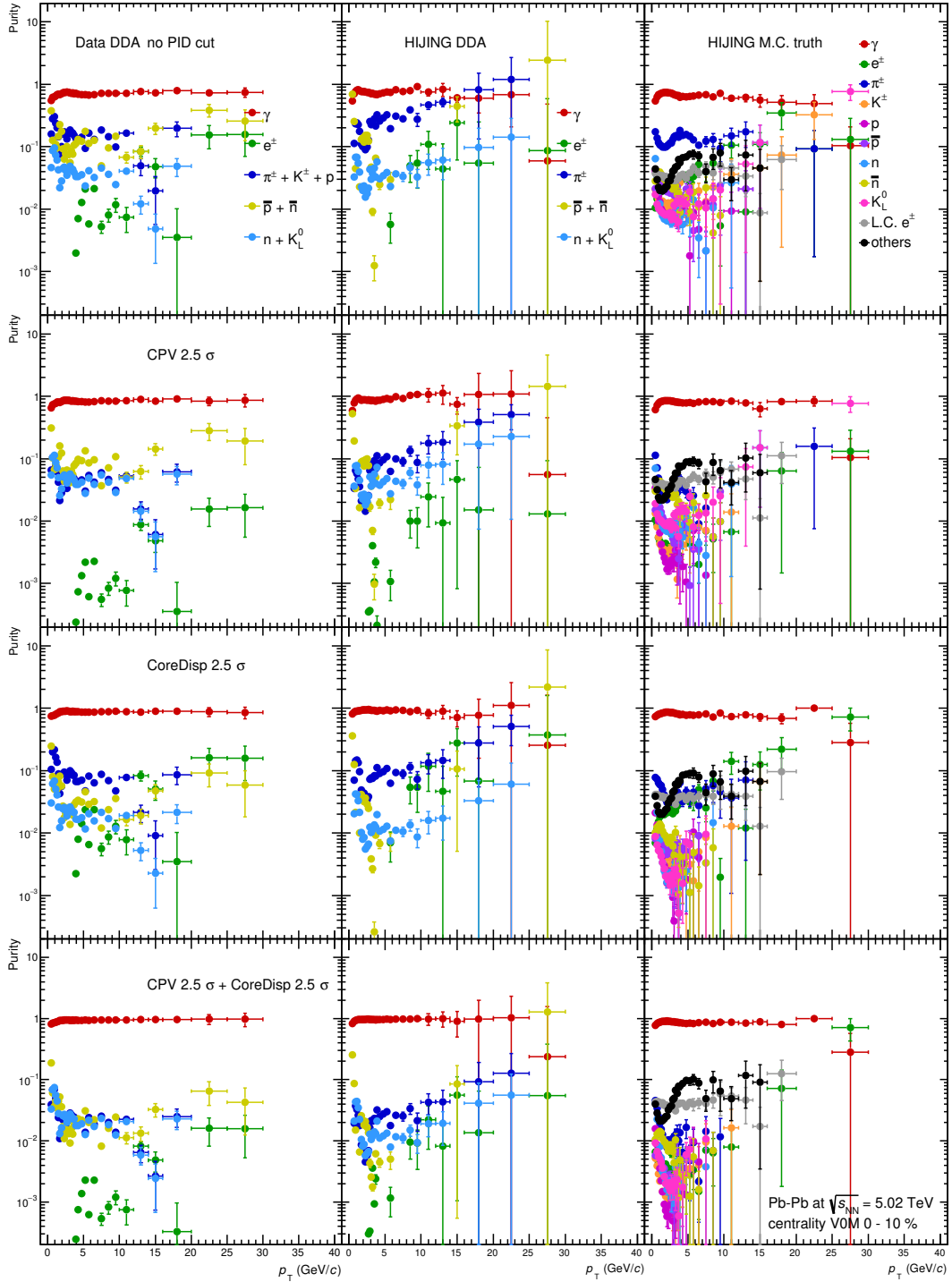


Figure 113: The summary of particle abundance on PHOS in 0-10% Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for  $C_{nh} = 0.5 \times K^\pm/\pi^\pm + p/\pi^\pm$ .

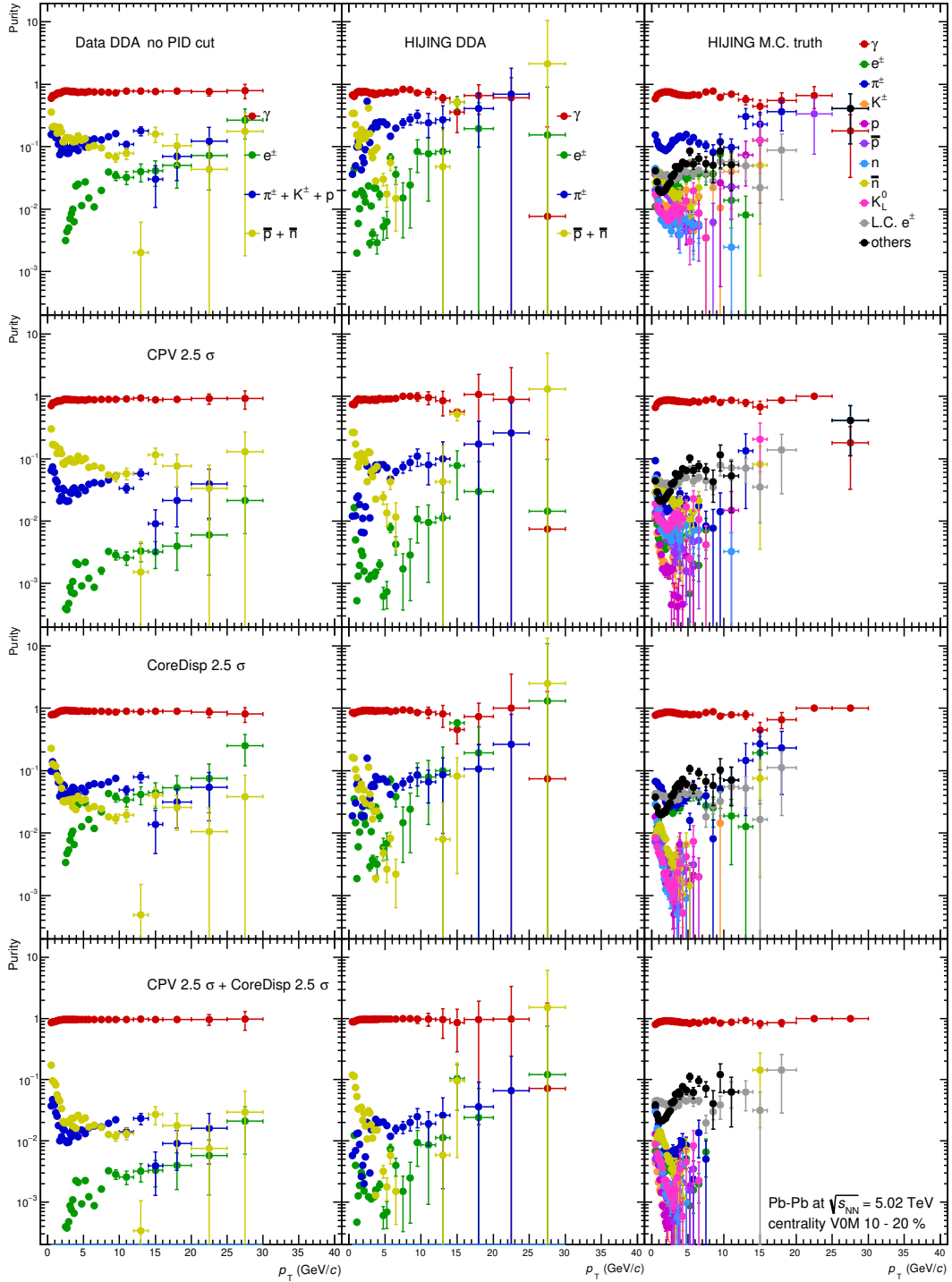


Figure 114: The summary of particle abundance on PHOS in 10-20% Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for  $C_{nh} = 0$ .

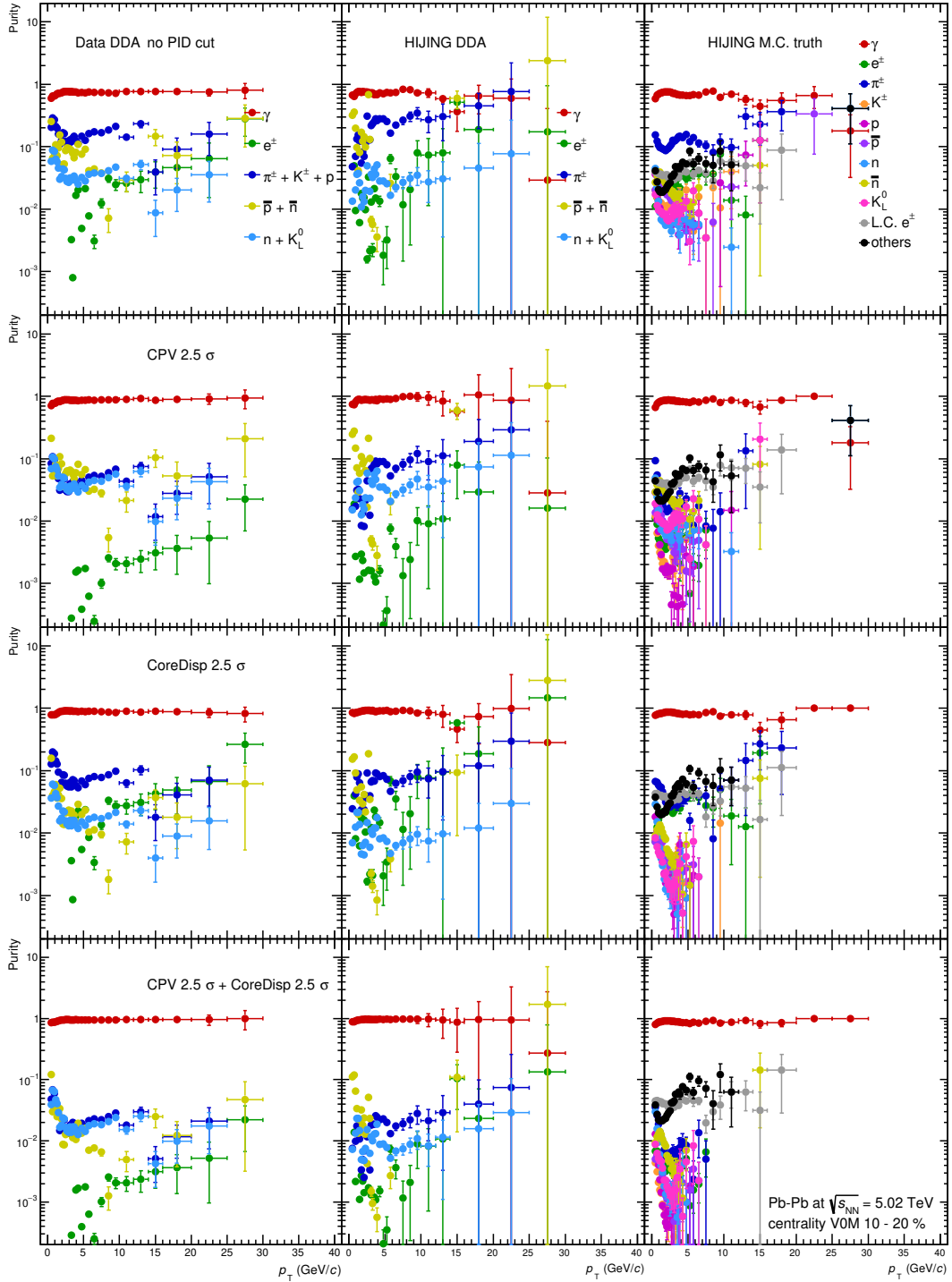


Figure 115: The summary of particle abundance on PHOS in 10-20% Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for  $C_{nh} = 0.5 \times K^\pm/\pi^\pm + p/\pi^\pm$ .

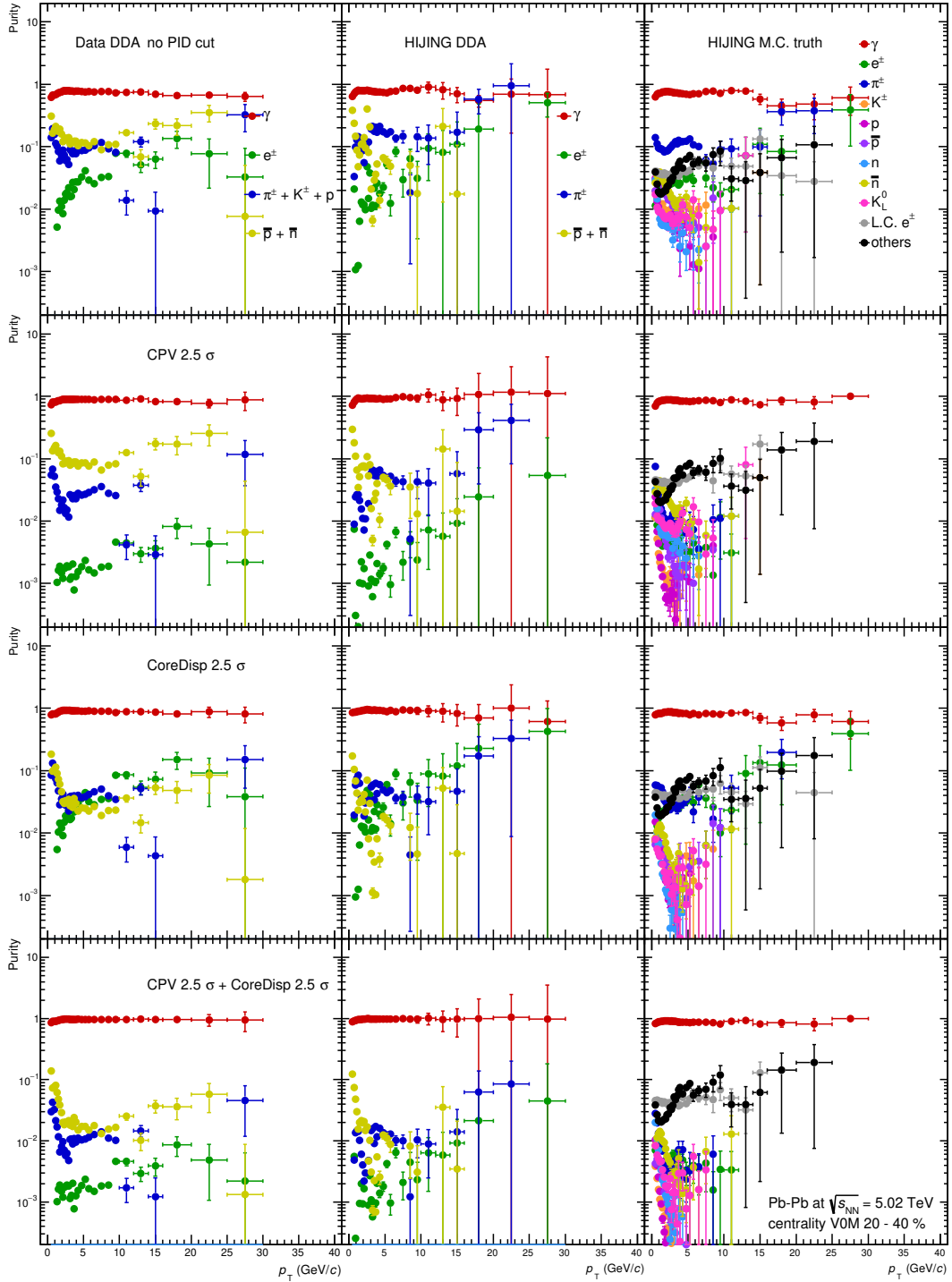


Figure 116: The summary of particle abundance on PHOS in 20-40% Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for  $C_{nh} = 0$ .



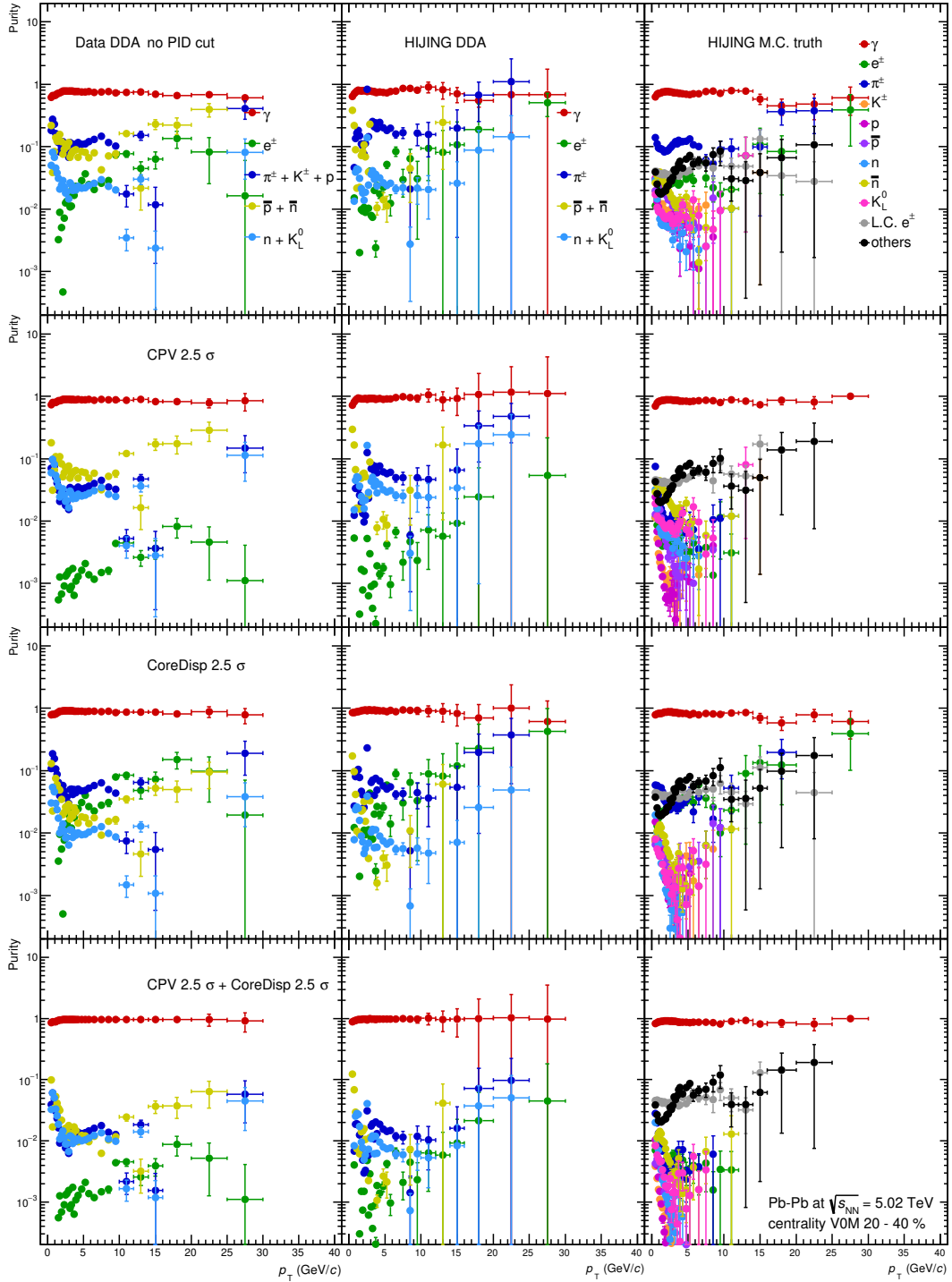


Figure 117: The summary of particle abundance on PHOS in 20-40% Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for  $C_{nh} = 0.5 \times K^\pm/\pi^\pm + p/\pi^\pm$ .

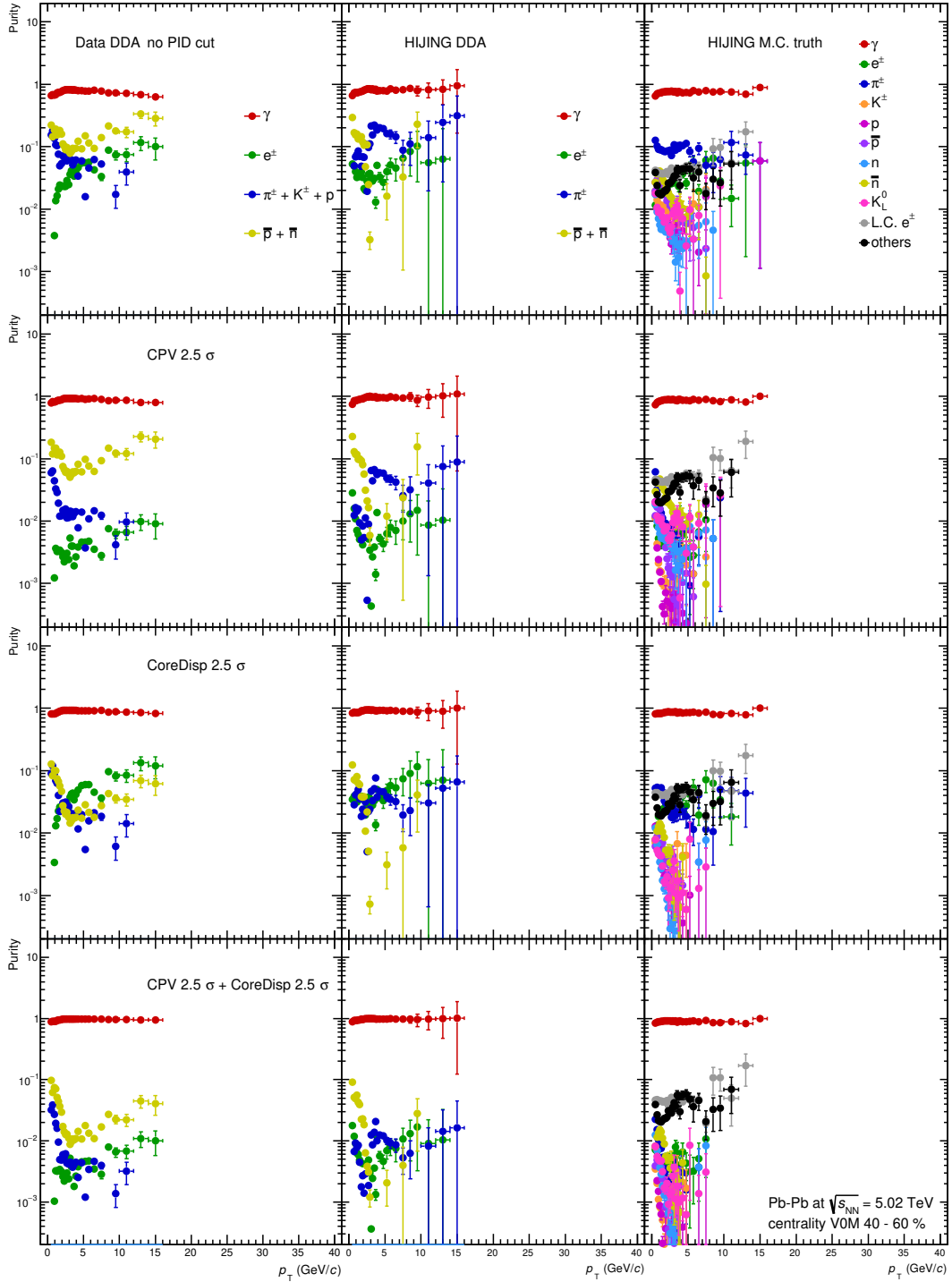


Figure 118: The summary of particle abundance on PHOS in 40-60% Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for  $C_{nh} = 0$ .

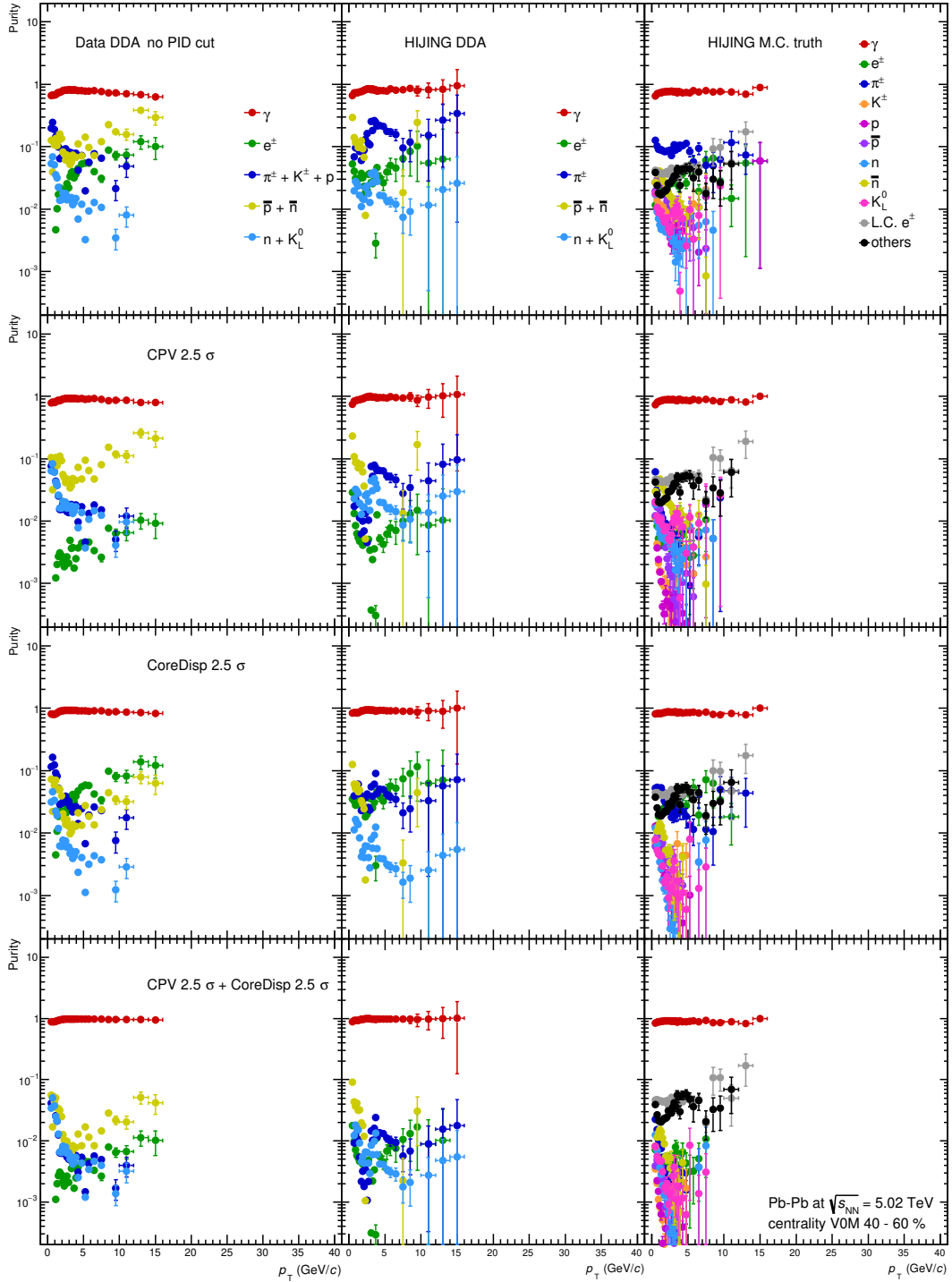


Figure 119: The summary of particle abundance on PHOS in 40-60% Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for  $C_{nh} = 0.5 \times K^\pm/\pi^\pm + p/\pi^\pm$ .

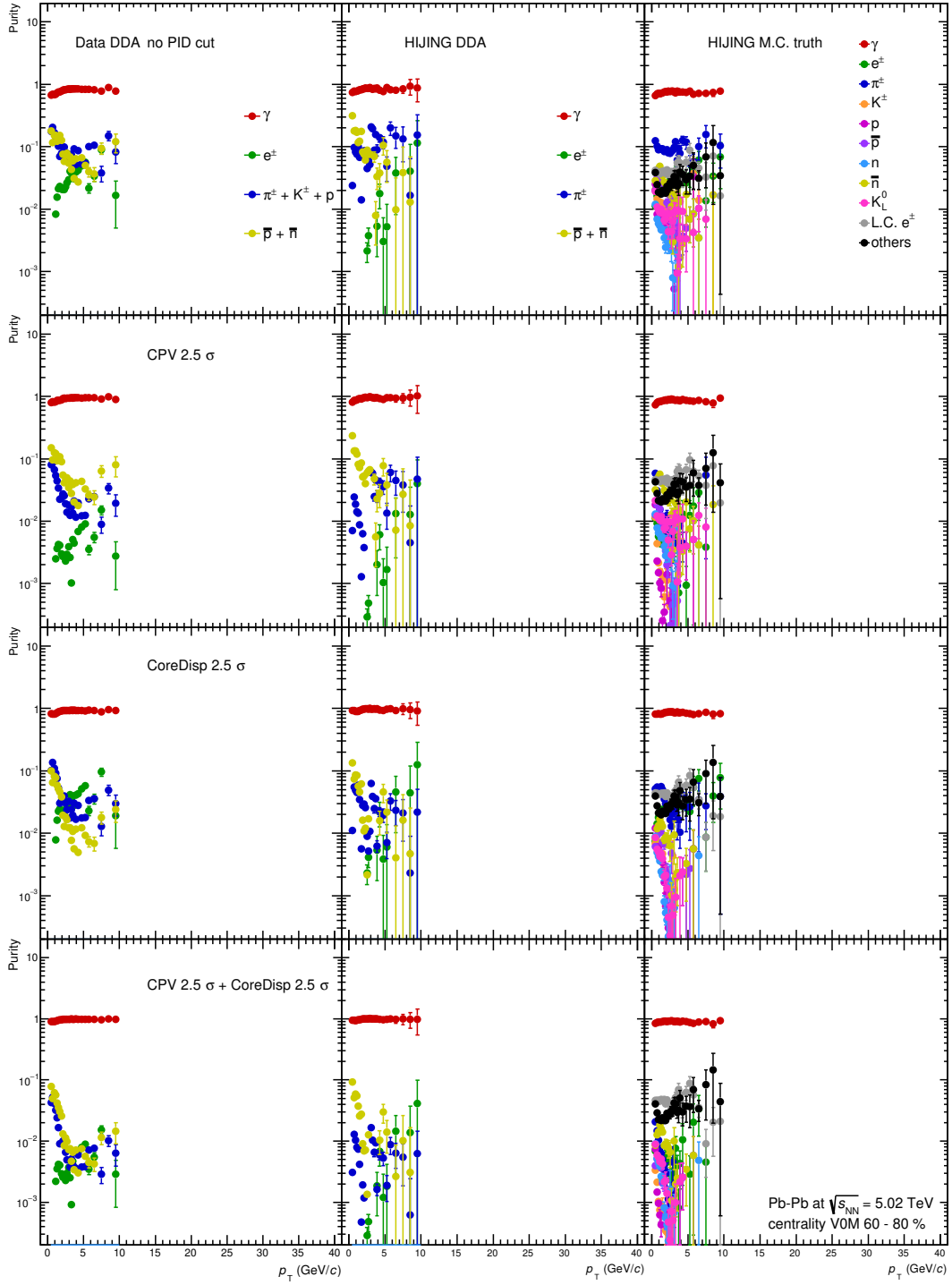


Figure 120: The summary of particle abundance on PHOS in 60-80% Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for  $C_{nh} = 0$ .

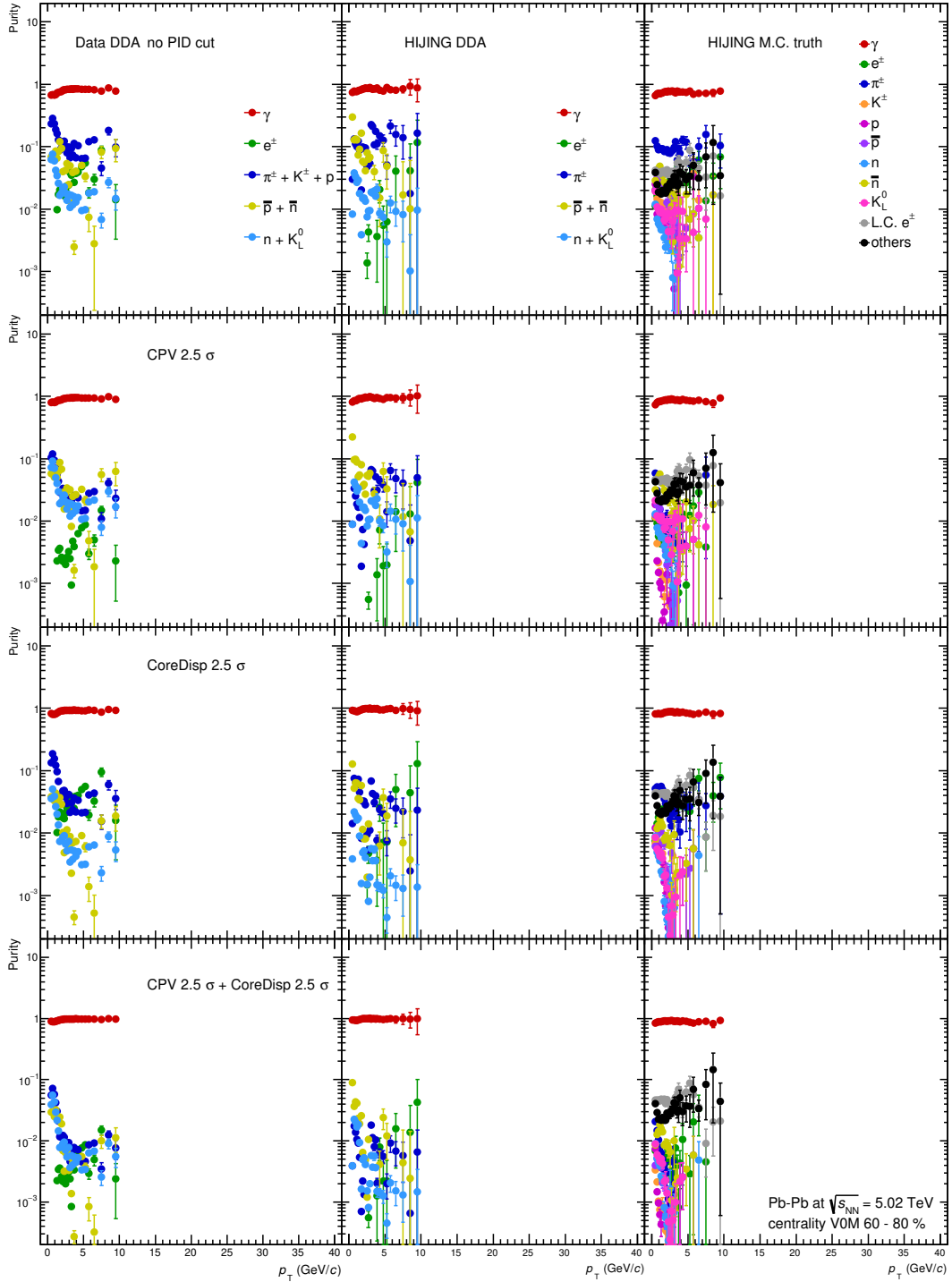


Figure 121: The summary of particle abundance on PHOS in 60-80% Pb-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for  $C_{nh} = 0.5 \times K^\pm/\pi^\pm + p/\pi^\pm$ .

## 1375 7.8 Photon cocktail simulation

1376 The cocktail simulation is used to determine decay photon yield from hadrons. Measured  $p_T$   
 1377 spectra of hadrons described in section 6 are inputs to the cocktail simulation. Technically,  
 1378 TPythia6Decayer in ROOT6 framework based on PYTHIA 6.4 [88] with flat  $p_T$ , azimuthal  
 1379 angle and rapidity distribution is used for decay simulation. The source of cocktail simulation  
 1380 considered in this thesis is summarized in Table.6.

Non-measured particles ( $\omega$  and  $\eta'$ ) are scaled from the  $\pi^0$  spectrum using  $m_T$  scaling [89]. The

Table 6: Particles which decay into photons

Particle	mass (MeV/ $c^2$ )	decay channel	branching ratio (%)
$\pi^0$	135	$\gamma\gamma$	98.8
		$\gamma e^+ e^-$	1.2
$\eta$	547	$\gamma\gamma$	39.2
		$\gamma\pi^+\pi^-$	4.8
		$\gamma e^+ e^-$	$4.9 \times 10^{-3}$
$\omega$	782	$\pi^0\gamma$	8.3
		$\eta\gamma$	$4.6 \times 10^{-4}$
$\eta'$	958	$\gamma\gamma$	2.2
		$\rho^0\gamma$	29.1
		$\omega\gamma$	2.8

1381

1382  $m_T$  is called transverse mass which is defined by  $m_T = \sqrt{p_T^2 + m^2}$ . The relation to the invariant  
 1383 yield is:

$$\frac{1}{p_T} \frac{d^2 N}{dp_T dy} = \frac{1}{m_T} \frac{d^2 N}{dm_T dy}$$

1384 The meaning of  $m_T$  scaling is that particle yields at the same  $m_T$  can be scaled from light  
 1385 hadron yields (e.g.  $\pi^{\pm,0}$  for mesons or  $p$  for baryons) by a constant coefficient  $C_h$ . Therefore,  
 1386 one can write kinematic relation between  $\pi$  and particle of interest ( $h$ ) as following:

$$\begin{aligned} p_{T,\pi}^2 + m_\pi^2 &= p_{T,h}^2 + m_h^2 \\ p_{T,\pi}^2 &= p_{T,h}^2 + m_h^2 - m_\pi^2 \end{aligned}$$

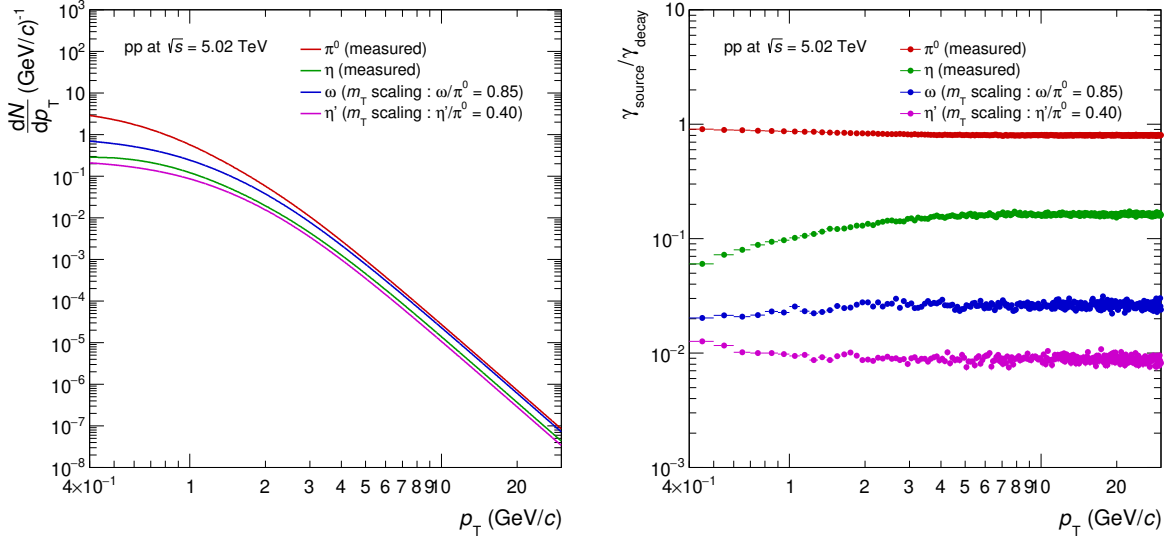
1387 Finally, the invariant  $p_{T,h}$  spectrum for particle  $h$  can be obtained by:

$$f_h(p_{T,h}) = C_h \times f_\pi(\sqrt{p_{T,h}^2 + m_h^2 - m_\pi^2})$$

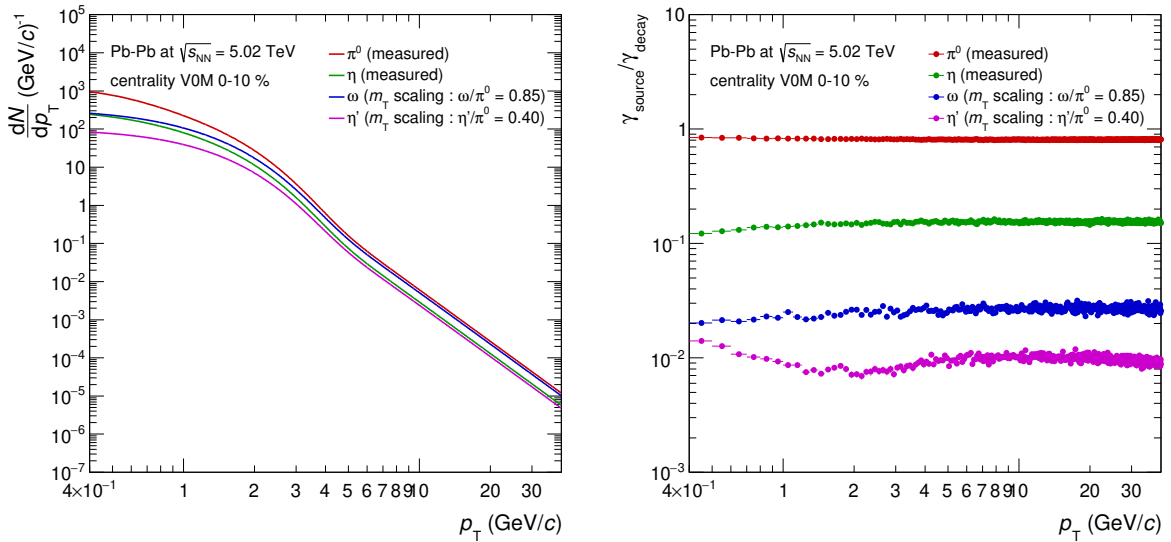
1388 where,  $f_\pi$  represents parameterization of invariant  $p_T$  spectrum of reference particle  $\pi$ . Typically,  
 1389  $\omega/\pi^0 = 0.85$  [90] and  $\eta'/\pi^0 = 0.40$  [88].

### 1390 7.8.1 Cocktail simulation in pp at $\sqrt{s} = 5.02$ TeV

### 1391 7.8.2 Cocktail simulation in Pb–Pb at $\sqrt{s_{NN}} = 5.02$ TeV

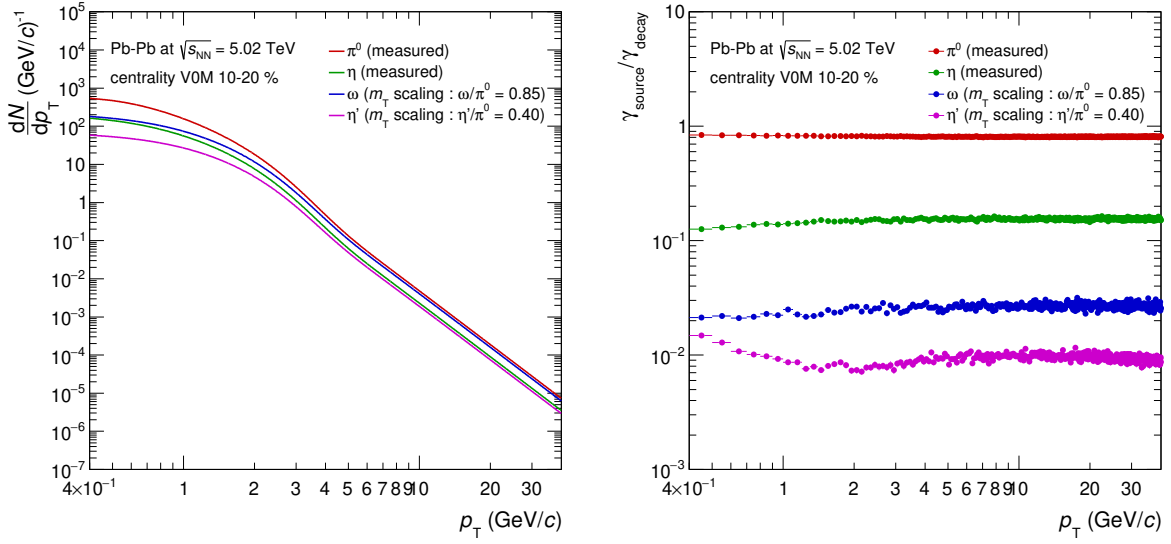
(a) The input  $p_T$  spectra from different mesons.

(b) The fraction of each decay photon source.

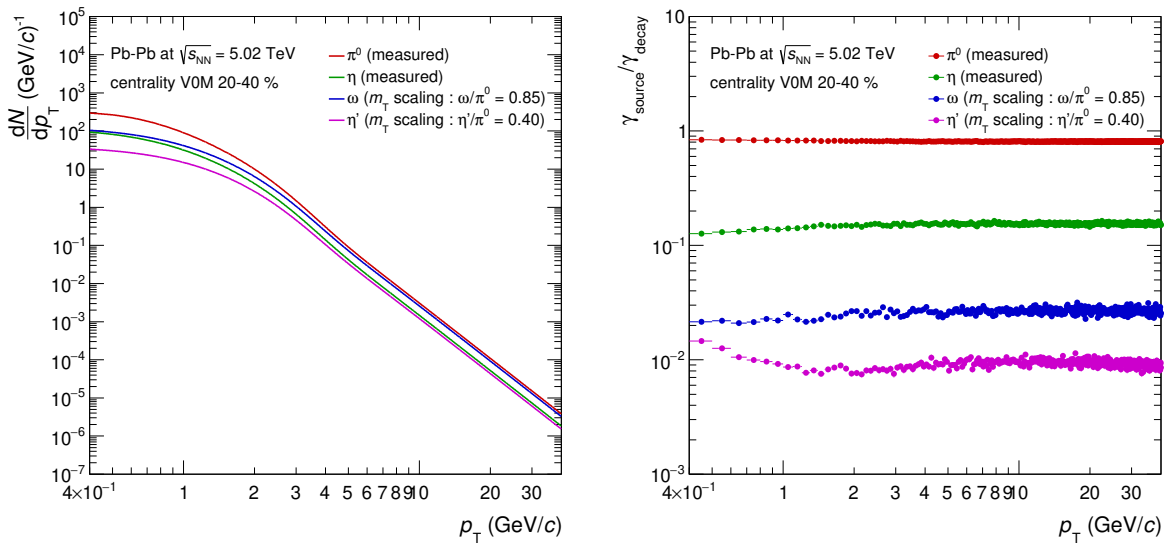
Figure 122: The decay photon cocktail in pp collisions at  $\sqrt{s} = 5.02$  TeV(a) The input  $p_T$  spectra from different mesons.

(b) The fraction of each decay photon source.

Figure 123: The decay photon cocktail in Pb-Pb collisions at  $\sqrt{s} = 5.02$  TeV centrality 0-10 %

(a) The input  $p_T$  spectra from different mesons.

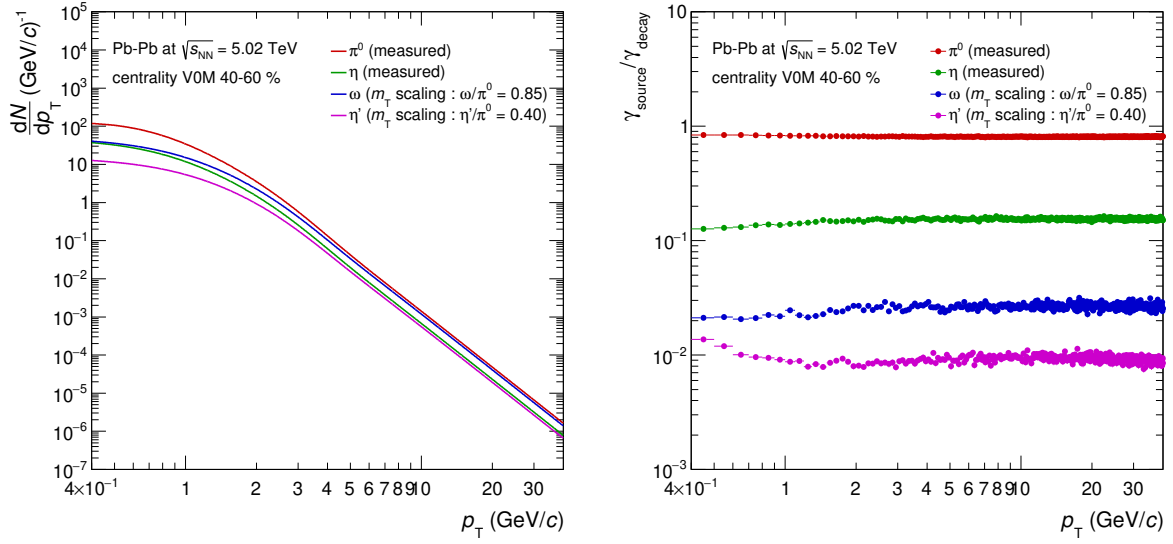
(b) The fraction of each decay photon source.

Figure 124: The decay photon cocktail in Pb-Pb collisions at  $\sqrt{s} = 5.02$  TeV centrality 10-20 %(a) The input  $p_T$  spectra from different mesons.

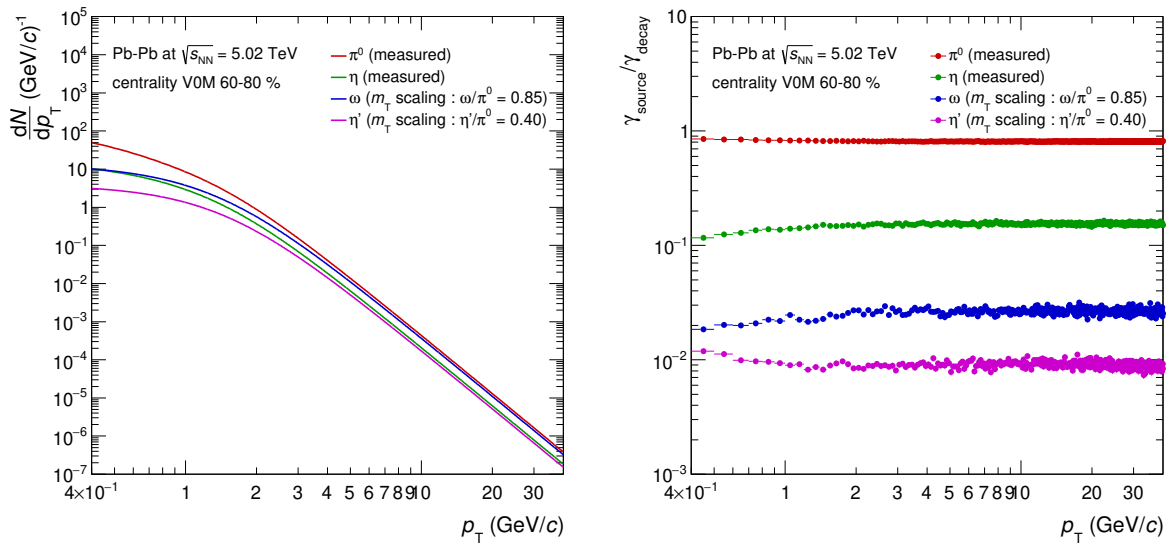
(b) The fraction of each decay photon source.

Figure 125: The decay photon cocktail in Pb-Pb collisions at  $\sqrt{s} = 5.02$  TeV centrality 20-40 %



(a) The input  $p_T$  spectra from different mesons.

(b) The fraction of each decay photon source.

Figure 126: The decay photon cocktail in Pb-Pb collisions at  $\sqrt{s} = 5.02$  TeV centrality 40-60 %(a) The input  $p_T$  spectra from different mesons.

(b) The fraction of each decay photon source.

Figure 127: The decay photon cocktail in Pb-Pb collisions at  $\sqrt{s} = 5.02$  TeV centrality 60-80 %

## 8 Systematic uncertainties for photon measurements

Systematic uncertainties for photon measurements are summarized in this section. Systematic uncertainties from the PID cut, the triggering, the global energy scale, the non-linearity, the acceptance of the PHOS detector and the material budget are common with neutral mesons measurements.

### 8.1 Photon purity

The systematic uncertainty of the photon purity is divided into two components. One is data driven approach (DDA) method itself. This has to be evaluated in M.C., because the true particle abundance is known. The other is due to the different assumption of the particle composition.

#### 8.1.1 Data Driven approach method itself

The uncertainty due to the method itself was estimated by comparing photon purity between M.C. truth and DDA in M.C., since the true particle abundance is known in M.C.. This was performed in PYTHIA simulation (pp collisions) to avoid cluster overlappings under the high multiplicity environment. As shown by Figure 128, it is found to be  $\sim 4\%$  at low  $p_T$  and almost vanishes (0.2%) at high  $p_T$ . The uncertainty of the DDA method itself is treated as common in pp and Pb–Pb collisions.

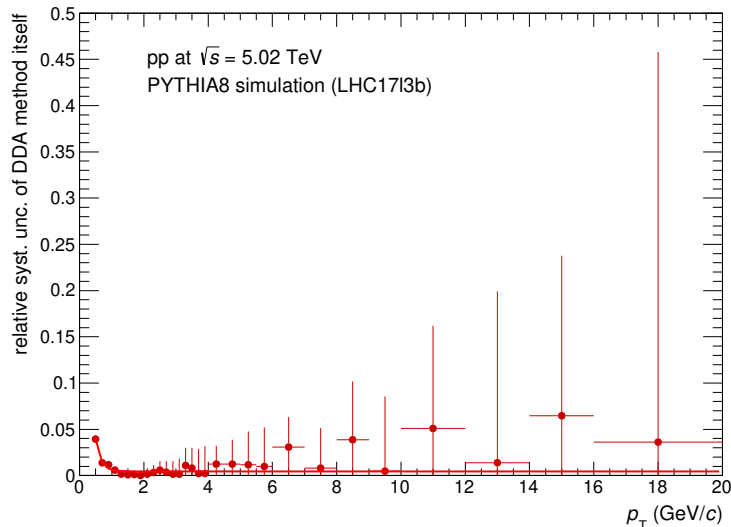


Figure 128: Systematic uncertainties of the DDA method itself.

#### 8.1.2 Different assumption of particle composition

In the DDA, the system 31 was constructed to obtain the number of particles on PHOS under different assumptions of hadron contributions. This was evaluated by adding/removing neutral hadron components in system 31. The deviation from unity in the ratio  $\frac{\gamma \text{ purity with } C_{nh}}{\gamma \text{ purity without } C_{nh}}$  is considered as the systematic uncertainty due to the different assumption.

### 8.2 Cocktail simulation

Mainly, there are two systematic uncertainties in the cocktail simulation. They are due to the different input parameterization of the measured  $\pi^0$  spectrum and particle ratios.

### 1416 8.2.1 Shape of input $\pi^0$ spectrum

1417 The input  $\pi^0$  spectrum is parameterized by TCM function described in the prevision section. In  
 1418 order to take into account different parameterization, the measured  $\pi^0$  spectra in pp collisions at  
 1419  $\sqrt{s} = 5.02$  TeV is alternatively fitted by the modified Hagedorn function [29, 91, 92] developed  
 1420 by the PHENIX collaboration at RHIC.

$$E \frac{d^3\sigma}{dp^3} = A \left( \exp(-(ap_T + bp_T^2)) + \frac{p_T}{p_0} \right)^{-n} \quad (34)$$

1421 When  $a \rightarrow 0$  and  $b \rightarrow 0$ , the modified Hagedorn function becomes the original Hagedorn function.  
 1422 On the other hand, the modified Hagedorn function does not fit to  $\pi^0$  spectra measured for wide  
 1423  $p_T$  range in central Pb–Pb collision at  $\sqrt{s_{NN}} = 5.02$  TeV due to a kink at  $p_T = 4 \sim 5$  GeV/ $c$ .  
 1424 In other wards, the TCM function is necessary for describing hadron productions for such wide  
 1425  $p_T$  range in central Pb–Pb collisions. Hence, a simplified TCM-inspired function was tried for  
 1426 alternative parameterizations of input  $\pi^0$  spectra.

$$E \frac{d^3\sigma}{dp^3} = A_e \exp\left(-\frac{p_T}{T_e}\right) + A \left(1 + \frac{p_T^2}{T^2}\right)^{-n} \quad (35)$$

1427 The systematic uncertainty due to different  $\pi^0$  paramterization was evaluated by the  $\gamma/\pi^0$  ratio  
 1428 in the cocktail simulation. The deviation from unity in the double ratio  $\frac{(\gamma/\pi^0)_{alt}}{(\gamma/\pi^0)_{def}}$  in the cocktail  
 1429 simulation is considered as the systematic uncertainty of the shape of the input  $\pi^0$  spectrum.  
 1430 However, since  $(1 + \frac{p_T^2}{T^2})^{-n}$  is similar to the original TCM function, alternative parameterizations  
 1431 for  $\pi^0$  spectra fitted by Eq. 35 give too small difference from default ones in Pb–Pb collisions.  
 1432 Thus, the systematic uncertainty due to the shape of the input  $\pi^0$  spectrum in Pb–Pb collisions  
 1433 is inherited from that in pp collisions. It is 4 % at low  $p_T$  and decreases with  $p_T$  down to 0.4 %.

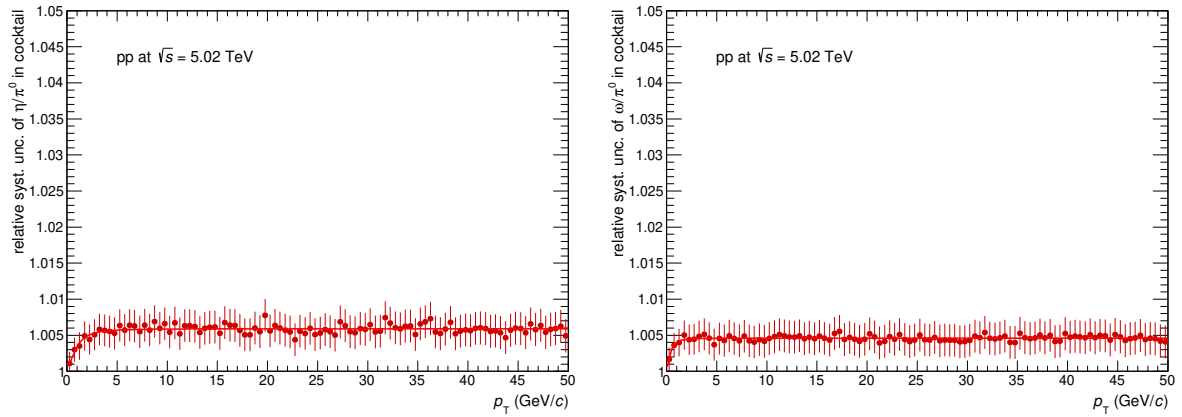
### 1434 8.2.2 Particle ratios

1435 The uncertainty due to particle ratios are originating from measured particle ratios. The  $\eta/\pi^0$   
 1436 and  $\omega/\pi^0$  are varied  $0.50 \pm 0.02$  and  $0.85 \pm 0.15$  respectively. As relative contributions to total  
 1437 decay photon yields (15% for photons from  $\eta$  mesons and 2.5% for photons from  $\omega$  mesons) are  
 1438 known, the relative systematic uncertainty can be analytically estimated as :

$$\frac{\pm 0.02}{0.50} \times 0.15 \approx \pm 0.60\% \text{ for photons decayed from } \eta \text{ mesons} \quad (36)$$

$$\frac{\pm 0.15}{0.85} \times 0.025 \approx \pm 0.44\% \text{ for photons decayed from } \omega \text{ mesons} \quad (37)$$

1439 They were also estimated directly in the cocktail simulation, as shown on Figure 129, which  
 1440 gives similar values to the analytical calculations, as expected. The uncertainty from  $\eta'/\pi^0$  is  
 1441 negligible, as the relative contribution of decay photons decayed from  $\eta'$  mesons to total the  
 1442 decay photon is less than 1%.



(a) The systematic uncertainty due to the  $\eta/\pi^0$  in the cocktail simulation. (b) The systematic uncertainty due to the  $\omega/\pi^0$  in the cocktail simulation.

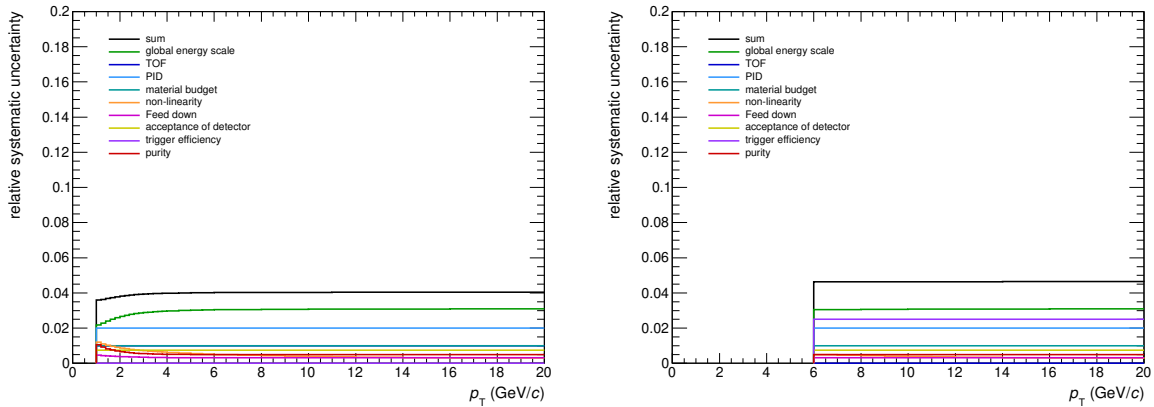
Figure 129: Systematic uncertainties due to particle ratios in the cocktail simulation

### 1443 8.3 Summary of systematic uncertainties for inclusive photons $\gamma^{\text{inc}}$

1444 The summary of systematic uncertainties for inclusive photons  $\gamma^{\text{inc}}$  is plotted in this section.

#### 1445 8.3.1 Summary of systematic uncertainties for $\gamma^{\text{inc}}$ in pp collisions at $\sqrt{s} = 5.02$ TeV

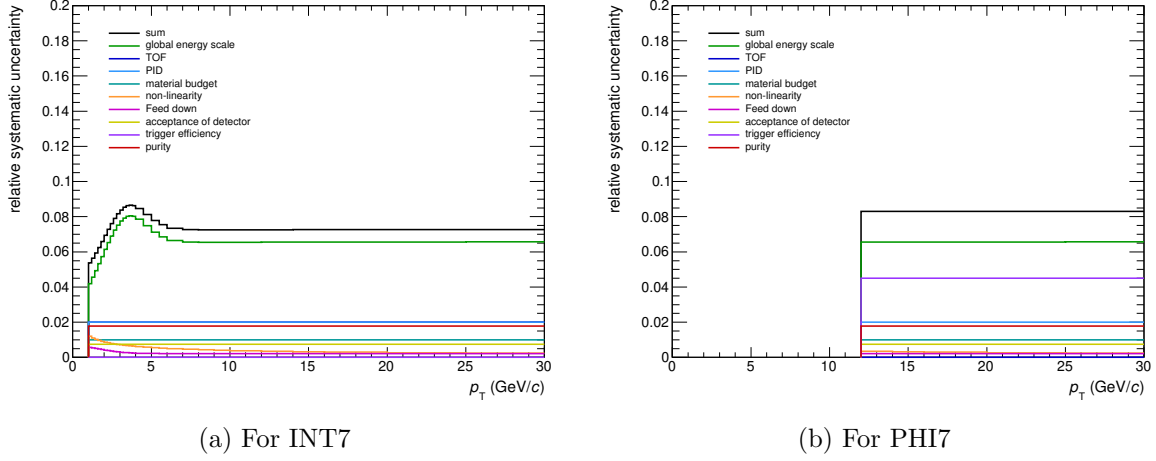
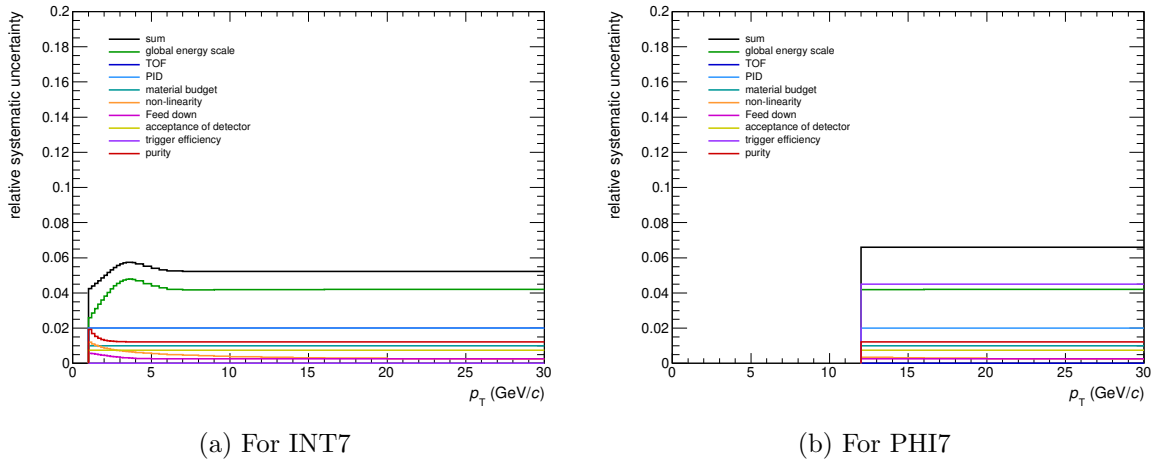
1446

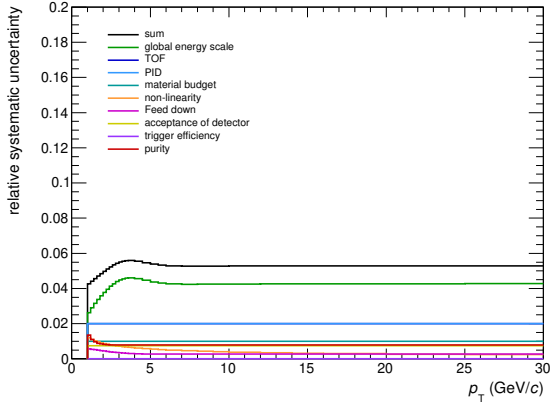


(a) For INT7

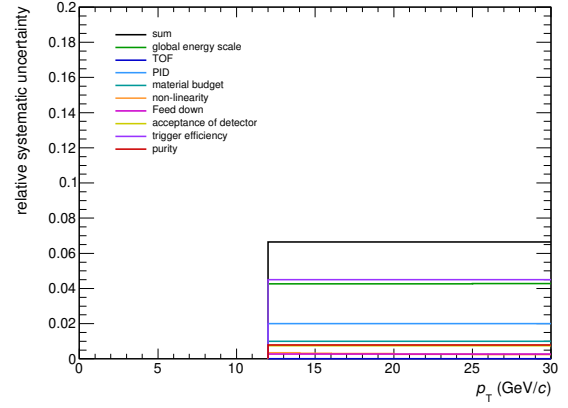
(b) For PHI7

Figure 130: Systematic uncertainties for  $\gamma^{\text{inc}}$  in pp collisions at  $\sqrt{s} = 5.02$  TeV.

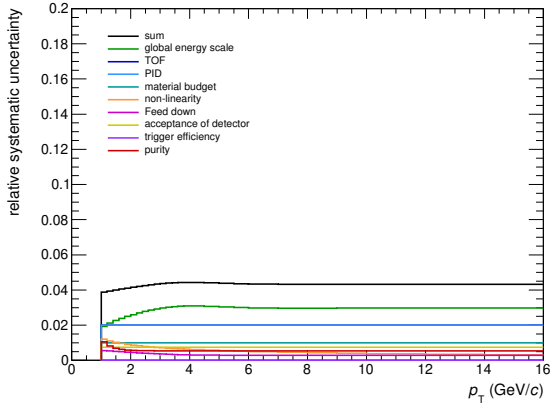
1447 **8.3.2 Summary of systematic uncertainties for  $\gamma^{\text{inc}}$  in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} =$**   
 1448 **5.02 TeV**

 Figure 131: Systematic uncertainties for  $\gamma^{\text{inc}}$  in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV for centrality 0-10%.

 Figure 132: Systematic uncertainties for  $\gamma^{\text{inc}}$  in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV for centrality 10-20%.



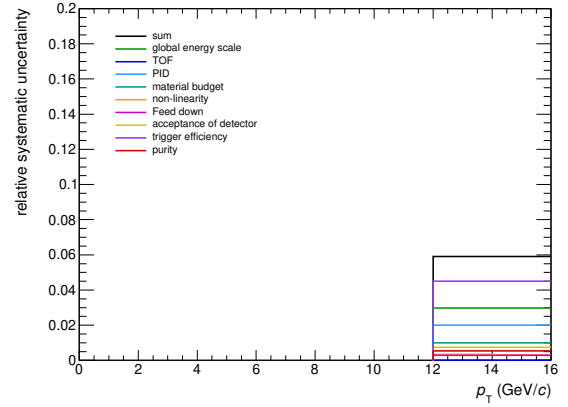
(a) For INT7



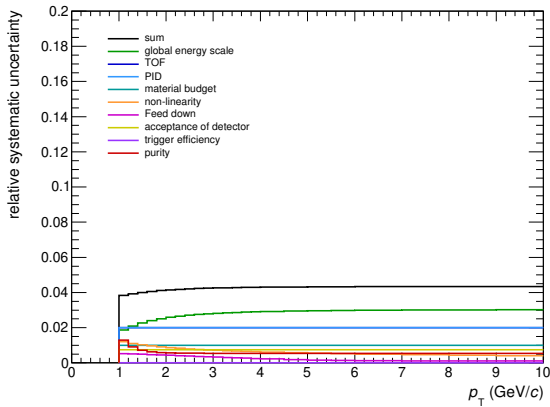
(b) For PHI7

 Figure 133: Systematic uncertainties for  $\gamma^{\text{inc}}$  in Pb-Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV for centrality 20-40%.


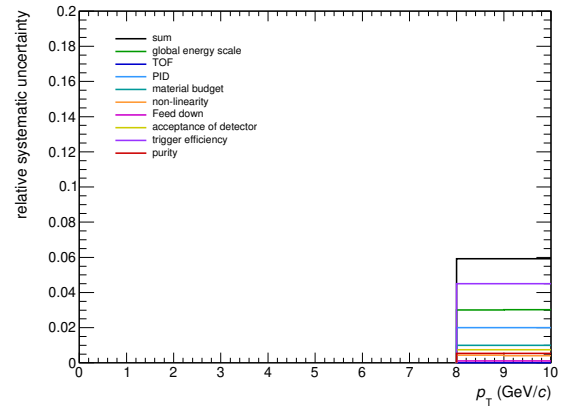
(a) For INT7



(b) For PHI7

 Figure 134: Systematic uncertainties for  $\gamma^{\text{inc}}$  in Pb-Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV for centrality 40-60%.


(a) For INT7



(b) For PHI7

 Figure 135: Systematic uncertainties for  $\gamma^{\text{inc}}$  in Pb-Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV for centrality 60-80%.

## 9 Results and discussions for photons

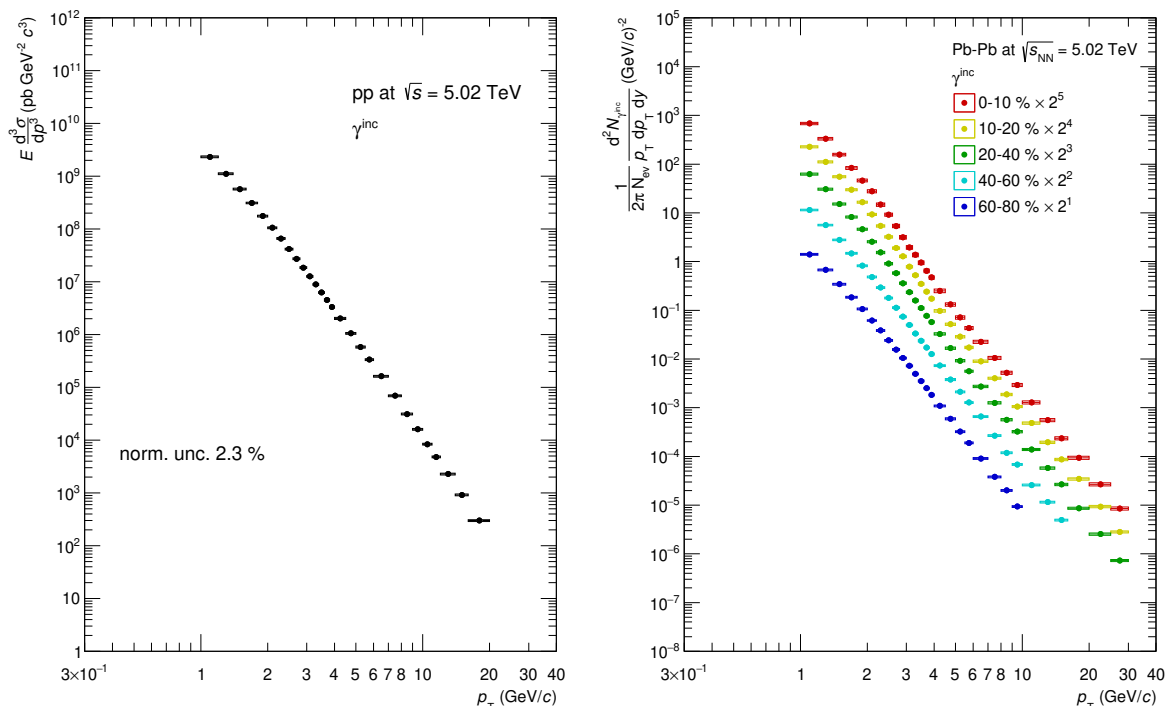
1449

1450 Results toward the direct photons measurement are described in this section. Inclusive photon  
 1451 spectra  $\gamma^{\text{inc}}$ ,  $\gamma^{\text{inc}}/\pi^0$  ratios in data and cocktail simulation,  $R_\gamma$  which is the double ratio of  
 1452  $\gamma^{\text{inc}}/\pi^0$  and finally, direct photon spectra.

### 9.1 Results on inclusive photons $\gamma^{\text{inc}}$

1453

1454 As a first step for the direct photons measurement, inclusive photon spectra have been measured  
 in pp and Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV.



(a) The production cross section of inclusive photons in pp collisions at  $\sqrt{s} = 5.02$  TeV.

(b) Invariant yields of inclusive photons in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV.

Figure 136: Inclusive photons spectra in pp and Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV.

1455

## 9.2 Results on direct photons $\gamma^{\text{dir}}$

1456

### 9.2.1 $\gamma^{\text{inc}}/\pi^0$ ratio

1457

1458 Neutral mesons and inclusive photons have been measured as described in previous sections.  
 1459 Secondly, the ratio of inclusive photon yields to  $\pi^0$  yields are constructed in data and cocktail  
 1460 simulation from known sources respectively for pp and Pb–Pb collisions (Figure 137). The main  
 1461 advantage of  $\gamma^{\text{inc}}/\pi^0$  ratio is to cancel out the systematic uncertainty of energy measurement,  
 1462 namely global energy scale and non-linear response in M.C., that are dominant sources in the  
 1463 PHOS detector.

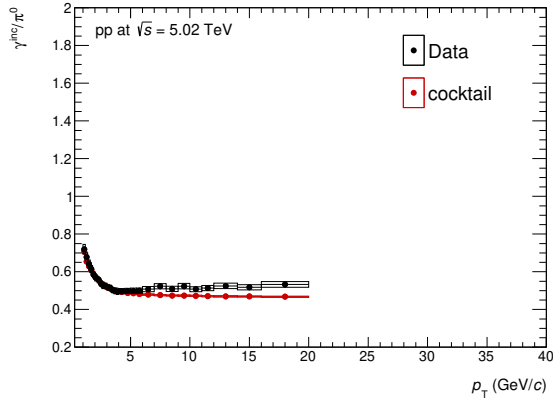
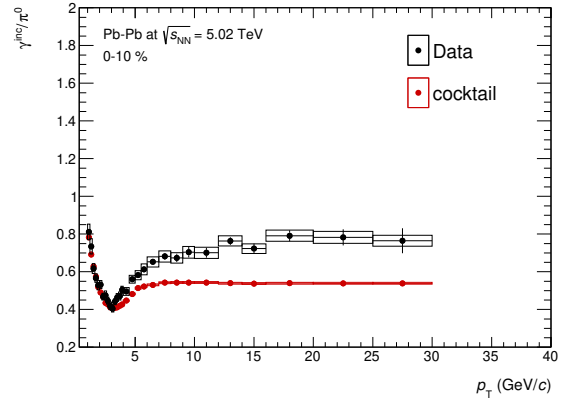
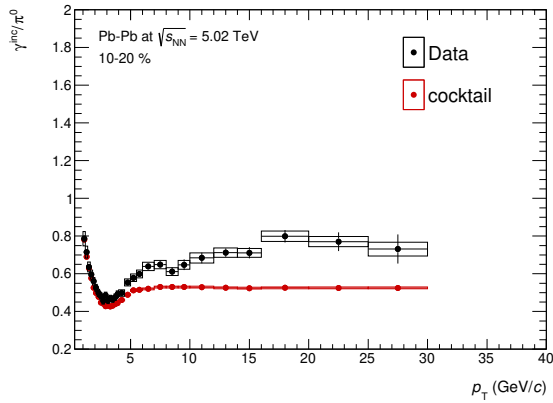
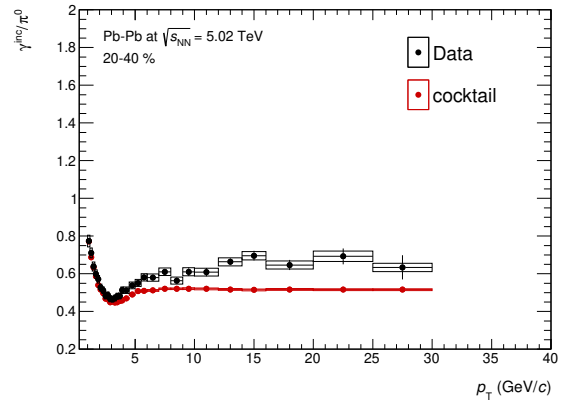
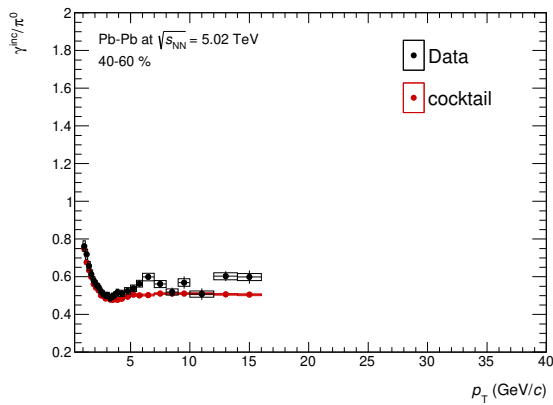
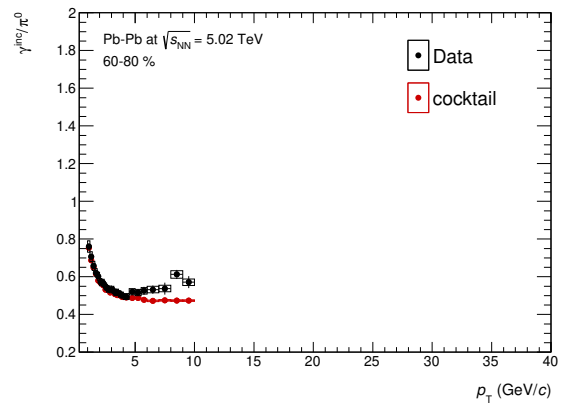

 (a) The  $\gamma^{\text{inc}}/\pi^0$  ratio in pp collisions.

 (b) The  $\gamma^{\text{inc}}/\pi^0$  ratio in 0-10% Pb-Pb collisions.

 (c) The  $\gamma^{\text{inc}}/\pi^0$  ratio in 10-20% Pb-Pb collisions.

 (d) The  $\gamma^{\text{inc}}/\pi^0$  ratio in 20-40% Pb-Pb collisions.

 (e) The  $\gamma^{\text{inc}}/\pi^0$  ratio in 40-60% Pb-Pb collisions.

 (f) The  $\gamma^{\text{inc}}/\pi^0$  ratio in 60-80% Pb-Pb collisions.

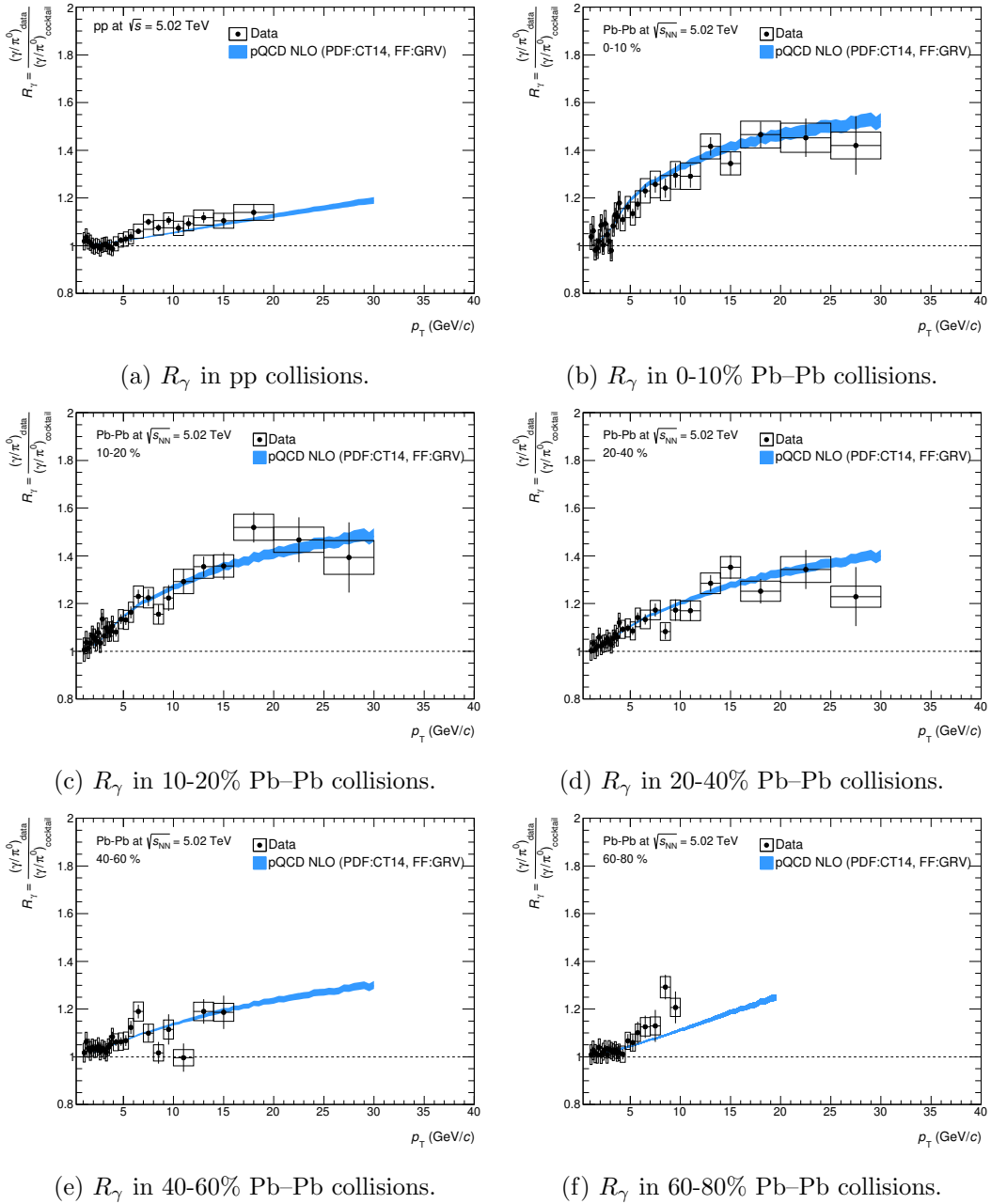
 Figure 137:  $\gamma^{\text{inc}}/\pi^0$  ratios in pp and Pb-Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV.



1464 **9.2.2 Direct photon excess ratio  $R_\gamma$** 

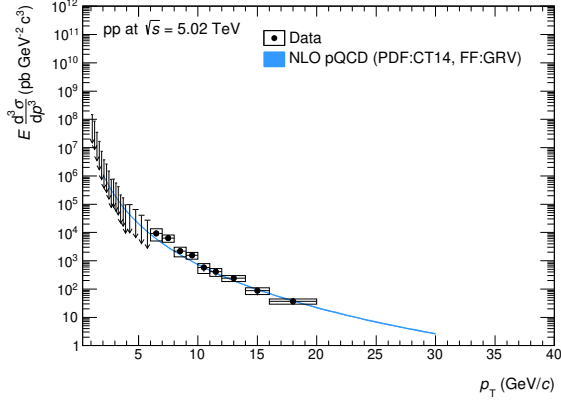
1465 As plotted on Figure 138,  $R_\gamma$  becomes larger with the event multiplicity (i.e. central collisions)  
 1466 at high  $p_T$ . This is explained by the suppression of neutral mesons in central collisions, while  
 1467 the direct photon is transparent probe for the QCD medium. Therefore, the excess of prompt  
 1468 photons that are produced by initial hard scatterings between partons becomes significant at  
 1469 higher  $p_T$  in central collisions.  $R_\gamma$  for the pQCD NLO calculation is defined as :

$$R_\gamma^{\text{NLO}} = 1 + N_{\text{coll}} \cdot \frac{\gamma_{\text{decay}}^{\text{dir}}}{\gamma_{\text{cocktail}}^{\text{NLO}}} \quad (38)$$

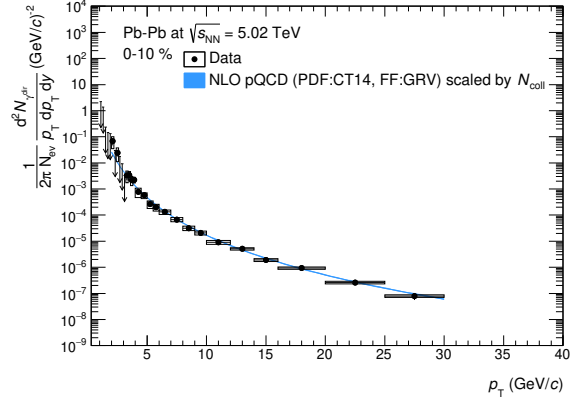

 Figure 138:  $R_\gamma$  in pp and Pb-Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV.

1471 **9.2.3 Direct photon spectra**

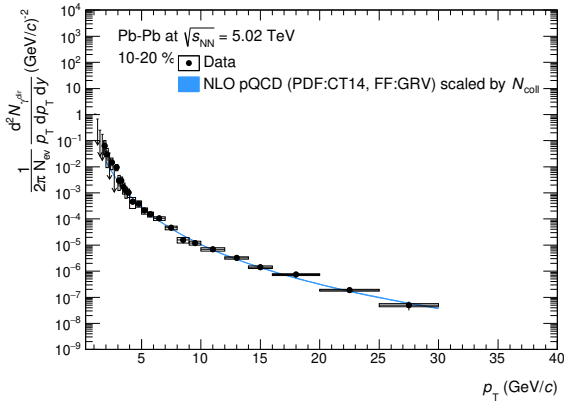
1472 Finally, direct photon spectra or upper limits at the 90% confidence level have been extracted as  
 1473 shown by Figure 139. The pQCD calculation basically describes prompt photon yields at high  
 $p_T$  well in both pp and Pb–Pb collisions.



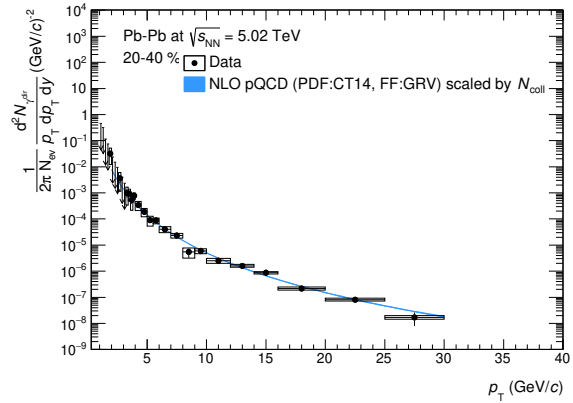
(a) The production cross section of direct photons in pp collisions.



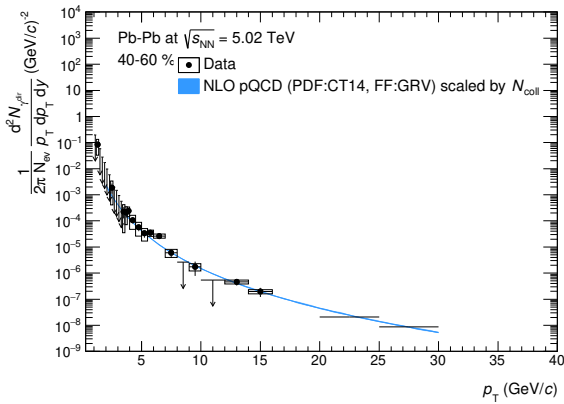
(b) The invariant yield of direct photons in 0-10% Pb–Pb collisions.



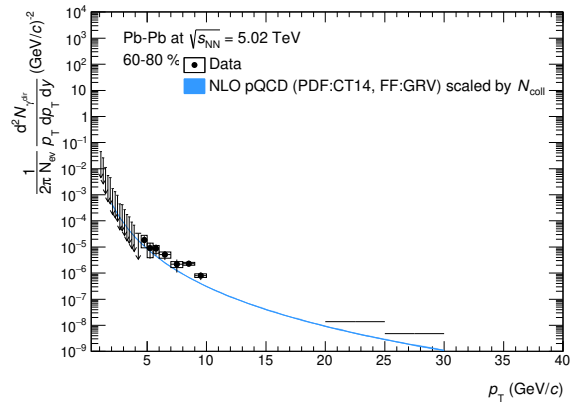
(c) The invariant yield of direct photons in 10-20% Pb–Pb collisions.



(d) The invariant yield of direct photons in 20-40% Pb–Pb collisions.



(e) The invariant yield of direct photons in 40-60% Pb–Pb collisions.



(f) The invariant yield of direct photons in 60-80% Pb–Pb collisions.

 Figure 139: Direct photon spectra in pp and Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV.

1474 **9.2.4  $R_{AA}$  of direct photons**

1475 In this thesis, only upper limits on direct photon yields at the 90% confidence level have been set  
 1476 at low  $p_T$ . Nevertheless, a few data points on  $R_\gamma$  (Figure 138b) and the invariant yield of direct  
 1477 photons (Figure 139b) in central collisions show larger value than the pQCD calculation at low  
 1478  $p_T$ . Hence, it is interesting to see  $R_{AA}$  of direct photons. As shown by Figure 140, direct photon  
 1479 yields beyond the pQCD calculation which can describe prompt photon yields by a factor of up  
 1480 to about 4 is observed at  $p_T < 4$  GeV/ $c$ . This can be interpreted as an indication of thermal  
 1481 photon emissions from the hot and dense medium in central Pb–Pb collisions. Focusing on  $R_{AA}$   
 1482 at high  $p_T$  region, hadron yields are strongly suppressed, while it is consistent with unity for  
 1483 direct photons. The resulting  $R_{AA}$  emphasizes the observed strong hadron suppression is related  
 1484 to final state effects due to the formation of hot and dense colored medium. Additionally, the  
 1485 experimental fact that  $R_{AA}$  of direct photons is consistent with unity at high  $p_T$  proves successful  
 Glauber modeling in terms of the collision geometry.

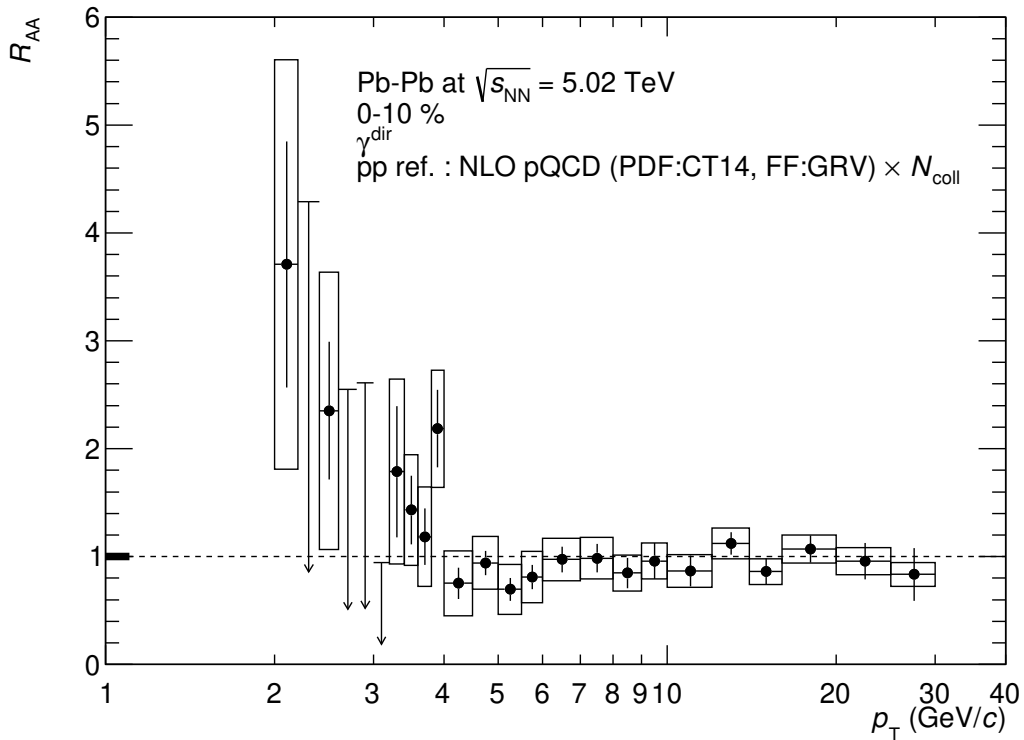


Figure 140:  $R_{AA}$  of direct photons in Pb–Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV for centrality 0-10%.

1486

1487 **9.2.5 Effective temperature  $T_{\text{eff}}$  extraction**

1488 The inverse slope of an exponential fit at low  $p_T$  regime is interpreted as the average temperature  
 1489 over all the space-time evolution. As written in the previous section ( 9.2.4),  $p_T$  spectra of  
 1490 prompt photons at high  $p_T$  agree with the pQCD calculation, which justifies these measurements.  
 1491 Moreover, there is indication of excess due to thermal emissions from the QGP at low  $p_T$  beyond  
 1492 the pQCD calculation in central Pb–Pb collisions (0-10%). Therefore, there is a possibility to  
 1493 fit data points at low  $p_T$  by the exponential function  $A \times \exp(-p_T/T_{\text{eff}})$  and modified Hagedorn  
 1494 function. Namely, the global fitting function is :

$$\frac{1}{2\pi N_{\text{ev}}} \frac{d^2 N_{\gamma^{\text{dir}}}}{p_T dp_T dy} = A \times \exp(-p_T/T_{\text{eff}}) + B \times \left(1 + \frac{p_T^2}{p_0^2}\right)^{-n}, \quad (39)$$

1495 where parameters  $B$ ,  $p_0$  and  $n$  for prompt photons at high  $p_T$  are fixed by the pQCD calculation  
 1496 to reduce the number of degrees of freedom. So, free parameters are  $A$  and  $T_{\text{eff}}$ . Both data points  
 and upper limits at the 90% C.L. are included in the fitting. The obtained effective temperature

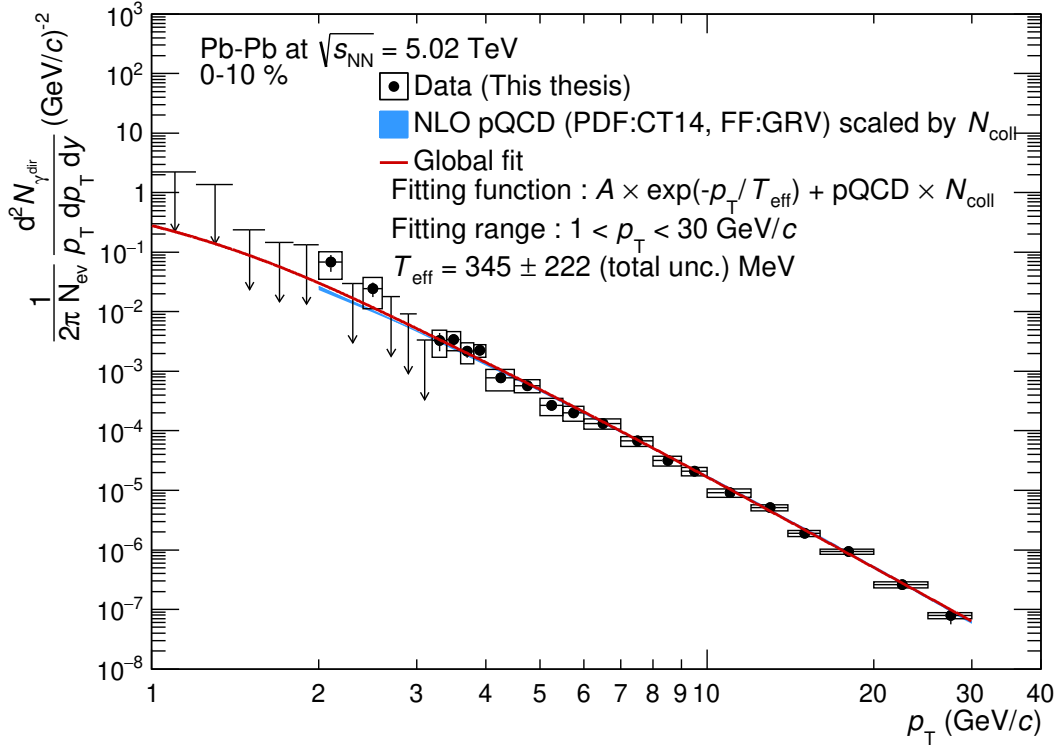


Figure 141: The  $p_T$  spectrum of direct photons in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV for centrality 0-10% and the TCM fit to data.

1497  $T_{\text{eff}}$  is  $345 \pm 222$ (total unc.) MeV in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV for centrality 0-  
 1498 10%. The statistical and systematic uncertainty of the  $T_{\text{eff}}$  are not separated, because upper  
 1499 limits on direct photon yields at the 90 % C.L. are set based on the quadratic sum of them.  
 1500 For references, it has been reported that  $T_{\text{eff}} = 239 \pm 25$ (stat.)  $\pm 7$ (syst.) MeV [30] via real  
 1501 photons in 0-20 % central Au–Au collisions at  $\sqrt{s_{\text{NN}}} = 0.2$  TeV at RHIC by PHENIX, and  
 1502  $T_{\text{eff}} = 294 \pm 12$ (stat.)  $\pm 47$ (syst.) MeV [31] in 0-20 % central Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 2.76$   
 1503 TeV with ALICE at the LHC.  
 1504

## 10 Conclusion

The measurement of neutral mesons and direct photons in pp and Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV has been performed in ALICE with the PHOS detector.  $p_{\text{T}}$  spectra and nuclear modification factors  $R_{\text{AA}}$  of  $\pi^0$  meson in  $0.4 < p_{\text{T}} < 35$  GeV/ $c$  and  $\eta$  meson in  $2.0 < p_{\text{T}} < 16$  GeV/ $c$  have been measured in pp and Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV. This is the first measurement of the suppression of  $\pi^0$  at such high  $p_{\text{T}}$  regime.  $\pi^0$  and  $\eta$  mesons show the same suppression pattern at  $p_{\text{T}} > 4$  GeV/ $c$  in all centrality classes. The suppression pattern between  $\eta$  and  $K^{\pm}$  mesons seems to be similar at low  $p_{\text{T}}$ , though the uncertainty for  $\eta$  meson is large. It is found that  $p_{\text{T}}$  spectrum of  $\pi^0$  becomes harder than that at  $\sqrt{s_{\text{NN}}} = 2.76$  TeV in both pp and Pb–Pb collisions. Nevertheless, the suppression of  $\pi^0$  meson in Pb–Pb collisions compared to pp collisions is the same level between  $\sqrt{s_{\text{NN}}} = 2.76$  and 5.02 TeV, which is by a factor of up to 8. This indicates the larger energy-loss at the higher collision energy. Comparing the light and heavy flavor hadrons, namely  $\pi^0$  and D mesons, the suppression of D mesons at  $p_{\text{T}} < 10$  GeV/ $c$  is weaker than that of  $\pi^0$ , which is interpreted as the smaller energy-loss for charm quarks than for up, down quarks. The suppression pattern of  $\eta$  meson seems to be similar to  $K^{\pm}$  meson consisting of a strange quark, though uncertainties for the  $\eta$  meson measurement is large. The direct photon measurement is complicated due to the huge background of decay photons from hadrons. By using measured  $p_{\text{T}}$  spectra of  $\pi^0$ ,  $\eta$  mesons and  $m_{\text{T}}$ -scaled  $\omega(782)$ ,  $\eta'(958)$  mesons as inputs to the cocktail simulation, decay photon yields have been estimated and statistically subtracted from inclusive photon spectra. Direct photon excess ratios  $R_{\gamma}$  show clear prompt photon signals originating from initial hard scatterings at high  $p_{\text{T}}$ . The prompt photon production is described by the pQCD NLO calculation well in both pp and Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV. Direct photon spectra or upper limits at the 90 % of C.L. have been extracted up to  $p_{\text{T}} = 30$  GeV/ $c$  in central Pb–Pb collisions. The resulting  $R_{\text{AA}}$  of direct photons which is consistent with unity at high  $p_{\text{T}}$  justifies the measurement and proves the successful Glauber modeling for the collision geometry. Focusing on  $R_{\text{AA}}$  of direct photon at low  $p_{\text{T}}$  in central collisions, a few data points show the excess beyond the pQCD calculation by a factor of up to 4. This indicates thermal photon emissions from the hot and dense QCD medium. The obtained effective temperature  $T_{\text{eff}}$  is  $345 \pm 222$ (total unc.) MeV in Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV for centrality 0-10%. This is the first measurement and setting upper limits on the direct photons in pp and Pb–Pb collisions at  $\sqrt{s_{\text{NN}}} = 5.02$  TeV.

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1537 First of all, I would like to express my greatest appreciation to Prof. Toru Sugitate who super-  
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1554 pleasant life with them in Hiroshima and CERN.

## 1555 A Zero Suppression study in Run2

1556 A new noise reduction system has been introduced in PHOS readout since Run2. This is based  
1557 on minimum sequence of samples (MINSEQ) in ALTRO chip [93]. MINSEQ is set to 3 samples  
1558 in PHOS readout in Run2. It means data is readout only if consecutive ALTRO sample is longer  
1559 than 3 samples. This mechanism successfully reduces noise by a factor of  $3 \sim 4$  compared to  
1560 Run1. Data size of noise scan was  $2 \sim 3$  kBytes in Run1, but it is 0.8 kBytes in Run2. ZS  
1561 threshold is set to 3 ADC counts. However, ZS threshold is effectively increased due to MINSEQ.  
1562 In order to test this effect, effective ZS threshold was varied in M.C. and tuned for reproducing  
1563 standard cluster cut efficiency and PID cut efficiency. As shown by Fig.142, standard cluster  
1564 cuts play rolls only at  $E_\gamma < 1$  GeV where an electro-magnetic shower evolution is not well  
1565 defined and ZS at 20 MeV can reproduce data very well (the best). Fig.143 shows that ZS at  
20 MeV is the best again.

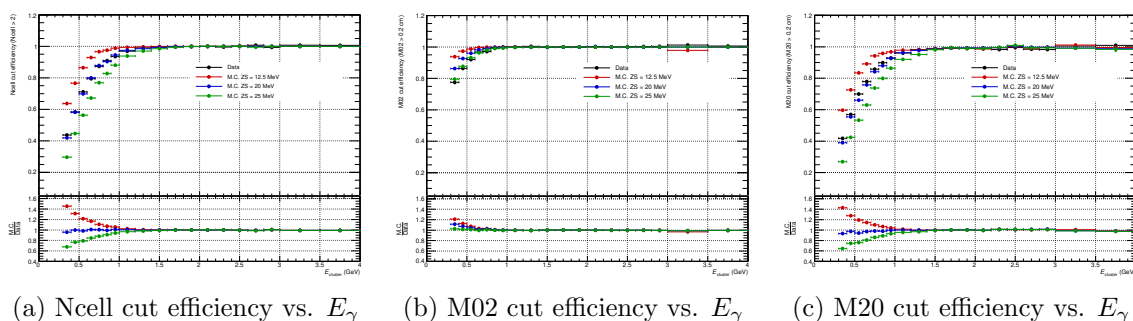


Figure 142: standard cluster cut efficiency as a function of photon energy. (12.5 MeV is default value in M.C.) Note these cuts are not apply in my analysis.

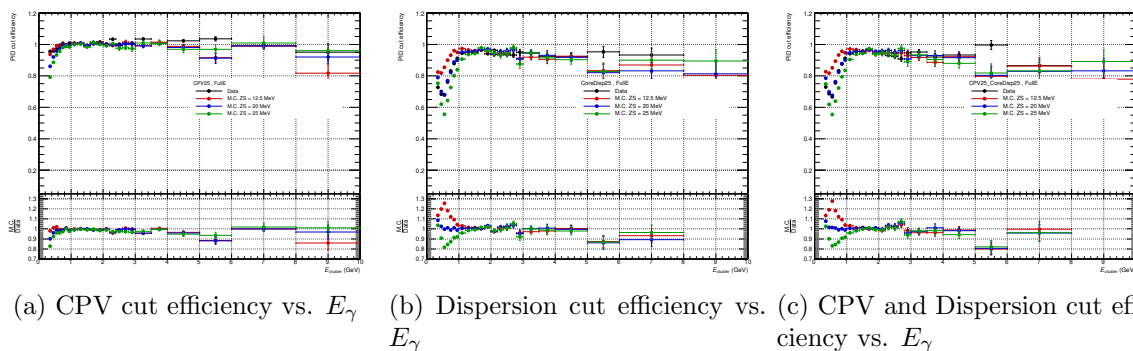


Figure 143:  $\gamma$ -ID cut efficiency as a function of photon energy. (12.5 MeV is default value in M.C.)

1566

## 1567 B pp collisions at $\sqrt{s} = 5.02$ TeV in 2015

1568 The LHC provided proton-proton collisions at  $\sqrt{s} = 5.02$  TeV in 2015 and 2017. ALICE took 100  
 1569 M events ( $\sim 2 \text{ nb}^{-1}$ ) triggered by V0AND in November of 2015. On the other hand, as described  
 1570 in section 3.1,  $\sim 10$  times more V0AND events which corresponds to  $19 \text{ nb}^{-1}$  were recorded in  
 1571 2017. Although data in 2015 have been also analyzed, it is just considered as a “guideline” for  
 1572 this thesis. This small pp data recorded in early period gave me a great opportunity to estimate  
 1573 systematic uncertainties at early stage and allowed me to save my time for 2017 data analyses.  
 Hereafter, LHC15n represents pp data in 2015.

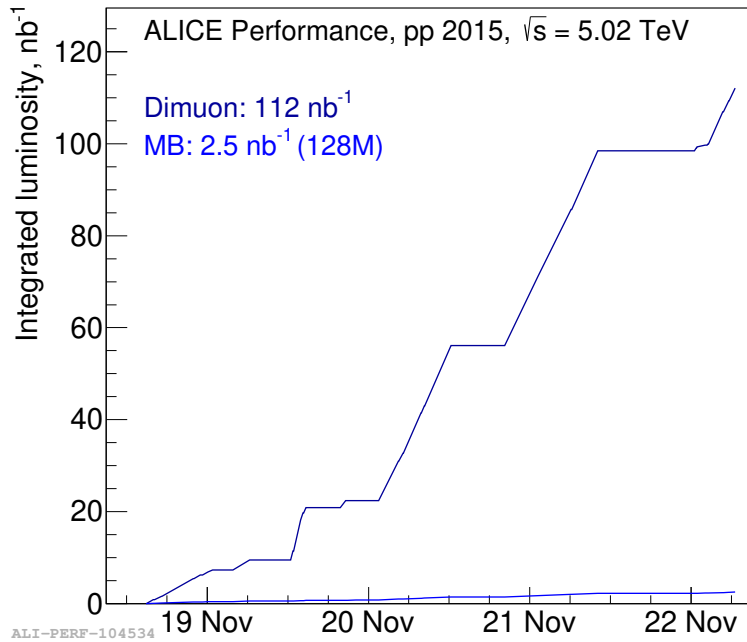


Figure 144: Integrated luminosity in pp collisions at  $\sqrt{s} = 5.02$  TeV in 2015.

1574

### 1575 B.1 Date sets and QA

#### 1576 B.1.1 Date sets in pp collisions at $\sqrt{s} = 5.02$ TeV

1577 run list in pp collisions at  $\sqrt{s} = 5.02$  TeV in 2015 is following:

##### 1578 LHC15n

1579 244628, 244627, 244618, 244617, 244542, 244540, 244531, 244484, 244483, 244482, 244481,  
 1580 244480, 244453, 244421, 244418, 244416, 244411, 244377, 244364, 244359, 244355, 244351,  
 1581 244343, 244340.

1582

1583 M.C. productions used in this analysis are following:

- 1584 • LHC16h8a + LHC16k5a PYTHIA8 for LHC15n
- 1585 • LHC16h8b + LHC16k5b PYTHIA6 for LHC15n
- 1586 • LHC16h3 PYTHIA8 jet-jet for LHC15n
- 1587 • LHC17i7 single particle ( $\pi^0$ ,  $\eta$ ,  $\gamma$ ) simulation for LHC15n/o



1588 **B.1.2 event selection**

1589 Following event cuts have been applied in order to select physics events both in data and M.C..

- 1590 • physics selection to reject beam-gas interaction
- 1591 • the number of charged track associated with primary vertex  $> 0$
- 1592 • pileup rejection by SPD
- 1593 •  $|Z_{\text{vtx}}| < 10$  cm

1594 **B.1.3 minimal cluster selection**

- 1595 •  $E > 0.2$  GeV (to extract photon signal as much as possible at low energy)
- 1596 •  $M02 > 0.1$  cm is applied only  $E > 1$  GeV (to extract photon signal as much as possible at
- 1597 low energy)
- 1598 •  $|\text{TOF}| < 12.5$  ns in pp

1599 As a first check of PHOS data, an average cluster energy and an average number of hits are plotted (Fig.145). Average values stay stable in all runs.

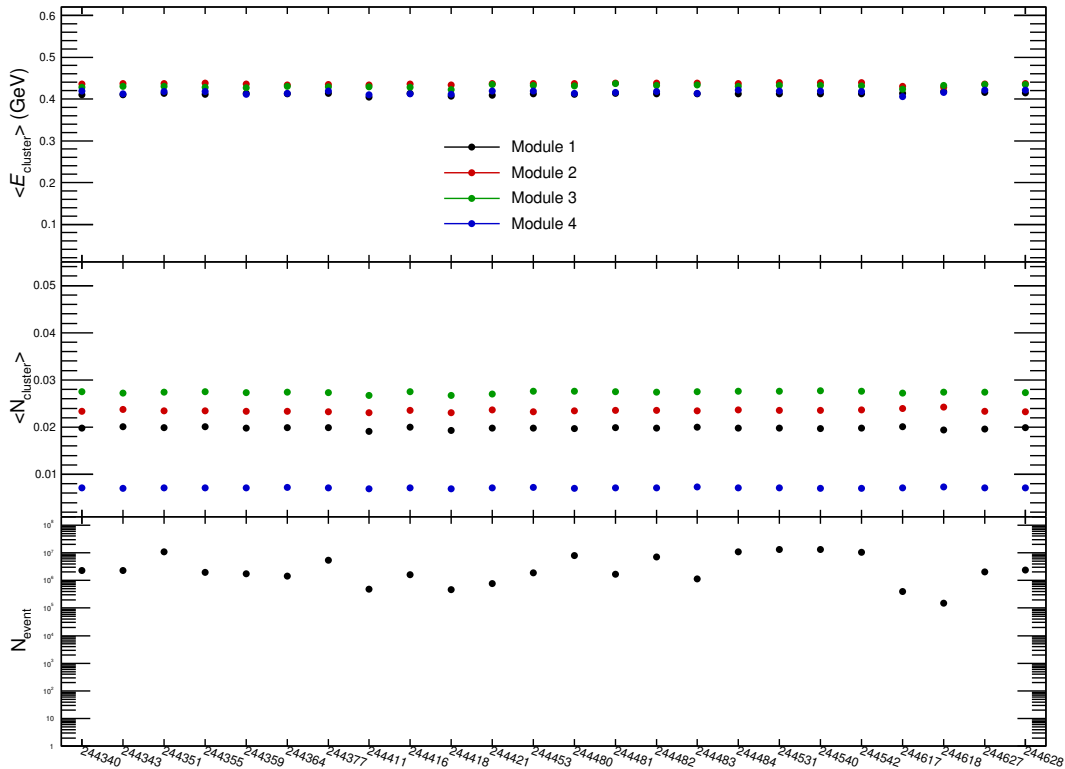


Figure 145: average cluster energy and number of hits in each run on PHOS in LHC15n.

1600

1601 **B.1.4  $\pi^0$  peak parameters vs. run numbers**

1602  $\pi^0$  peak parameters are plotted (Fig.146) run-by-run to verify that PHOS was stable in this  
 1603 period. As a result, M1,2,3 are all stable. Especially,  $\pi^0$  peak could not be seen well on M4,

1604 because M4 has limited detector acceptance. A peak position in M1,2,3 are consistent within  
 1605 statistical error bar. There are poor statistics in some runs where  $\pi^0$  peak is not so clear.  
 1606 Note that M4 was excluded from the beginning because a systematic uncertainty of material  
 1607 budget is large in front of M4 due to TOF + TRD, which is not suitable for the precise photon  
 measurement.

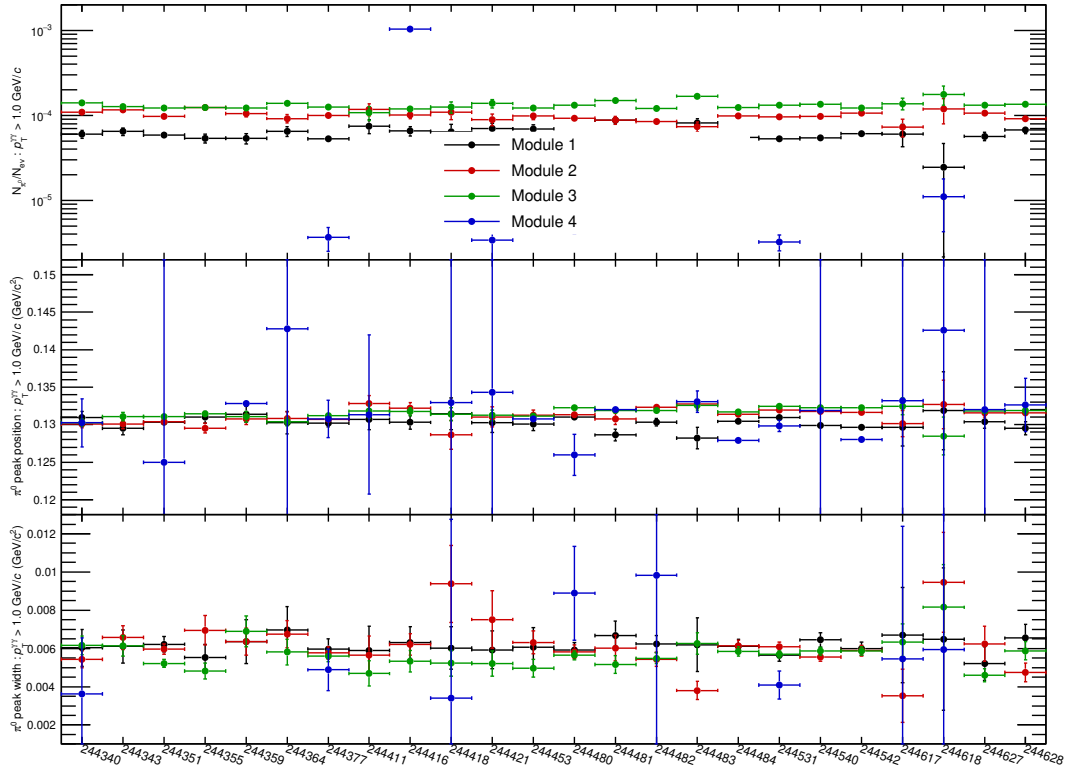


Figure 146:  $\pi^0$  yield, peak position and sigma in each run in LHC15n.

1608

## 1609 B.2 Trigger QA

### 1610 B.2.1 Distance between fired TRU channels and clusters

### 1611 B.2.2 Energy distribution of matched clusters

## 1612 B.3 Raw yield extraction

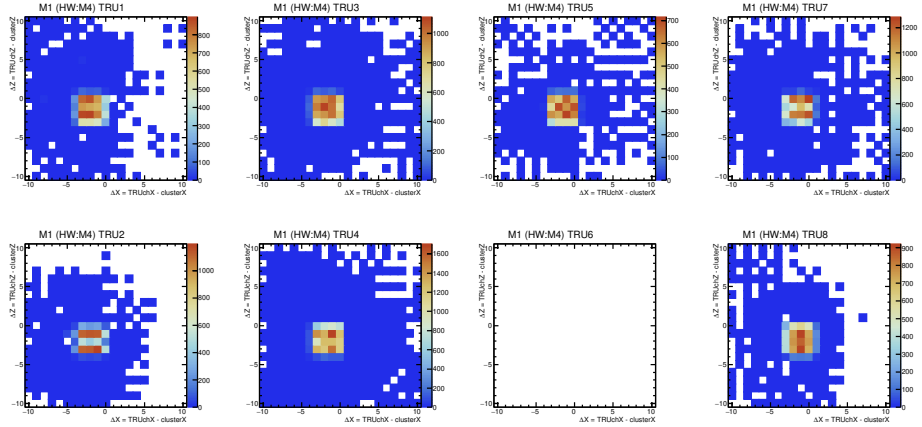
1613 Unfortunately,  $\eta$  measurement was not possible due to the small statistics in LHC15n.

## 1614 B.4 Acceptance $\times$ reconstruction efficiency

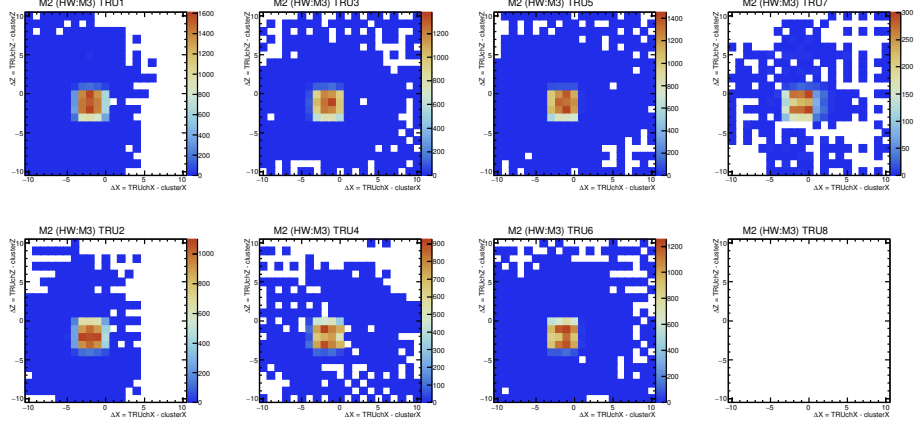
1615 At first, peak positions and peak widths have been compared between data and M.C..

## 1616 B.5 Trigger efficiency

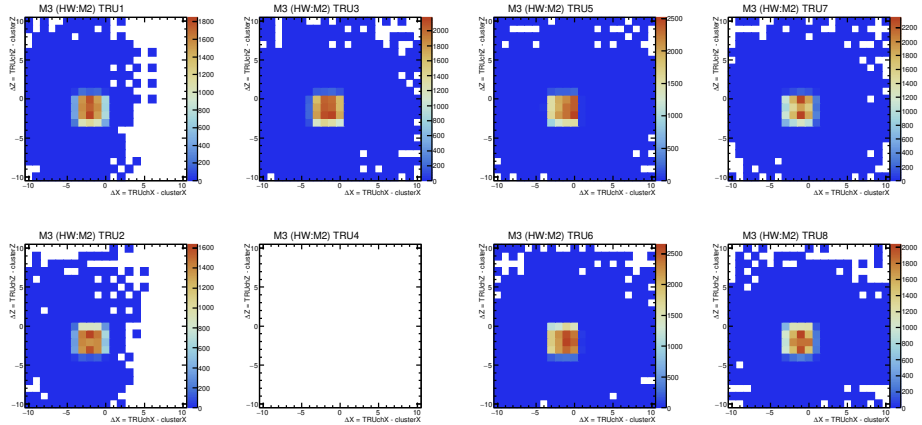
1617 PHOS trigger allows us to measure high energy photons/electrons efficiently in ALICE. An  
 1618 energy threshold of PHOS L0 trigger in LHC15n period was set to 3 GeV in sum of 4x4 FastOR.  
 1619 Due to the poor TRU acceptance in LHC15n period, trigger efficiency  $\epsilon_{\text{trg}}$  is saturated at about  
 1620  $0.28 \pm 0.02$  at high  $p_T$ .



(a) The distance between fired TRU channels and cluster position on M1 in LHC15n.

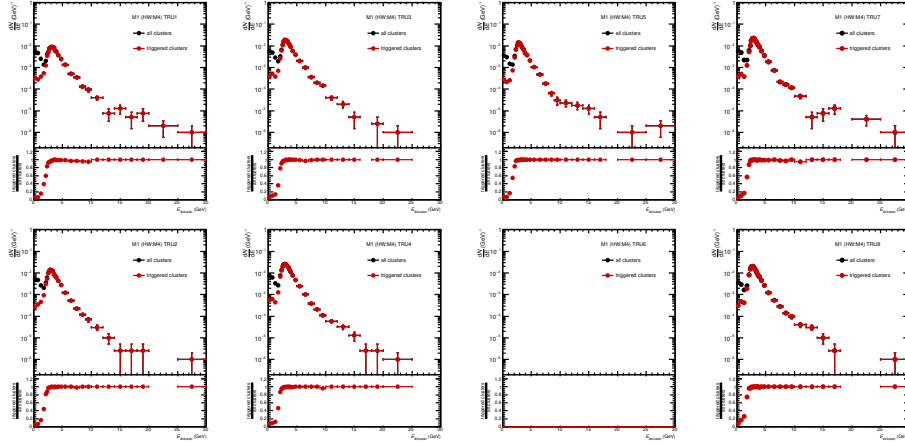


(b) The distance between fired TRU channels and cluster position on M2 in LHC15n.

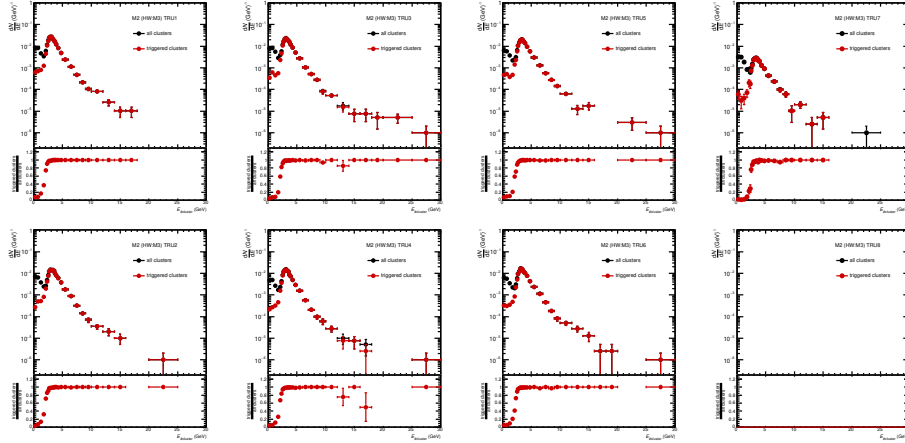


(c) The distance between fired TRU channels and cluster position on M3 in LHC15n.

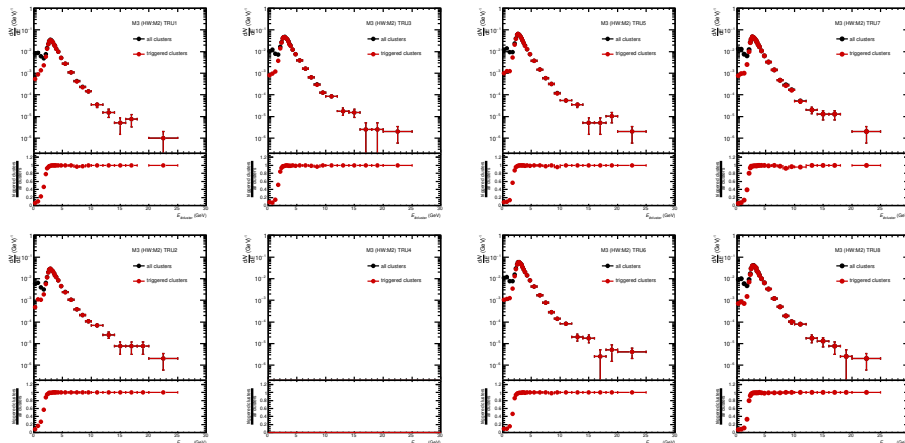
Figure 147: The distance between fired TRU channels and cluster position in different module for  $E_{\text{cluster}} > 3$  GeV in LHC15n. Note that M4 is excluded from my analysis from the very beginning.



(a) Energy distribution on M1 in LHC15n.

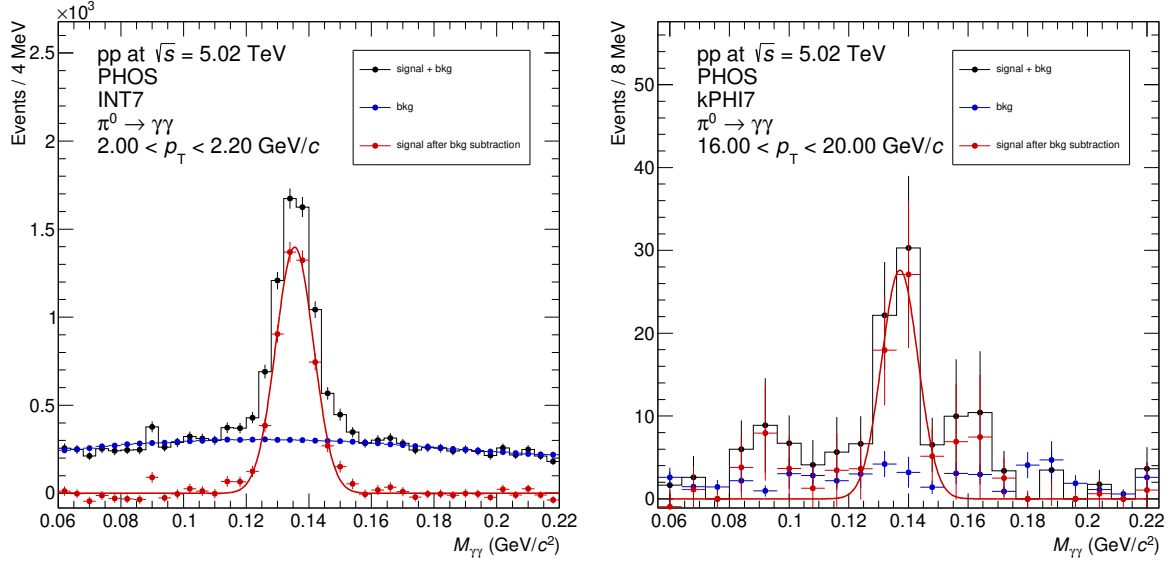


(b) Energy distribution on M2 in LHC15n.



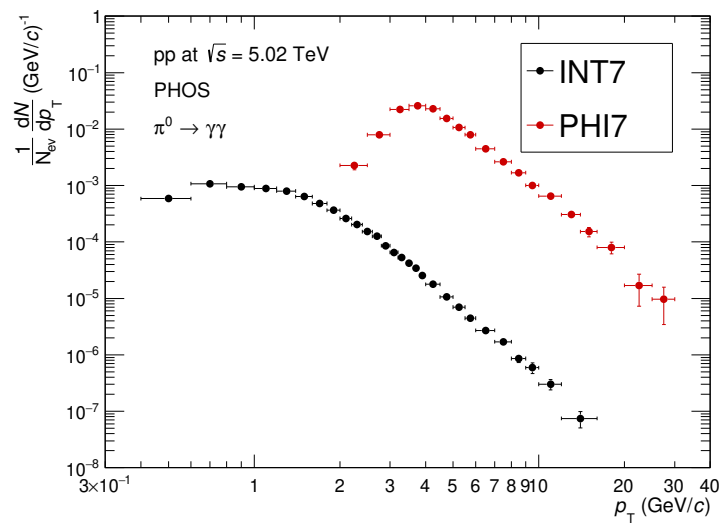
(c) Energy distribution on M3 in LHC15n.

Figure 148: Energy distribution of all clusters and triggered clusters and ratios in LHC15n. Note that M4 is excluded from my analysis from the very beginning.



(a) Invariant mass distribution in kINT7.

(b) Invariant mass distribution in kPHI7.

 Figure 149:  $\pi^0$  peak in kINT7 and kPHI7. An energy threshold of PHOS L0 trigger was 3 GeV in 2015

 Figure 150: Raw yields of  $\pi^0$  in LHC15n.

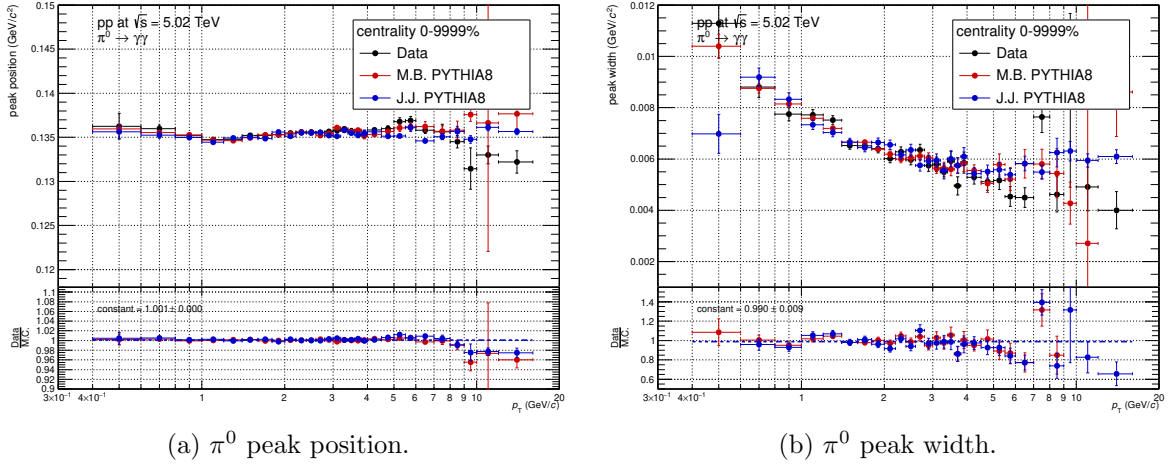
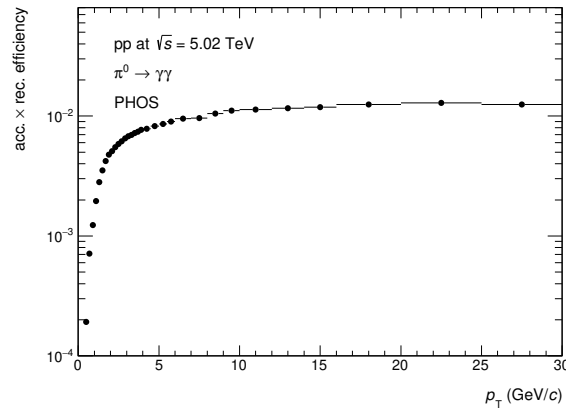
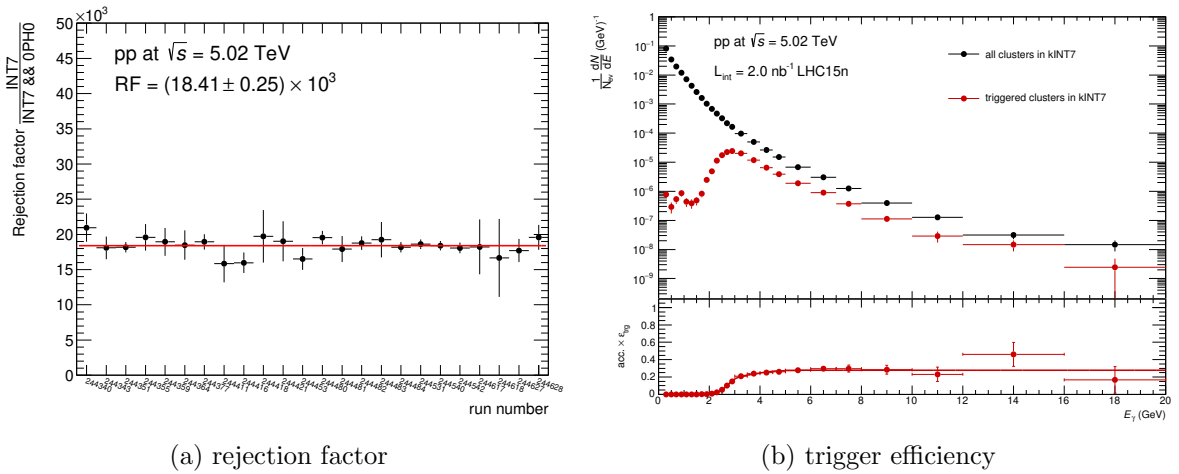

 Figure 151: peak parameters of  $\pi^0$  in data and M.C. as a function of  $p_T$  .

 Figure 152: The acceptance  $\times$  reconstruction efficiency of  $\pi^0$ 


Figure 153: The rejection factor and trigger efficiency of PHOS L0 trigger in LHC15n data.

## 1621 B.6 Timing cut

1622 Timing cut ( $|\text{TOF}_{\text{cluster}}| < 12.5$  ns) was applied at cluster level to reject clusters from other BCs.  
 1623 Thus, TOF cut efficiency ( $\varepsilon_{\text{TOF}}$ ) as a function of photon energy has to be measured.  
 1624 where,  $N_{\text{TOF } \gamma}^{\text{triggered BC}}$  is the number of photons after TOF cut in the triggered BC and  $N_{\text{all } \gamma}^{\text{triggered BC}}$   
 1625 is the number of photons in the triggered BC respectively. Then, histograms are filled with the  
 1626 number of photons weighted by the inverse of  $\varepsilon_{\text{TOF}}$  as a function of photon energy after TOF  
 1627 cut. Since  $\varepsilon_{\text{TOF}}$  is measured as a function of photon energy,  $\frac{1}{\varepsilon_{\text{TOF}}^1 \times \varepsilon_{\text{TOF}}^2}$  is necessary at neutral  
 mesons level which are reconstructed from 2 photons.

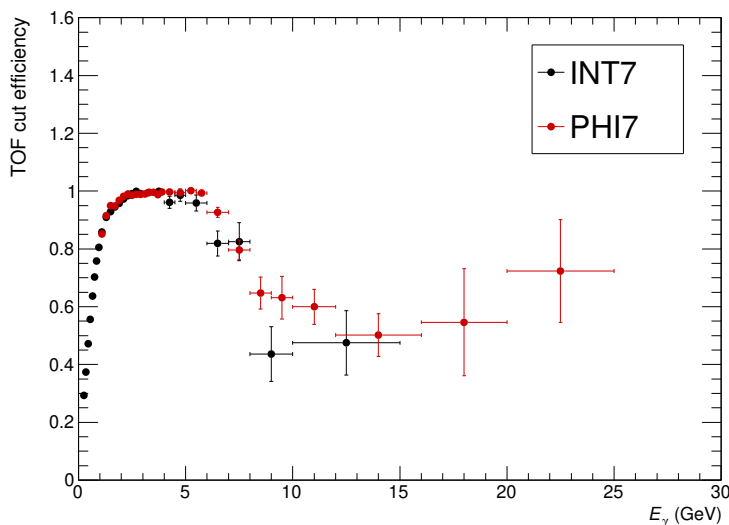


Figure 154: TOF cut efficiency as a function of photon energy in LHC15n data sample.

1628

## 1629 B.7 Feed down from strange hadrons

1630 The same approach as in 2017 data was applied.

## 1631 B.8 Systematic uncertainties in pp collisions at $\sqrt{s} = 5.02$ TeV in LHC15n

### 1632 B.8.1 Yield extraction of neutral mesons

1633 Fitting function, range and integration range were varied to estimate systematic uncertainty of  
 1634 yield extraction. This estimation was performed by the fully corrected yields. R.M.S./mean  
 1635 value in each  $p_T$  bin is considered as the uncertainty of yield extraction.

- 1636 • Fitting function [Gaussian,crystallball] for signal and [pol1,pol2] for background
- 1637 • Fitting range [0.06,0.22], [0.04,0.20], [0.08,0.24]  $\text{GeV}/c^2$  for  $\pi^0$
- 1638 • Fitting range [0.40,0.70], [0.35,0.65], [0.45,0.75]  $\text{GeV}/c^2$  for  $\eta$
- 1639 • Integration range  $[\pm 3\sigma, \pm 2\sigma]$

### 1640 B.8.2 PID cut

1641 No PID cut was applied in pp analysis.

### 1642 B.8.3 TOF cut

1643 There were data taking period when a bunch space of each pp collision was 1000 ns which  
 1644 was much wider than timing resolution of PHOS. These runs allow us to estimate systematic  
 1645 uncertainty of TOF cut efficiency. The idea is defined by Eq.24. The deviation from unity in the  
 1646 ratio is considered as a systematic uncertainty of TOF cut. It is found to be 4% from Fig.155  
 in kINT7 events recorded in LHC15n period, not depending on  $p_T$ .

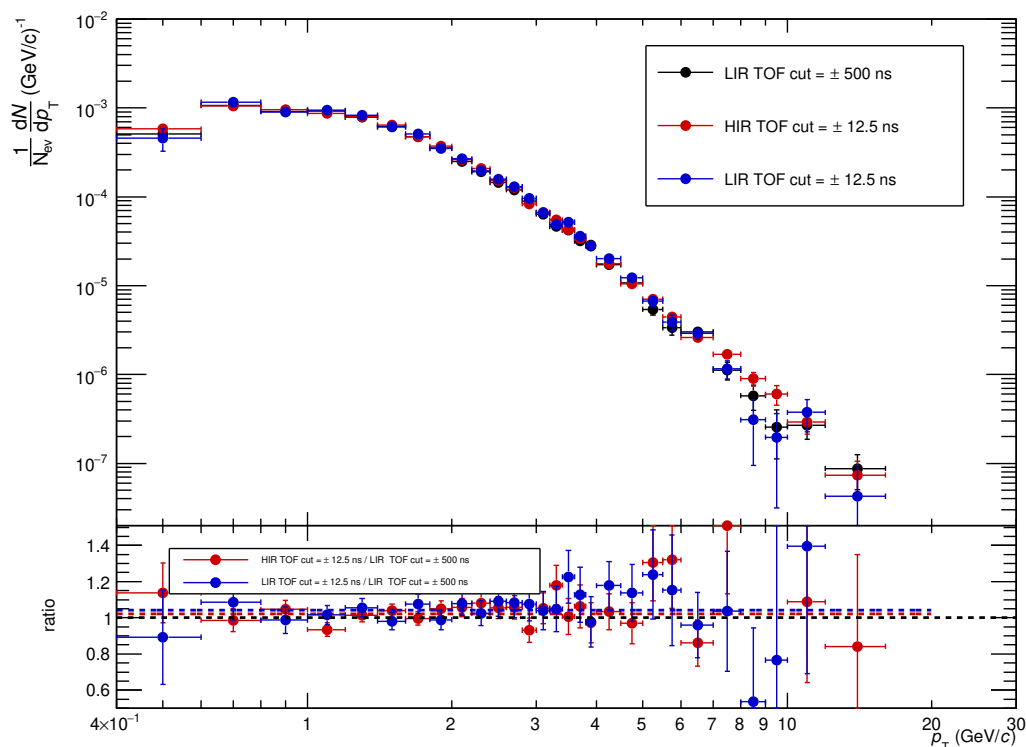


Figure 155: The ratio of  $\pi^0$  yield in BS = 25 ns to one in BS = 1000 ns triggered by kINT7 in pp collisions at  $\sqrt{s} = 5.02$  TeV.

1647

### 1648 B.8.4 Feed-down correction

1649 The systematic uncertainty of  $K/\pi$  ratio in pp collisions at  $\sqrt{s} = 2.76$  TeV is  $\sim 10\%$  [61] at the  
 1650 maximum. Therefore, the final systematic uncertainty of  $\pi^0$  yields from feed down correction is  
 1651  $0.3 \sim 0.6\%$ , decreasing with  $p_T$ .

### 1652 B.8.5 Global energy scale

1653 The same approach was performed as described in section 5.2.

### 1654 B.8.6 Non-linearity of energy response

1655 The peak position measured by PHOS depends on  $p_T$ . This is due to  $p_T$  slope of particle  
 1656 spectrum and finite energy resolution of the PHOS detector. The important effect is, so called,  
 1657 non-linearity of energy response. One has to tune non-linearity and reproduce peak position in  
 1658 M.C. for efficiency calculation. However, it is too difficult to understand non-linearity response  
 1659 which may come from APD response and/or light yield of a crystal in simulation. A simple



1660 non-linearity model defined by Eq.40 to correct the measured energy was used in this analysis.

$$E_{\text{corr}} = E \cdot f(E), \quad f(E) = 1 + \frac{a}{1 + E^2/b^2} \quad (40)$$

1661 where,  $E_{\text{corr}}$  is corrected energy and  $E$  is energy before non-linearity correction. Parameters  
 1662 a,b were varied in M.C. to find the best combination that can reproduce  $\pi^0$  peak position. The  
 1663 ratio of  $\pi^0$  peak position in data to that in M.C. was fitted by a 0th-order polynomial function  
 1664 and  $\chi^2/\text{ndf}$  were obtained, shown on Fig.156. The best parameters are  $a = -0.06$ ,  $b = 0.7$ .  
 1665 Combinations (a,b) at  $\chi^2/\text{ndf} < 2$  were taken into account to estimate uncertainty of non-  
 1666 linearity. The systematic uncertainty of non-linearity was estimated by R.M.S./mean value with  
 1667 different nonlinearity function shown by Fig.157. The systematic uncertainty of non-linearity is  
 2% at low  $p_T$  and decreasing with  $p_T$  (Fig.156b).

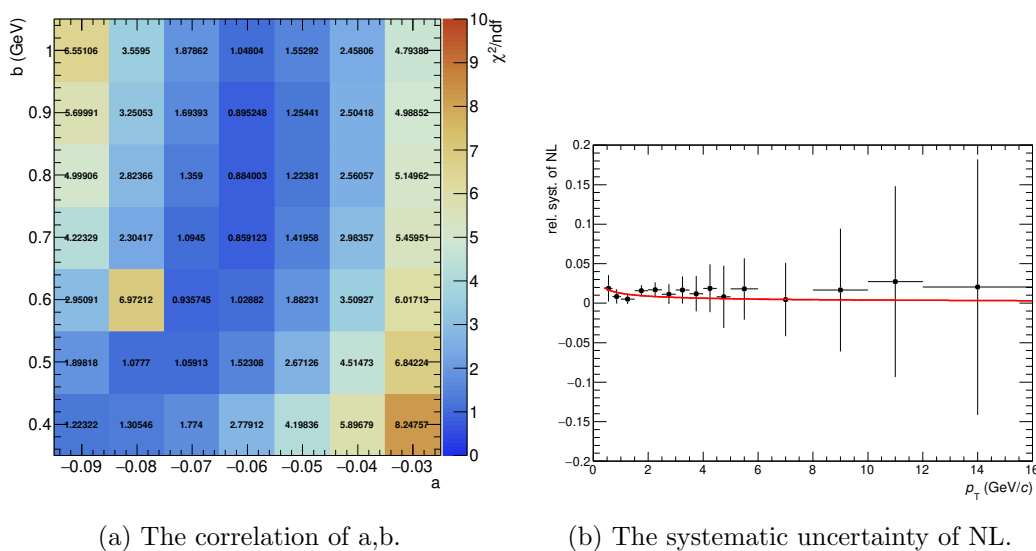


Figure 156:  $\chi^2/\text{ndf}$  of fitting to the ratio of  $\pi^0$  peak position in data to that in M.C. at different parameters a,b.

1668

### 1669 B.8.7 Acceptance of detector

1670 The systematic uncertainty of acceptance was estimated by varying the distance to the bad  
 1671 channel (0 cell or 1cell). 0 cell is default value in my analysis. The deviation from unity in the  
 1672 ratio of corrected yield of  $\pi^0$  in different distance cut is considered as systematic uncertainty  
 1673 of acceptance. The deviation from unity is 1.5% and this value is systematic uncertainty of  
 1674 acceptance.

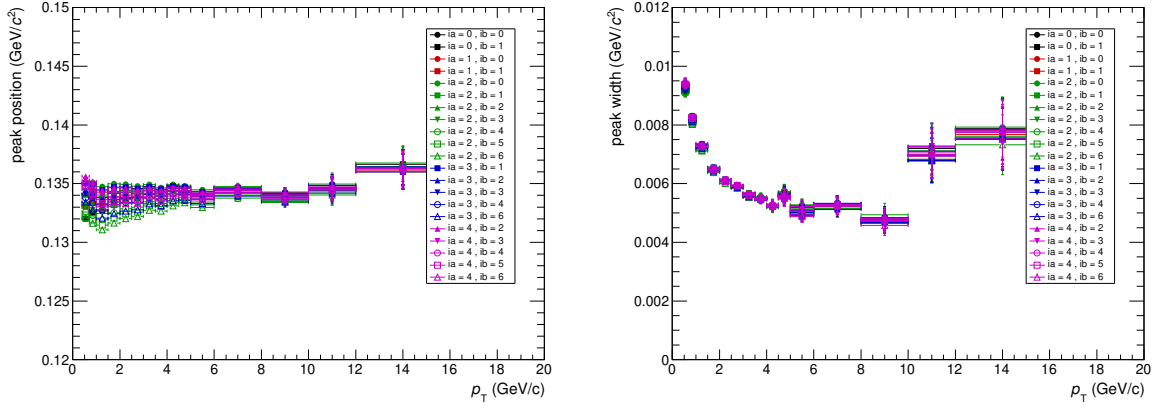
### 1675 B.8.8 Material budget

1676 This is common in all period and taken from section 5.9.

### 1677 B.8.9 Summary of systematic uncertainties

1678 Total systematic uncertainty is summarized on Fig.159.

## 1679 B.9 Invariant differential cross section of $\pi^0$


 (a)  $\pi^0$  peak position in different NL.

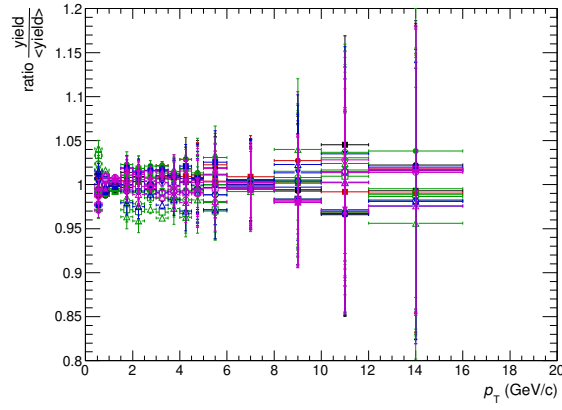
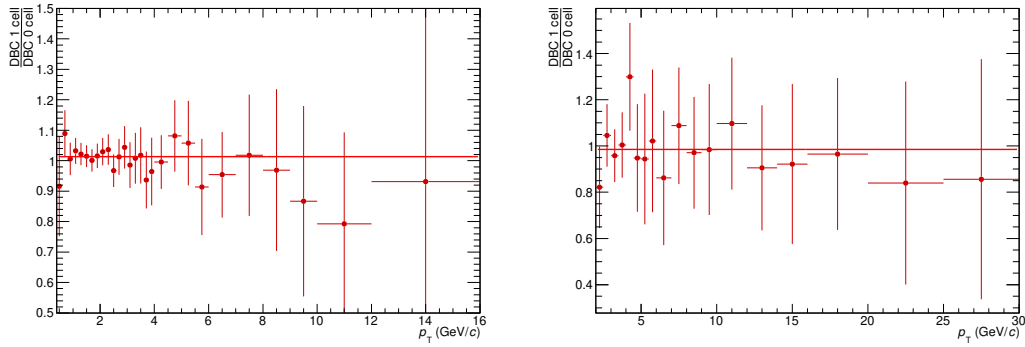
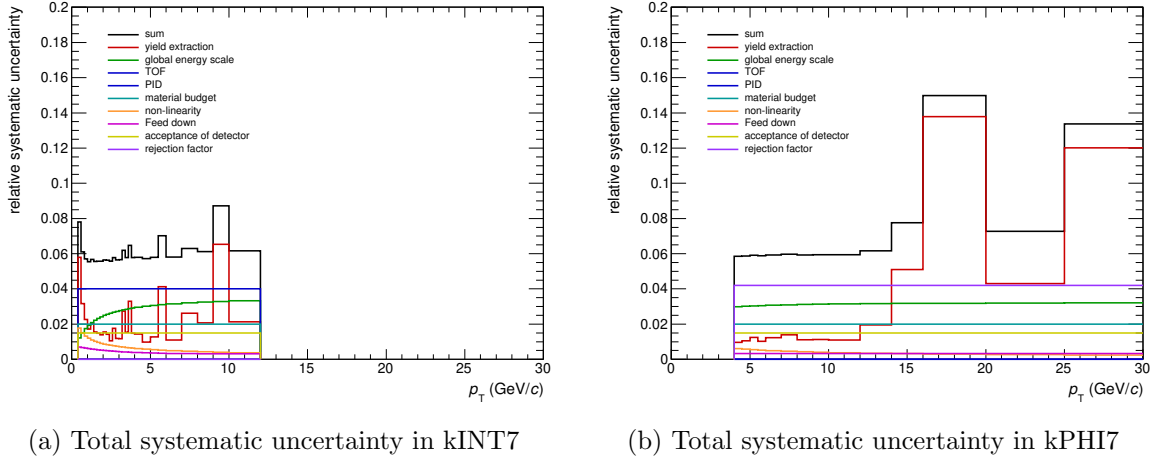
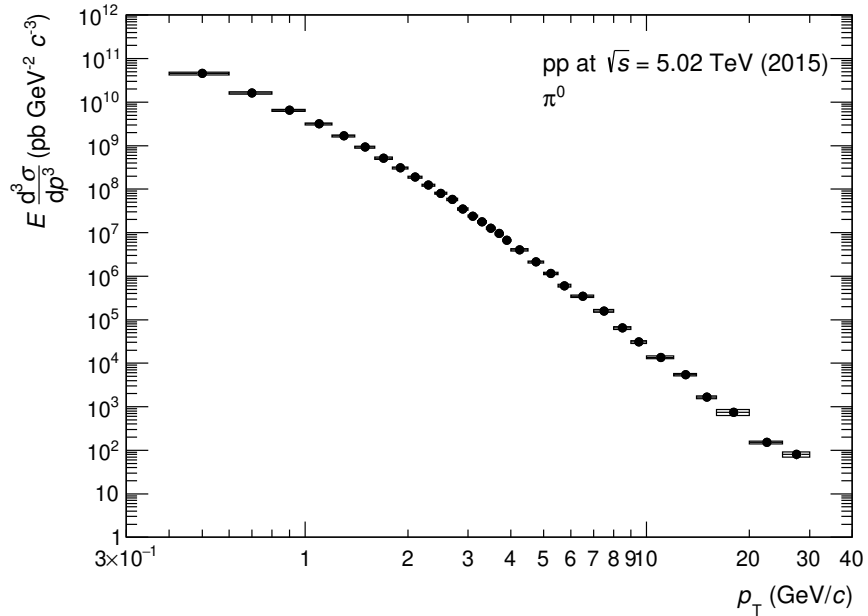
 (b)  $\pi^0$  peak width in different NL.

 (c) The ratio of  $\pi^0$  raw yield in different NL.

 Figure 157:  $\pi^0$  peak parameters in different NL.


(a) The ratio of corrected yield in kINT7.

(b) The ratio of corrected yield in kPHI7.

Figure 158: The ratio of corrected yield in different distance cut.


 Figure 159: Summary of systematic uncertainties of  $\pi^0$  measurement

 Figure 160: The invariant differential cross section of  $\pi^0$  .

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